

Appendix

(k) Have you been the subject of any current or past investigation or disciplinary proceedings, or have you been reprimanded or issued any warning, by the Monetary Authority of Singapore or any other regulatory authority, exchange, professional body or government agency, whether in Singapore or elsewhere?

Yes.

If yes, please provide details.

Mr Tan received a supervisory warning from the Monetary Authority of Singapore ("**MAS**") on 14 July 2014 for a breach of Section 137 of the Securities and Futures Act 2001 (the "**Act**") for failing to notify a listed corporation of a change in his interest in the voting shares of the corporation, within 2 business days of his becoming aware of the change. This warning was for the disposal by YK Holdings Pte Ltd ("**YKH**") of shares in LH Group Limited ("**LH**"), a company listed on the SGX-ST. YKH, a substantial shareholder of LH, disposed of the LH shares on 20 January 2014 and notified LH on 24 January 2014. There was a delay of 2 days in notifying LH. The disposal resulted in YKH ceasing to be a substantial shareholder of LH. As a result of this, YKH and the shareholders of YKH who were deemed interested in the LH shares held by YKH, who amongst others, Mr Tan, who holds 25% shares of YKH, received supervisory warnings from the MAS.