### SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

## NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

(Electronic Format)

FORM

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies <u>only</u> to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Name of Listed Issuer:         Maxi-Cash Financial Services Corporation Ltd         Type of Listed Issuer:         Company/Corporation         Registered/Recognised Business Trust         Real Estate Investment Trust         Name of Director/CEO:         Koh Wee Seng         Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?         Yes         No
Type of Listed Issuer:         ✓ Company/Corporation         □ Registered/Recognised Business Trust         □ Real Estate Investment Trust         Name of Director/CEO:         Koh Wee Seng         Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?         ✓ Yes         □ No
<ul> <li>✓ Company/Corporation</li> <li>☐ Registered/Recognised Business Trust</li> <li>☐ Real Estate Investment Trust</li> </ul> Name of Director/CEO:           Koh Wee Seng           Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?           ✓ Yes           ☐ No
<ul> <li>☐ Registered/Recognised Business Trust</li> <li>☐ Real Estate Investment Trust</li> </ul> Name of Director/CEO: Koh Wee Seng Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer? ✓ Yes ☐ No
□ Real Estate Investment Trust         Name of Director/CEO:         Koh Wee Seng         Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?         ✓ Yes         □ No
Name of Director/CEO:         Koh Wee Seng         Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?         ✓ Yes         ☐ No
Koh Wee Seng         Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?         ✓ Yes         No
✓ Yes No
Is the Director/CEO notifying in respect of his interests in securities of, or made available I the Listed Issuer at the time of his appointment?
✓ No (Please proceed to complete Part III)
Date of notification to Listed Issuer:

18-May-2017

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2	Insaction A 🕤 Delete This Part III Transacti
	Date of acquisition of or change in interest:
	17-May-2017
	Date on which Director/CEO became aware of the acquisition of, or change in, interest (if different from item 1 above, please specify the date):
	17-May-2017
	Explanation ( <i>if the date of becoming aware is different from the date of acquisition of, or changin, interest</i> ):
	<ul> <li>Type of securities which are the subject of the transaction (more than one option may b chosen):</li> <li>Ordinary voting shares/units of Listed Issuer</li> </ul>
	<ul> <li>Other types of shares/units (<i>excluding ordinary voting shares/units</i>) of Listed Issuer</li> </ul>
	Rights/Options/Warrants over shares/units of Listed Issuer
	Debentures of Listed Issuer
	Rights/Options over debentures of Listed Issuer
	Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer
	Participatory interests made available by Listed Issuer
	Others ( <i>please specify</i> ):
	Number of shares, units, rights, options, warrants, participatory interests and/or principation amount/value of debentures or contracts acquired or disposed of by Director/CEO:
	S\$750,000 in aggregate principal amount of Maxi-Cash Financial Services Corporation Ltd 5.5% notes due 2020
	Amount of consideration paid or received by Director/CEO ( <i>excluding brokerage and stam</i> , <i>duties</i> ):
	S\$1.007 per unit

7.	Circumstance giving rise to the interest or change in interest:			
Acquisition of:				
	Securities via market transaction			
	Securities via off-market transaction ( <i>e.g. married deals</i> )			
	Securities via physical settlement of derivatives or other securities			
	Securities pursuant to rights issue			
	Securities via a placement			
	Securities following conversion/exercise of rights, options, warrants or other convertibles			
	Disposal of:			
	Securities via market transaction			
	Securities via off-market transaction ( <i>e.g. married deals</i> )			
	Other circumstances :			
	Acceptance of employee share options/share awards			
	Vesting of share awards			
	Exercise of employee share options			
	Acceptance of take-over offer for Listed Issuer			
	Corporate action by Listed Issuer ( <i>please specify</i> ):			
	Others ( <i>please specify</i> ):			

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 4. Change in respect of debentures of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
Principal amount of debentures held:	4,250,000	6,000,000	10,250,000
No. ( <i>if known</i> ) of shares/units underlying convertible debentures:	4,250,000	6,000,000	10,250,000
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Principal amount of debentures held:	3,500,000	6,000,000	9,500,000

	No. ( <i>if known</i> ) of shares/units underlying convertible debentures:	3,500,000	6,000,000	9,500,000		
9.	9. Circumstances giving rise to deemed interests ( <i>if the interest is such</i> ): [You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, set out in item 8 tables 1 to 8, arises]					
	Koh Wee Seng is deemed to have an inter- Ltd. through Aspial Corporation Limited b notes held by his spouse.					
10.	Attachments ( <i>if any</i> ): 🅤					
	(The total file size for all attachment(	s) should not exceed	1MB.)			
11.	If this is a replacement of an earlier	r notification, plea	se provide:			
	(a) SGXNet announcement refere (the "Initial Announcement"):	ence of the <u>first</u> n	otification which w	as announced on SGXNet		
	(b) Date of the Initial Announcem	ent:				
	(c) 15-digit transaction reference number of the relevant transaction in the Form 1 which v attached in the Initial Announcement:					
12.	Remarks ( <i>if any</i> ):					
Tre	ansaction Poforance Number (auto a	oporated:				
1	Transaction Reference Number (auto-generated):         1       8       1       4       9       1       8       4       2       7       4       3       4       8					

10	ansaction B 🕤 Delete This Part III Transacti				
	Date of acquisition of or change in interest:				
	18-May-2017				
	Date on which Director/CEO became aware of the acquisition of, or change in, interest 🕥 ( <i>if different from item 1 above, please specify the date</i> ):				
	18-May-2017				
	Explanation (if the date of becoming aware is different from the date of acquisition of, or change in, interest):				
	Type of securities which are the subject of the transaction <i>(more than one option may b chosen):</i>				
	Ordinary voting shares/units of Listed Issuer				
	<ul> <li>Other types of shares/units (<i>excluding ordinary voting shares/units</i>) of Listed Issuer</li> <li>Rights/Options/Warrants over shares/units of Listed Issuer</li> </ul>				
	<ul> <li>Debentures of Listed Issuer</li> </ul>				
	Rights/Options over debentures of Listed Issuer				
	Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is				
	<ul> <li>entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer</li> </ul>				
	Participatory interests made available by Listed Issuer				
	Others ( <i>please specify</i> ):				
	Number of shares, units, rights, options, warrants, participatory interests and/or principa				
	amount/value of debentures or contracts acquired or disposed of by Director/CEO:				
	S\$1,500,000 in aggregate principal amount of Maxi-Cash Financial Services Corporation Ltd 5.5% notes due 202				
	Amount of consideration paid or received by Director/CEO (excluding brokerage and stam				
	duties):				

7.	Circumstance giving rise to the interest or change in interest:			
Acquisition of:				
	Securities via market transaction			
	Securities via off-market transaction ( <i>e.g. married deals</i> )			
	Securities via physical settlement of derivatives or other securities			
	Securities pursuant to rights issue			
	Securities via a placement			
	Securities following conversion/exercise of rights, options, warrants or other convertibles			
	Disposal of:			
	Securities via market transaction			
	Securities via off-market transaction ( <i>e.g. married deals</i> )			
	Other circumstances :			
	Acceptance of employee share options/share awards			
	Vesting of share awards			
	Exercise of employee share options			
	Acceptance of take-over offer for Listed Issuer			
	Corporate action by Listed Issuer ( <i>please specify</i> ):			
	Others ( <i>please specify</i> ):			

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 4. Change in respect of debentures of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
Principal amount of debentures held:	3,500,000	6,000,000	9,500,000
No. ( <i>if known</i> ) of shares/units underlying convertible debentures:	3,500,000	6,000,000	9,500,000
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Principal amount of debentures held:	2,000,000	6,000,000	8,000,000

		( <i>if known</i> ) of shares/units underlying vertible debentures:	2,000,000	6,000,000	8,000,000
9.	Circumstances giving rise to deemed interests ( <i>if the interest is such</i> ): [You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, as set out in item 8 tables 1 to 8, arises]				emed interest, as
	Koh Wee Seng is deemed to have an interest in the Company's notes held by AF Global Investment Holding Pte. Ltd. through Aspial Corporation Limited by virtue of Section 7 of the Companies Act (Cap 50) and the Company's notes held by his spouse.				
10.	Attac	chments ( <i>if any</i> ): () (The total file size for all attachment(s) sh	ould not exceed 1MB.)		
11.	If this	s is a <b>replacement</b> of an earlier not	ification, please p	rovide:	
	(a)	SGXNet announcement reference ( <i>the "Initial Announcement"</i> ):	•••••		nnounced on SGXNet
	(b)	Date of the Initial Announcement:	7		
	<ul> <li>(c) 15-digit transaction reference number of the relevant transaction in the Form 1 which was attached in the Initial Announcement:</li> </ul>				
12.	Rom	arks (if any).			
12.	12. Remarks ( <i>if any</i> ):				
Transaction Reference Number (auto-generated):					
4 5 0 0 1 7 2 4 8 2 3 6 4 4 1					
Additional transaction (Transaction "B", "C", etc) by the same Director/CEO where the information in Part I is the same for the additional transaction					
Add New Part III Transaction					
Item 13 is to be completed by an individual submitting this notification form on behalf of the Director/CEO.					
13. Particulars of Individual submitting this notification form to the Listed Issuer:					

(a) Name of Individual:

Lim Swee Ann

# (b) Designation (*if applicable*):

Company Secretary

## (c) Name of entity (*if applicable*):

Maxi-Cash Financial Services Corporation Ltd