

# Disclosure of Shareholdings

## FORM I

Notification of direct or indirect acquisition or direct or indirect sale pursuant to Art. 9 and Art. 21 SESTO-FINMA

### Addressees and time limits

Notifications must be submitted to the company and to the competent Disclosure Office in writing within four trading days (Art. 22 para. 1 BEHV-FINMA). Notifications that are submitted by fax or electronically are recognised for the purposes of compliance with time limits. The original document must follow by mail (Art. 7 para. 1 BEHV-FINMA).

## Language

All notifications and applications must be drawn up in English, French or German.

#### Disclosure forms

The disclosure forms drawn up by the Disclosure Office of SIX Swiss Exchange are intended to facilitate complete disclosure on the part of those subject to notification obligations. Their use is not mandatory, however.

Address	Telephone
SIX Swiss Exchange Ltd. Disclosure Office Selnaustrasse 30 P.O. Box 1758 CH-8021 Zürich	+41 (0)58 399 41 19 +41 (0)58 399 26 80 +41 (0)58 399 43 48 +41 (0)58 399 43 02
Fax +41 (0)58 499 2935	e-mail offenlegung@six-group.com

# Form I

# Notification of direct or indirect acquisition or direct or indirect sale pursuant to Art. 9 and Art. 21 SESTO-FINMA

I. Information on the Issuer, persons subject to disclosure obligations, timing and the circumstances triggering the obligation to notify

Name of listed company 1					
Lonza Group AG (including LONN_VX and LZAGY_US)					
Surname, first name and place of residence or company name, head office and address of the buyer or seller (beneficial owner)	ie 2				
Harding Loevner LP 400 Crossing Boulevard Fourth Floor					
Bridgewater, NJ 08807 USA					
Littimate owner of Harding Loevner LP (~60% equity interest): Affiliated Managers Group, Inc. 600 Hale Street					
Prides Crossing, MA 01965 USA					
In the event of indirect acquisition or indirect sale:	3				
Surname, first name and place of residence or company name, head office and address of the direct buyer or soller and/or the other persons concerned, as well as details of the relationst between the beneficial owner and the direct buyer or seller, disclosing the full structure by we control is exercised	nin				
Direct Holder: Harding Loevner LP 400 Crossing Boulevard					
Fourth Floor Bridgewater, NJ 08807 USA					
Indirect Holder:					
Ultimete owner of Harding Loavner LP (~60% equity interest): Affiliated Managers Group, Inc. 600 Hale Street	. 1				
Prides Crossing, MA 01965 USA					
Date of the act which creates the obligation to different from section 4)	i (if 5				
27 January 2014					
Facts and circumstances triggering the obligation to notify (Art. 21 para, 1 b SESTO-FINMA) (e.g.: acquisition, sale, securities lending and similar transactions pursuant to Art. 14 SESTO-FINMA, exercise of exercise of financial instruments pursuant to Art. 15 SESTO-FINMA, changes in the company's registered capital decisions by a court or public authority, grounds for action in concert or a change to the composition of a group)	£1				
Share sales on behalf of discretionary investment management clients.					
Surname, first name, place of residence, telephone and fax numbers of the contact person 7 responsible					
Lori Renzulli Chief Compliance Officer Harding Loevner LP					

400 Crossing Boulevard Fourth Floor Bridgewater, NJ 08807 USA	
908.947.0113	
Surname, first name, place of residence and signature of the person responsible for this notification (if different from section 2)	8
Date and signature Low M. Remull: 30 January 2014	

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# II. Disclosure of purchase positions pursuant to Art. 12 para. 1 a SESTO-FINMA

Equity securities, conversion and share purchase rights (Art. 15 para. 1 a SESTO-FINMA), granted (written) share sale rights (Art. 15 para 1 b SESTO-FINMA) and financial instruments (Art. 15 para 1 c SESTO-FINMA)

	Basis of calculation: total number of voting rights pursuant to the entry in the commercial register (cf. Central Business Names Index)
2.9972 as of 27 January 2014	52,920,140

## 1. Equity securities:

Sheres	Number	· Voting rights <sup>1</sup>	
	**************************************	Number	Percentage
Regist	1,586,133	1,586,133	2.9972%
Boarer	**		
Total:		1.1	1.2

Securities lending and comparable transactions in equity securities

From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions

(Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Shares	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty
Regist	N/A	N/A	N/A	N/A
Bearor	N/A	N/A	N/A	N/A

#### 2. Financial instruments

Conversion rights, share purchase rights and granted (written) share sale rights, that
provide or permit actual delivery<sup>3</sup>:

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms
		Number	Percentage <sup>2</sup>	(e.g.: identity of leauer, underlying, subscription ratio, exercise price, exercise period, exercise type)
Total:		2.1	2.2	·

Whelher exercisable or not

<sup>2</sup> Calculated on the basis of the total number of voling rights pursuant to the entry in the commercial register (Art, 12 pars, 2 SESTO-FINMA).

Whether standardised or not.

 Financial Instruments that provide for or permit cash settlement, as well as other differential transactions (such as contracts for difference and financial futures) (Art. 15 para. 1 c SESTO-FINMA);

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms
		Number	Percentage	(e.g.: Identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
Total:		3.1	3.2	

 Financial instruments which economically enable the acquisition of equity securities in respect to a public takeover offer (Art. 15 para. 2 SESTO-FINMA);

k 1 to	T	
 Number	Percentage	(e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
4.1	4.2	
	4.1	4.1 4.2

Securities lending and comparable transactions in financial instruments

From the above mentioned financial instruments (Point 2 a, b and o), the following part is held due to securities lending and comparable transactions (Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Type of rights	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty

TOTAL SHAREHOLDING:

Number	Percentage
51 (=1.1+2,1+8.144.1)	52 (=1.2+2.2+3.2+4.2)
1,586,1333	2.9972%

# III. Disclosure of sale positions pursuant to Art. 12 para. 1 b SESTO-FINMA

Share sale rights (specifically put options) and granted (written) conversion and share purchase rights as well as financial instruments that provide for or permit cash settlement as well as other differential transactions (such as contracts for difference and financial futures)

Percentage of voting rights (entire shareholding)	Basis of calculation: total number of voting rights pursuant to the entry in the commercial register (of, Central Business Names Index)

# Detailed Information (Art. 15 and Art. 21 para. 1 a and para. 2 c and d SESTO-FINMA)

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), If listed on a Swiss stock exchange, or basic terms
		Number	Percentage	(e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
Total:				