# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <a href="http://www.mas.gov.sg">http://www.mas.gov.sg</a> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General Name of Listed Issuer: 1. Cortina Holdings Limited 2. Type of Listed Issuer: ✓ Company/Corporation Registered/Recognised Business Trust □ Real Estate Investment Trust 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form? ☐ No (Please proceed to complete Part II) ✓ Yes (Please proceed to complete Parts III & IV) Date of notification to Listed Issuer: 4. 21-Oct-2014

## Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Substantial Shareholder/Unitholder 🖊
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<u>Sur</u>	Name of Substantial Shareholder/L	Initholder:		
1.	Chia Nyok Song@Cheah Yoke Heng	minoider.		
2.	Is Substantial Shareholder/Unithol securities of the Listed Issuer are h		•	vhose interest in the
	✓ No			
3.	Notification in respect of:	/LL-20LL		
	Becoming a Substantial Shareholde			
	✓ Change in the percentage level of in	nterest while still rei	maining a Substantia	I Shareholder/Unitholde
	Ceasing to be a Substantial Shareh	nolder/Unitholder		
4.	Date of acquisition of or change in i	interest:		
	20-Oct-2014			
5.	Date on which Substantial Shareho change in, interest (if different f			•
	20-Oct-2014			
6.	Explanation (if the date of becomin change in, interest):	ng aware is differe	nt from the date of	acquisition of, or the
7.	Quantum of total voting shares/unit warrants/convertible debentures (convertible debentures) Unitholder before and after the trans	onversion price ki		
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
		0	66.788.425	66.788.425

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	66,788,425	66,788,425
As a percentage of total no. of voting shares/units:	0	40.336	40.336
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest	Deemed Interest 67,988,425	<i>Total</i> 67,988,425

	Please see paragraph 9 below.
	Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]
	Lim Keen Ban Holdings Pte Ltd is owned by Mr Lim Keen Ban, Mdm Chia Nyok Song@Cheah Yoke Heng, Mi Lim Jit Ming, Mr Lim Jit Yaw and Ms Lim Yin Chian.
	Ming Yaw Pte Ltd is owned by Mr Lim Keen Ban, Mdm Chia Nyok Song@Cheah Yoke Heng, Mr Lim Jit Yaw and Ms Lim Yin Chian.
	Mdm Chia is deemed interested in the 52,657,490 shares held by Lim Keen Ban Holdings Pte Ltd and 15,330,935 shares held by Ming Yaw Pte Ltd.
	Attachments (if any): 🕤
	(The total file size for all attachment(s) should not exceed 1MB.)
	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
	Remarks (if any):
)5	stantial Shareholder/Unitholder B
	Name of Substantial Shareholder/Unitholder:
	Lim Yin Chian
	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in t securities of the Listed Issuer are held solely through fund manager(s)?  Yes

	Notification in respect of:  Becoming a Substantial Sharehole	der/Unitholder		
	✓ Change in the percentage level of	f interest while still re	emaining a Substantia	al Shareholder/Unithold
	Ceasing to be a Substantial Share	eholder/Unitholder		
•	Date of acquisition of or change in	n interest:		
	20-Oct-2014			
•	Date on which Substantial Shareh change in, interest (if different			•
	20-Oct-2014			
	Explanation (if the date of become change in, interest):	ing aware is differ	ent from the date o	f acquisition of, or the
	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	(conversion price l	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/overtible debentures:	0	66,788,425	66,788,425
As uni	a percentage of total no. of voting shares/	0	40.336	40.336
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/ overtible debentures :	0	67,988,425	67,988,425
As unit	a percentage of total no. of voting shares/	0	41.061	41.061
	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]	•	•	er/Unitholder's deemed
	Please see paragraph 9 below.			
	Please see paragraph 9 below.			
	Please see paragraph 9 below.			
	Relationship between the Substar [You may attach a chart in item 10 to Unitholders]			

	Ming Yaw Pte Ltd is owned by Mr Lim Keen Ban, Mdm Chia Nyok Song@Cheah Yoke Heng, Mr Lim Jit Yaw and Ms Lim Yin Chian.
	Ms Lim Yin Chian is deemed interested in the 52,657,490 shares held by Lim Keen Ban Holdings Pte Ltd and 15,330,935 shares held by Ming Yaw Pte Ltd.
10.	Attachments (if any): 🕤
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <b>first</b> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks (if any):
	Remarks ( <i>if any</i> ):
Sub	estantial Shareholder/Unitholder C
Sub	estantial Shareholder/Unitholder C  Name of Substantial Shareholder/Unitholder:
<u>Sub</u>	Istantial Shareholder/Unitholder C  Name of Substantial Shareholder/Unitholder:  Lim Keen Ban Holdings Pte Ltd  Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:
<u>Sub</u> 1. 2.	Name of Substantial Shareholder/Unitholder:  Lim Keen Ban Holdings Pte Ltd  Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder
<u>Sub</u> 1. 2.	Name of Substantial Shareholder/Unitholder:  Lim Keen Ban Holdings Pte Ltd  Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder  Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
<u>Sub</u> 1. 2.	Name of Substantial Shareholder/Unitholder:  Lim Keen Ban Holdings Pte Ltd  Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder
<u>Sub</u> 1. 2.	Name of Substantial Shareholder/Unitholder:  Lim Keen Ban Holdings Pte Ltd  Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder  Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

	change in, interest (if different 20-Oct-2014	mom item 4 abov	e, piease specify th	e date).
	Explanation (if the date of becomi	na aware is diffei	rent from the date o	f acquisition of or t
	change in, interest):	ng aware is union	- Cite Hom the date o	acquisition of, or a
	Quantum of total voting shares/un warrants/convertible debentures { Unitholder before and after the train	conversion price	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
nd	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures:	51,457,490	0	51,457,490
ıs a	a percentage of total no. of voting shares/	31.077	0	31.077
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
nd	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures :	52,657,490	0	52,657,490
	a percentage of total no. of voting shares/	31.802	0	31.802
	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]	ned interests (if the illustrate how the S	e interest is such): ubstantial Shareholde	er/Unitholder's deemed
	Relationship between the Substan [You may attach a chart in item 10 to Unitholders]		9	
).	Attachments (if any): 1			
	(The total file size for all attachmer	nt(s) should not excee	ed 1MB.)	

	(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Rem	arks (if any):

### Part IV - Transaction details

1.	Type of securities which are the subject of the transaction (more than one option may be chosen):
	✓ Voting shares/units
	Rights/Options/Warrants over voting shares/units
	Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
2.	Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholders/Unitholders:
	1,200,000
3.	Amount of consideration paid or received by Substantial Shareholders/Unitholders (excluding brokerage and stamp duties):
	S\$1,032,000
4.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Other circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
	Others (please specify):

	articulars of Individual submitting this notification form to the Listed Issuer:
(8	
	Lim Keen Ban
(k	
	Director
(0	Name of entity ( <i>if applicable</i> ):
ansa	ction Reference Number (auto-generated):
I 8 4	1 2 3 3 1 4 9 2 5 8 7 5 3
•	