



33F Tower One, Ayala Triangle, Ayala Avenue  
Makati City, 1226 Philippines  
[www.ayala.com.ph](http://www.ayala.com.ph)

14 October 2021

**Philippine Stock Exchange**

6/F, PSE Tower  
5<sup>th</sup> Avenue corner 28<sup>th</sup> Street,  
Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion  
*Head, Disclosure Department*

**Philippine Dealing & Exchange Corporation**

29th Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City 1226

Attention: Atty. Marie Rose M. Magallen-Lirio  
*Head – Issuer Compliance and Disclosure Department*

Subject: Report on the Top 100 Shareholders

Gentlemen:

This is in connection with the Exchange's Revised Disclosure Rules requiring Ayala Corporation to submit a report on the Top 100 Shareholders of the Company.

In compliance therewith, we are submitting herewith the list of the Top 100 Shareholders of the Company's Preferred "B" Series 1 shares for the quarter-ending September 2021.

Thank you.

Very truly yours,

A handwritten signature in dark ink, appearing to read 'Solomon M. Hermosura', is written over a light blue horizontal line.

**SOLOMON M. HERMOSURA**

Chief Legal Officer and Corporate Secretary

RBKPS104



Philippine Depository &amp; Trust Corp.

AutoGen

Business Date : 09/30/2021

## TOP 100 PDTC PARTICIPANTS REPORT

Print Date : 10/01/2021

Instrument : ACPB10000000

Instrument Name : AYALA CORPORATION PREFERRED B1 SHAR

Transfer Agent : REGS0000001

Transfer Agent Name : PHILIPPINE DEPOSITORY &amp; TRUST CORPORATION

| S.No | BP ID       | BP NAME                                                       | HOLDINGS      | %Holding |
|------|-------------|---------------------------------------------------------------|---------------|----------|
| 1    | RPCNC000FIL | PCD NOMINEE CORP - FILIPINO                                   | 19,763,170.00 | 98.82    |
| 2    | RPCNCNONFIL | PCD NOMINEE CORP - NON-FILIPINO                               | 89,770.00     | 0.45     |
| 3    | RCERTAB0022 | MAKATI CENTRAL ESTATE ASSOCIATION INC                         | 60,000.00     | 0.30     |
| 4    | RCERTAB0018 | INSIGNE FORTUNA HOLDINGS INC                                  | 19,320.00     | 0.10     |
| 5    | RCERTAB0011 | ONE POINT CONTACT INC                                         | 18,000.00     | 0.09     |
| 6    | RCERTAB0001 | SANTOS, LEONEL A. AND/OR SANTOS, ALICIA C.                    | 7,000.00      | 0.04     |
| 7    | RCERTAB0005 | CHAN RAYMOND O OR CHAN LYNETTE J                              | 5,000.00      | 0.03     |
| 8    | RCERTAB0017 | TAN BEN CUEVO AND OR TAN IMELDA TORALBA                       | 6,000.00      | 0.03     |
| 9    | RCERTAB0004 | CHAVEZ, FELIX B. OR AIDA T. CHAVEZ, OR IRENE T. CHAVEZ        | 5,000.00      | 0.03     |
| 10   | RCERTAB0019 | ZALAMEA ENRIQUE JR M                                          | 4,000.00      | 0.02     |
| 11   | RCERTAB0021 | LUMAWIG JOSE MAXIMILLAN F OR LUMAWIG GRACE T                  | 4,000.00      | 0.02     |
| 12   | RCERTAB0010 | PHILIPPINE BRITISH ASSURANCE COMPANY INC                      | 4,000.00      | 0.02     |
| 13   | RCERTAB0012 | MARIANO VICENTE LIM TAN OR KATHERINE LIM TAN OR ELENA LIM TAN | 3,000.00      | 0.02     |
| 14   | RCERTAB0023 | TAN SHERLEY GARGANTOS OR TAN BRIAN GARGANTOS                  | 3,400.00      | 0.02     |
| 15   | RCERTAB0006 | BAUTISTA FELICIANO M AND OR BAUTISTA DJ ELISA                 | 1,000.00      | 0.01     |

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Business Date : 09/30/2021

## TOP 100 PDTC PARTICIPANTS REPORT

Print Date : 10/01/2021

|             |             |                                                                  |            |      |
|-------------|-------------|------------------------------------------------------------------|------------|------|
| 16          | RCERTAB0013 | MARIANO VICENTE LIM TAN OR KATHERINE LIM<br>TAN OR ELENA LIM TAN | 2,600.00   | 0.01 |
| 17          | RCERTAB0014 | MARIANO VICENTE LIM TAN OR KATHERINE LIM<br>TAN OR ELENA LIM TAN | 2,400.00   | 0.01 |
| 18          | RCERTAB0002 | MACABUHAY, MELCHOR T.                                            | 1,540.00   | 0.01 |
| 19          | RCERTAB0020 | G D TAN AND COMPANY INC                                          | 200.00     | 0.00 |
| 20          | RCERTAB0015 | LIM IRIS VERONICA GO                                             | 600.00     | 0.00 |
| GRAND TOTAL |             |                                                                  | 20,000,000 |      |

# OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ACPB10000000

Business Date: September 30, 2021

| BPNAME                                                       | HOLDINGS  |
|--------------------------------------------------------------|-----------|
| CITIBANK N.A.                                                | 4,380,060 |
| BDO SECURITIES CORPORATION                                   | 3,703,780 |
| BPI SECURITIES CORPORATION                                   | 2,688,317 |
| MBTC - TRUST BANKING GROUP                                   | 1,260,530 |
| FIRST METRO SECURITIES BROKERAGE CORP.                       | 1,247,091 |
| DEUTSCHE BANK MANILA-CLIENTS A/C                             | 1,201,930 |
| CHINA BANKING CORPORATION - TRUST GROUP                      | 710,260   |
| RCBC SECURITIES, INC.                                        | 549,410   |
| SB EQUITIES, INC.                                            | 463,700   |
| R. COYIUTO SECURITIES, INC.                                  | 445,760   |
| ASIA UNITED BANK - TRUST & INVESTMENT GROUP                  | 321,610   |
| MAYBANK ATR KIM ENG SECURITIES, INC.                         | 319,000   |
| RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS     | 178,040   |
| INTRA-INVEST SECURITIES, INC.                                | 160,000   |
| RCBC TRUST & INVESTMENT DIVISION                             | 147,620   |
| PNB TRUST BANKING GROUP                                      | 142,680   |
| BANCO DE ORO - TRUST BANKING GROUP                           | 133,470   |
| TRITON SECURITIES CORP.                                      | 126,000   |
| EASTWEST BANKING CORPORATION - TRUST DIVISION                | 123,830   |
| A & A SECURITIES, INC.                                       | 110,100   |
| NATIONAL REINSURANCE CORPORATION OF THE PHILIPPINES          | 100,000   |
| ABACUS SECURITIES CORPORATION                                | 70,625    |
| STRATEGIC EQUITIES CORP.                                     | 70,170    |
| YAO & ZIALCITA, INC.                                         | 67,280    |
| SunSecurities, Inc.                                          | 65,000    |
| AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.      | 58,890    |
| UNITED COCONUT PLANTERS BANK-TRUST BANKING                   | 58,110    |
| GLOBALINKS SECURITIES & STOCKS, INC.                         | 55,990    |
| FIRST ORIENT SECURITIES, INC.                                | 54,740    |
| UNICAPITAL SECURITIES INC.                                   | 50,000    |
| COL Financial Group, Inc.                                    | 47,870    |
| TOWER SECURITIES, INC.                                       | 39,710    |
| AP SECURITIES INCORPORATED                                   | 34,500    |
| COHERCO SECURITIES, INC.                                     | 33,010    |
| WEALTH SECURITIES, INC.                                      | 27,630    |
| PNB SECURITIES, INC.                                         | 27,290    |
| R. NUBLA SECURITIES, INC.                                    | 26,020    |
| QUALITY INVESTMENTS & SECURITIES CORPORATION                 | 24,240    |
| UPCC SECURITIES CORP.                                        | 23,000    |
| DAVID GO SECURITIES CORP.                                    | 22,600    |
| AB CAPITAL SECURITIES, INC.                                  | 22,470    |
| BANK OF COMMERCE - TRUST SERVICES GROUP                      | 22,000    |
| E. CHUA CHIACO SECURITIES, INC.                              | 21,650    |
| EASTERN SECURITIES DEVELOPMENT CORPORATION                   | 20,570    |
| THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 20,000    |
| MAA GENERAL ASSURANCE PHILS., INC.                           | 20,000    |
| PAPA SECURITIES CORPORATION                                  | 19,400    |
| LUCKY SECURITIES, INC.                                       | 18,500    |
| PHILSTOCKS FINANCIAL INC                                     | 17,709    |
| CAMPOS, LANUZA & COMPANY, INC.                               | 16,660    |
| ASTRA SECURITIES CORPORATION                                 | 16,000    |
| G.D. TAN & COMPANY, INC.                                     | 14,860    |
| EVERGREEN STOCK BROKERAGE & SEC., INC.                       | 14,200    |
| MERIDIAN SECURITIES, INC.                                    | 14,000    |
| STANDARD SECURITIES CORPORATION                              | 13,500    |

| BPNAME                                            | HOLDINGS          |
|---------------------------------------------------|-------------------|
| R. S. LIM & CO., INC.                             | 13,250            |
| JSG SECURITIES, INC.                              | 12,760            |
| ANSALDO, GODINEZ & CO., INC.                      | 12,400            |
| A. T. DE CASTRO SECURITIES CORP.                  | 12,150            |
| SOLAR SECURITIES, INC.                            | 11,300            |
| WESTLINK GLOBAL EQUITIES, INC.                    | 10,650            |
| CHINA BANK SECURITIES CORPORATION                 | 10,620            |
| MANDARIN SECURITIES CORPORATION                   | 10,000            |
| PHILIPPINE EQUITY PARTNERS, INC.                  | 9,981             |
| IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.              | 9,450             |
| BELSON SECURITIES, INC.                           | 9,400             |
| ALAKOR SECURITIES CORPORATION                     | 7,460             |
| DA MARKET SECURITIES, INC.                        | 7,400             |
| ARMSTRONG SECURITIES, INC.                        | 7,400             |
| APEX PHILIPPINES EQUITIES CORPORATION             | 7,100             |
| PREMIUM SECURITIES, INC.                          | 6,000             |
| TANSENGCO & CO., INC.                             | 6,000             |
| CTS GLOBAL EQUITY GROUP, INC.                     | 5,000             |
| GOLDSTAR SECURITIES, INC.                         | 4,500             |
| RTG & COMPANY, INC.                               | 4,490             |
| LOPEZ, LOCSIN, LEDESMA & CO., INC.                | 4,070             |
| STERLING BANK OF ASIA TRUST GROUP                 | 4,000             |
| J.M. BARCELON & CO., INC.                         | 3,820             |
| GUILD SECURITIES, INC.                            | 3,000             |
| H. E. BENNETT SECURITIES, INC.                    | 3,000             |
| AURORA SECURITIES, INC.                           | 2,700             |
| YU & COMPANY, INC.                                | 2,400             |
| REGINA CAPITAL DEVELOPMENT CORPORATION            | 2,170             |
| LAND BANK OF THE PHILIPPINES-TRUST BANKING GROUP  | 1,717             |
| ASIASEC EQUITIES, INC.                            | 1,330             |
| JAKA SECURITIES CORP.                             | 1,000             |
| REGIS PARTNERS, INC.                              | 1,000             |
| PAN ASIA SECURITIES CORP.                         | 1,000             |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC.          | 600               |
| SARANGANI SECURITIES, INC.                        | 500               |
| LARRGO SECURITIES CO., INC.                       | 500               |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 480               |
| VSEC.COM, INC.                                    | 400               |
| MERCANTILE SECURITIES CORP.                       | 200               |
| EAGLE EQUITIES, INC.                              | 150               |
| R & L INVESTMENTS, INC.                           | 100               |
| SUMMIT SECURITIES, INC.                           | 100               |
| F. YAP SECURITIES, INC.                           | 10                |
| <b>Total</b>                                      | <b>19,852,940</b> |

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.