## SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

## NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

(Electronic Format)

FORM

## **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing this notification form.
- This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies <u>only</u> to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

	Part I - General
1.	Name of Listed Issuer:
	GLOBAL INVESTMENTS LIMITED
2.	Type of Listed Issuer:
	Company/Corporation
	Registered/Recognised Business Trust
	Real Estate Investment Trust
3.	Name of Director/CEO:
	SEE YONG KIAT
4.	Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?
	✓ No
5.	Is the Director/CEO notifying in respect of his interests in securities of, or made available by, the Listed Issuer at the time of his appointment?  ☐ Yes (Please proceed to complete Part II)  ✔ No (Please proceed to complete Part III)
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6.	Date of notification to Listed Issuer:

15-Oct-2018

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	Date of acquisition of or change in intere	est:			
	15-Oct-2018				
	Date on which Director/CEO became aware of the acquisition of, or change in, interest () ( <i>if different from item 1 above, please specify the date</i> ):				
	15-Oct-2018				
	Explanation ( <i>if the date of becoming awain, interest</i> ):	are is different from the date of acquisition of, or change			
	Type of securities which are the subjection chosen):	ect of the transaction (more than one option may be			
	<ul> <li>✓ Ordinary voting shares/units of Listed Issuer</li> </ul>				
	Other types of shares/units (excluding ordinary voting shares/units) of Listed Issuer				
Rights/Options/Warrants over shares/units of Listed Issuer					
Debentures of Listed Issuer					
<ul> <li>Rights/Options over debentures of Listed Issuer</li> </ul>					
		er which Director/CEO is a party to, or under which he is ler which any person has a right to call for or to make			
	Participatory interests made available b	y Listed Issuer			
	Others ( <i>please specify</i> ):				
	•	ons, warrants, participatory interests and/or principa s acquired or disposed of by Director/CEO:			
	234,473 shares- elected to receive shares (scrip				
		ved by Director/CEO (excluding brokerage and stamp			
	\$0.13 per share				

Circumstance giving rise to the interest or change in interest:	
Acquisition of:	
Securities via market transaction	
Securities via off-market transaction ( <i>e.g. married deals</i> )	
Securities via physical settlement of derivatives or other securities	
Securities pursuant to rights issue	
Securities via a placement	
Securities following conversion/exercise of rights, options, warrants or other convertibles	
Disposal of:	
Securities via market transaction	
Securities via off-market transaction ( <i>e.g. married deals</i> )	
Other circumstances :	
Acceptance of employee share options/share awards	
Vesting of share awards	
Exercise of employee share options	
Acceptance of take-over offer for Listed Issuer	
Corporate action by Listed Issuer ( <i>please specify</i> ):	

✓ Others (*please specify*):

7.

Receipt of scrip dividend (credited as fully paid at S\$0.13 per share) in lieu of cash pursuant to the Global Investments Limited Scrip Dividend Scheme in respect of the interim one-tier tax exempt dividend of S\$0.005 per ordinary share for the financial year ending 31 December 2018.

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	8,847,588	0	8,847,588
As a percentage of total no. of ordinary voting shares/units:	0.52	0	0.52
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	9,082,061	0	9,082,061

	As a voti	a percentage of total no. of ordinary ing shares/units:	0.53	0	0.53		
).	Circumstances giving rise to deemed interests ( <i>if the interest is such</i> ): [You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, as set out in item 8 tables 1 to 8, arises]						
0.	Attao	chments ( <i>if any</i> ): <b>()</b> (The total file size for all attachment(s)	should not exceed	1MB.)			
1.	lf thi	s is a <b>replacement</b> of an earlier	notification, plea	se provide:			
	(a)	SGXNet announcement referer	nce of the <u>first</u> i	notification whic	h was announced on	SGXNet	
		(the "Initial Announcement"):					
	(b)	Date of the Initial Announceme	nt:				
	(c)	15-digit transaction reference r attached in the Initial Announce		elevant transac	tion in the Form 1 wl	hich was	
2.	Remarks ( <i>if any</i> ):						
	The share capital was 1,696,295,038 before change, and 1,723,842,446 after change.						
Tr	ansar	tion Reference Number (auto-ge	nerated).				
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9	5 0		2				
	m 13 i	s to be completed by an individual s	ubmitting this not	fication form on k	ehalf of the Director/CE	EO.	
lte					lequer:		
		iculars of Individual submitting thi	is notification fo	rm to the Listed	155001.		
Ite 3.		iculars of Individual submitting thi Name of Individual:	is notification fo	rm to the Listed			

- (b) Designation (*if applicable*):
- (c) Name of entity (*if applicable*):