

**LIM SOO AUN**

**WORKING EXPERIENCE AND OCCUPATION(S) DURING THE PAST 10 YEARS**

| <b>From</b>    | <b>To</b>     | <b>Name of Employer</b>              | <b>Position</b>                                |
|----------------|---------------|--------------------------------------|------------------------------------------------|
| June 2006      | December 2009 | Stanton Technologies Sdn. Bhd.*      | Chief Financial Officer                        |
| February 2007  | March 2008    | Linear Cooling Industries Sdn. Bhd.* | Chief Financial Officer                        |
| March 2010     | October 2017  | Leader Steel Holdings Berhad         | General Manager cum<br>Chief Financial Officer |
| November 2017  | March 2018    | Sin Soon Hock Sdn. Bhd.              | Chief Financial Officer                        |
| September 2018 | January 2019  | Aspen Vision Development Sdn. Bhd.   | Financial Controller                           |

*\* Same major shareholder*

**ADDITIONAL INFORMATION REQUIRED PERSUANT TO CATALIST RULE 704(6) AND APPENDIX 7F  
OF THE CATALIST RULES**

**(f) Whether at any time during the last 10 years, judgment has been entered against him in any civil proceedings in Singapore or elsewhere involving a breach of any law or regulatory requirement that relates to the securities or futures industry in Singapore or elsewhere, or a finding of fraud, misrepresentation or dishonesty on his part, or he has been the subject of any civil proceedings (including any pending civil proceedings of which he is aware) involving an allegation of fraud, misrepresentation or dishonesty on his part?**

**Yes**

*Details:*

*Lim Soo Aun (“LSA”) is named as the 6th Defendant in a civil suit filed by KBH Marine Industry Sdn Bhd (“KBH”) and Sin Soon Hock Sdn Bhd (“SSH”) (collectively referred to as the “Companies”). The civil suit is registered as Civil Suit No.: PA-22NCVC-74-05/2018 in Penang High Court (“Civil Suit”).*

*In summary, the Companies alleged in the said Civil Suit that LSA together with 7 other Defendants conspired to defraud KBH through an alleged corporate exercise, namely the acquisition of the shares in a public listed company named Ta Win Holdings Berhad (291592-U) through a special purpose vehicle. As a result of that, the Companies alleged that the said corporate exercise had caused the Companies to suffer loss and damage.*

*LSA joined SSH on 1 November 2017 as its Chief Financial Officer (“CFO”). LSA had effectively only worked in SSH for 4 months i.e. from 1 November 2017 until 22 March 2018 when he was suspended by SSH and subsequently dismissed by SSH on 11 June 2018. It is pertinent to note that the said corporate exercise had taken place before LSA joined SSH and he was not a party or privy to any negotiation and business decisions that had taken place prior to him joining SSH.*

*LSA via his solicitors, Messrs Presgrave & Matthews, has filed a Defence that the claims in the Civil Suit are without merit, frivolous and vexatious and further denying the aforesaid allegation and any conspiracy to defraud the Companies. LSA has also filed a Counterclaim claiming from the Companies damages for libel for publishing defamatory words concerning LSA in relation to the alleged corporate exercise.*

*Further, LSA has also filed a representation to the Malaysia Industrial Relation Department for unlawful dismissal by SSH. The Minister of Human Resource of Malaysia has referred the representation to the Industrial Court for determination. The case is now registered in Industrial Court as 9/4-2880/18.*

*No hearing date has been fixed as yet for both the said Civil Suit in High Court and the LSA’s claim in the Industrial Court.*