

19 August 2021

PRINCIPAL FTSE ASEAN 40 (THE “FUND”) – CHANGES TO THE FUND

We, Principal Asset Management (S) Pte. Ltd. (the “**Manager**”), the manager of the Fund, wish to inform you of the following changes that were made to the Fund:

- (1) Changes to the directors of the Manager:
Ms Chong Chooi Wan was appointed as a director of the Manager on 12 June 2020. Ms Chong replaces Ms Lum Joy Deng, who resigned as a director of the Manager on 15 June 2020.
- (2) Creations and redemptions in cash only:
With effect from 18 September 2020, the Manager has ceased to permit partial delivery / in-kind settlement (by delivery of Index Securities) (“**In-Kind Settlement**”) for creations and redemptions of units in the Fund (“**Units**”). As such, with effect from 18 September 2020, the participating dealers for the Fund may only create and redeem Units using cash.

In view that In-Kind Settlement is no longer available, with effect from 18 September 2020, the Manager has ceased to charge the partial delivery request fee and the transaction fees for full or partial securities settlements.
- (3) Removal of extended settlement; and
In view that In-Kind Settlement is no longer available, with effect from 18 September 2020, extension of the settlement period is longer permitted and the Manager has accordingly ceased to charge the extension fee.
- (4) Change in minimum initial and subsequent subscription amounts, application/creation size and minimum redemption amount.
With effect from 18 September 2020, these have been reduced from 50,000 Units to 10,000 Units.

The above changes are reflected in the amended prospectus for the Fund that was registered by the Monetary Authority of Singapore on 18 September 2020 and the Fifth Amending and Restating Deed of the Fund dated 18 September 2020.

For further information, investors can visit our website at <https://www.principal.com.sg/en/etf-sg>. Investors may also contact us at +65 6390 0800 or email us at nicholas.yap@principal.com.sg.

Christopher Leow
Chief Executive Officer
For and on behalf of
Principal Asset Management (S) Pte. Ltd.

Important Information

The manager of the Fund is Principal Asset Management (S) Pte. Ltd. (Company Registration Number 200607208K). A copy of the prospectus of the Fund is available from Principal Asset Management (S) Pte. Ltd. at 50 Raffles Place, #22-03A&B Singapore Land Tower, Singapore 048623 or its website at <https://www.principal.com.sg/en/etf-sg>. All applications for units in the Fund must be made in accordance with the manner set out in the prospectus. Investors should read the prospectus for details.

Investors should read the prospectus before deciding to purchase units in the Fund. The value of the units and the income derived from them, if any, may fall as well as rise. Past performances of the manager, the Fund or the FTSE/ASEAN 40 Index are not necessarily indicative of their future performance. The information contained herein is for your general information only and does not have any regard to your specific investment objectives, financial situation and any of your particular needs. You may wish to seek advice from a financial adviser before making a commitment to purchase any of the products. In the event that you choose not to seek advice from a financial adviser, you should consider whether the Fund is suitable for you.
