# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

## NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

#### Part I - General

### Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Sub	stantial Shareholder/Unitholder A
1.	Name of Substantial Shareholder/Unitholder:
	Credit Suisse AG ("CSAG")
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
•	
3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	✓ Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	04-Dec-2015
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	08-Dec-2015
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):
	The position tracking system used to monitor equity positions held by Credit Suisse Group AG and all its' affiliates for the purpose of substantial shareholder notifications, delivers complete position data only two days after trade date (T+2).

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	64,591,087	64,591,087
As a percentage of total no. of voting shares/units:	0	5.0002	5.0002
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest 0	Deemed Interest 64,489,739	Total 64,489,739

The c	hange in interest of CSAG arises by way of subsidiaries' purchase of 75,200 and sale of 176,548 CIT unit
[You	ationship between the Substantial Shareholders/Unitholders giving notice in this form:  may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ holders]
Credi	t Suisse AG is a wholly owned subsidiary of Credit Suisse Group AG.
Atta	chments (if any): 🕤
IJ	(The total file size for all attachment(s) should not exceed 1MB.)
If thi	s is a <b>replacement</b> of an earlier notification, please provide:
(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
(b)	Date of the Initial Announcement:
(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
Ren	narks ( <i>if any</i> ):
Tton	idino (ii diriy).
stanti	al Shareholder/Unitholder B
Nan	ne of Substantial Shareholder/Unitholder:
Cred	it Suisse Group AG ("CSGAG")
seci	Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes

	Change in the percentage level of	interest while still re	emaining a Substantia	al Shareholder/Unitholder
	✓ Ceasing to be a Substantial Share	holder/Unitholder		
4.	Date of acquisition of or change in	interest:		
	04-Dec-2015			
5.	Date on which Substantial Shareh change in, interest (if different			•
	08-Dec-2015			
6.	Explanation (if the date of become change in, interest):	ng aware is differe	ent from the date o	f acquisition of, or the
	The position tracking system used to mo affiliates for the purpose of substantial sl days after trade date (T+2).			
7.	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	conversion price k		
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or derlying the rights/options/warrants/ overtible debentures:	0	64,591,087	64,591,087
As a	a percentage of total no. of voting shares/	0	5.0002	5.0002
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or derlying the rights/options/warrants/ overtible debentures :	0	64,489,739	64,489,739
As a	a percentage of total no. of voting shares/	0	4.9924	4.9924
8.	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]	•	•	r/Unitholder's deemed
	The change in interest of CSGAG arises bunits.	y way of subsidiaries'	purchase of 75,200 an	d sale of 176,548 CIT
9.	Relationship between the Substan [You may attach a chart in item 10 to Unitholders]			

3.

Notification in respect of:

☐ Becoming a Substantial Shareholder/Unitholder

(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):	10.	Attachments (if any):
(a) SGXNet announcement reference of the first notification which was announced on SGXNet (the "Initial Announcement"):  (b) Date of the Initial Announcement:  (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:		(The total file size for all attachment(s) should not exceed 1MB.)
on SGXNet (the "Initial Announcement"):  (b) Date of the Initial Announcement:  (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:	11.	If this is a <b>replacement</b> of an earlier notification, please provide:
(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:		
(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:		(h) Date of the Initial Apparagraph
which was attached in the Initial Announcement:		(b) Date of the initial Announcement.
2. Remarks (if any):		
2. Remarks (if any):		
	12.	Remarks (if any):

### Part IV - Transaction details

C ✓	Type of securities which are the subject of the transaction <i>(more than one option may be</i> Thinhold the shares shares that the subject of the transaction <i>(more than one option may be</i> Thinhold the subject of the subject of the transaction of the subject of the transaction of the subject of the subject of the subject of the transaction of the subject of the transaction of the subject of the transaction of the subject of th
	Rights/Options/Warrants over voting shares/units
-	Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
	umber of shares, units, rights, options, warrants and/or principal amount of convertible ebentures acquired or disposed of by Substantial Shareholders/Unitholders:
7!	5,200 Units (acquired) / 176,548 Units (disposed)
	mount of consideration paid or received by Substantial Shareholders/Unitholders (excluding rokerage and stamp duties):
SO	GD 43,900.40 (paid) / SGD 102,645.23 (received)
С	ircumstance giving rise to the interest or change in interest:
A	cquisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
D	isposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
0	ther circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in ( <i>please specify</i> ):
<b>√</b>	Others (please specify):
_	the change in interest of CSAG arises by way of subsidiaries' purchase of 75,200 and sale of 176,548 CIT units.
1 1	

		iculars of Individual submitting this notification form to the Listed Issuer:
	(a)	Name of Individual:
		Vivian Kwok
	(b)	Designation (if applicable):
		Compliance
	(c)	Name of entity (if applicable):
		Credit Suisse AG
ran	sactio	on Reference Number (auto-generated):
		3 3 4 2 4 3 5 1 5 3 1 3