# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <a href="http://www.mas.gov.sg">http://www.mas.gov.sg</a> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

#### Part I - General

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1.	Name of Listed Issuer:
	YONGNAM HOLDINGS LIMITED
2.	Type of Listed Issuer:  ✓ Company/Corporation  ☐ Registered/Recognised Business Trust  ☐ Real Estate Investment Trust
3.	Is more than one Substantial Shareholder/Unitholder giving notice in this form?  No (Please proceed to complete Part II)  Yes (Please proceed to complete Parts III & IV)
4.	Date of notification to Listed Issuer:
	07-Sep-2016

## Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Sub	ostantial Shareholder/Unitholder A
1.	Name of Substantial Shareholder/Unitholder:
	CIMB SECURITIES (SINGAPORE) PTE. LTD.
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes No
3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	07-Sep-2016
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	07-Sep-2016
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):
	N.A.
7	Overtime of total vetice also are districted in a vetice also we do write an element in a wight of a stage of

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	48,759,107	0	48,759,107
As a percentage of total no. of voting shares/units:	10.26	0	10.26
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest 43,759,107	Deemed Interest 0	Total 43,759,107

	N.A.
	Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders, Unitholders]
(	CIMB Securities (Singapore) Pte. Ltd. is 100% owned by CIMB Securities International Pte. Ltd.
(	CIMB Securities International Pte. Ltd. is 100% owned by CIMB Group Sdn Bhd.
(	CIMB Group Sdn Bhd is 100% owned by CIMB Group Holdings Berhad.
•	CIMB Group Holdings Berhad is 29.81% owned by Khazanah Nasional Berhad.
	Attachments (if any):
	(The total file size for all attachment(s) should not exceed 1MB.)
	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
	Remarks ( <i>if any</i> ):
_	N.A.
L	
S	stantial Shareholder/Unitholder B
	Name of Substantial Shareholder/Unitholder:
	CIMB SECURITIES INTERNATIONAL PTE. LTD.
	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes
[	✓ No

	Notification in respect of:  Becoming a Substantial Sharehole	der/Unitholder		
			emaining a Substantia	al Shareholder/Unitholo
	Ceasing to be a Substantial Share		3	
	Date of acquisition of or change in	n interest:		
	07-Sep-2016			
	Date on which Substantial Shareh change in, interest (if different			•
	07-Sep-2016			
	Explanation (if the date of become change in, interest):	ing aware is differe	ent from the date o	f acquisition of, or th
	N.A.			
	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	conversion price k	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
nc	on. of voting shares/units held and/or derlying the rights/options/warrants/invertible debentures:	0	48,759,107	48,759,107
		0	10.26	10.26
	a percentage of total no. of voting shares/	0	10.20	10.20
		Direct Interest	Deemed Interest	Total
lo.	its: (i)			
lo.	Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/	Direct Interest	Deemed Interest	Total
lo.	Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:  a percentage of total no. of voting shares/	Direct Interest  0  0  ned interests (if the	Deemed Interest 43,759,107  9.21  e interest is such):	<i>Total</i> 43,759,107  9.21
lo.	Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:  a percentage of total no. of voting shares/its:  Circumstances giving rise to deem [You may attach a chart in item 10 to	Direct Interest  0  0  ned interests (if the illustrate how the Sums a deemed interest in	Deemed Interest 43,759,107  9.21  e interest is such): ubstantial Shareholde	Total 43,759,107  9.21  er/Unitholder's deemed
lo.	Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:  a percentage of total no. of voting shares/ its:  Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]  CIMB Securities International Pte. Ltd. ha	Direct Interest  0  0  ned interests (if the illustrate how the Sums a deemed interest in	Deemed Interest 43,759,107  9.21  e interest is such): ubstantial Shareholde	Total 43,759,107  9.21  er/Unitholder's deemed
lo.	Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:  a percentage of total no. of voting shares/ its:  Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]  CIMB Securities International Pte. Ltd. ha	Direct Interest  0  0  ned interests (if the illustrate how the Substance interest in a direct interest.	Deemed Interest 43,759,107  9.21  e interest is such): abstantial Shareholde the voting shares of	Total 43,759,107  9.21  er/Unitholder's deemed the Listed Issuer in which

CIMB	Securitles (Singapore) Pte. Ltd. is a wholly-owned subsidiary of CIMB Securities International Pte. Ltd.
0. Atta	chments ( <i>if any</i> ): 🕤
(J)	(The total file size for all attachment(s) should not exceed 1MB.)
1. If th	s is a <b>replacement</b> of an earlier notification, please provide:
(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
(b)	Date of the Initial Announcement:
(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
2. Ren	narks ( <i>if any</i> ):
N.A.	
IN.A.	
ubstanti	al Shareholder/Unitholder C
	al Shareholder/Unitholder C  ne of Substantial Shareholder/Unitholder:
Nan	
CIME Is S sec	ne of Substantial Shareholder/Unitholder: GROUP SDN BHD
Nan CIME Is S sect □ \	ne of Substantial Shareholder/Unitholder:  GROUP SDN BHD  Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
Is S sec	ne of Substantial Shareholder/Unitholder:  GROUP SDN BHD  Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes
Nan CIME Is S sec  ✓ N Noti	ne of Substantial Shareholder/Unitholder: GROUP SDN BHD Substantial Shareholder/Unitholder a fund manager or a person whose interest in the curities of the Listed Issuer are held solely through fund manager(s)? Yes Ito Secoming a Substantial Shareholder/Unitholder
Nan CIME Is S sec	ne of Substantial Shareholder/Unitholder: GROUP SDN BHD Substantial Shareholder/Unitholder a fund manager or a person whose interest in the curities of the Listed Issuer are held solely through fund manager(s)? Yes Ito Secoming a Substantial Shareholder/Unitholder
Nan CIME Is S sec	ne of Substantial Shareholder/Unitholder:  GROUP SDN BHD  Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is a substantial Shareholder (Unitholder Secoming a Substantial Shareholder (Unitholder Schange in the percentage level of interest while still remaining a Substantial Shareholder (Unitholder Schange)
Nan CIME Is S sec	ne of Substantial Shareholder/Unitholder:  GROUP SDN BHD  Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  It is in the urities of the Listed Issuer are held solely through fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager or a person whose interest in the urities of th
Nan CIME  Is S sec  In Noti  In E  In O  In O	ne of Substantial Shareholder/Unitholder:  GROUP SDN BHD  Substantial Shareholder/Unitholder a fund manager or a person whose interest in the urities of the Listed Issuer are held solely through fund manager(s)?  Yes  Ito  Greation in respect of:  Becoming a Substantial Shareholder/Unitholder  Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder  Greating to be a Substantial Shareholder/Unitholder  The of acquisition of or change in interest:

7.	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	conversion price		
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
un	of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:	0	48,759,107	48,759,107
	a percentage of total no. of voting shares/	0	10.26	10.26
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
un	o. of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures :	0	43,759,107	43,759,107
	a percentage of total no. of voting shares/	0	9.21	9.21
	CIMB Group Sdn Bhd has a deemed inte International Pte. Ltd. has a deemed inte		ares of the Listed Issuer	in which CIMB Secu
9.	Relationship between the Substar [You may attach a chart in item 10 to Unitholders]	erest. ntial Shareholders show the relations!	/Unitholders giving r hip between the Subst	notice in this form antial Shareholder
9.	Relationship between the Substar [You may attach a chart in item 10 to	erest. ntial Shareholders show the relations!	/Unitholders giving r hip between the Subst	notice in this forn antial Shareholder
9.	Relationship between the Substar [You may attach a chart in item 10 to Unitholders]	erest.  Initial Shareholders show the relations!  a wholly-owned sub	/Unitholders giving r nip between the Subst sidiary of CIMB Group S	notice in this form antial Shareholder

	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3
		which was attached in the Initial Announcement:
12.	Rem	narks ( <i>if any</i> ):
Sub	stanti	al Shareholder/Unitholder D
1.	Nam	ne of Substantial Shareholder/Unitholder:
	CIMB	GROUP HOLDINGS BERHAD
2.	secu	Substantial Shareholder/Unitholder a fund manager or a person whose interest in turities of the Listed Issuer are held solely through fund manager(s)?
	✓ N	ło
3.	Noti	fication in respect of:
	□ B	Becoming a Substantial Shareholder/Unitholder
	<b>✓</b> C	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitho
		Ceasing to be a Substantial Shareholder/Unitholder
4.	Date	e of acquisition of or change in interest:
	07-Se	ер-2016
5.	Date	e on which Substantial Shareholder/Unitholder became aware of the acquisition of, or
	char	nge in, interest 🛈 (if different from item 4 above, please specify the date):
	07-S€	ep-2016
6.	-	anation (if the date of becoming aware is different from the date of acquisition of, or any one inge in, interest):
	N.A.	
7.	warı	Intum of total voting shares/units (including voting shares/units underlying rights/option rants/convertible debentures (conversion price known)) held by Substantial Sharehold holder before and after the transaction:
	Immed	diately before the transaction

underlying the convertible de	shares/units held and/or e rights/options/warrants/ ebentures:	0	48,759,107	48,759,107
As a percenta units:	age of total no. of voting shares/	0	10.26	10.26
Immedi	ately after the transaction	Direct Interest	Deemed Intere	est Total
	shares/units held and/or e rights/options/warrants/ ebentures :	0	43,759,107	43,759,107
As a percenta units:	age of total no. of voting shares/	0	9.21	9.21
[You m	nstances giving rise to deem ay attach a chart in item 10 to it arises]	•		•
	oup Holdings Berhad has a deem dn Bhd has a deemed interest.	ned interest in the vo	oting shares of the L	isted Issuer in which CIMB
[You m Unithol	onship between the Substan ay attach a chart in item 10 to s ders]		•	•
CIMB Gr	oup Holdings Berhad owns 100%	6 of the voting share	s in CIMB Group Sdi	n Bhd.
0. Attach	ments ( <i>if any</i> ): <b>①</b>			n Bhd.
10. Attach	ments ( <i>if any</i> ): <b>①</b> The total file size for all attachmen	nt(s) should not excee	ed 1MB.)	n Bhd.
0. Attach  (1. If this i	ments ( <i>if any</i> ): <b>①</b>	er notification, ple erence of the firs ouncement"):	ed 1MB.) ease provide:	
0. Attach  (a) (b) [ (c)	ments (if any):  The total file size for all attachments a replacement of an earlied SGXNet announcement reference SGXNet (the "Initial Annotation SGXNet")	er notification, please of the first ouncement"):  ment:  ce number of the	ed 1MB.) ease provide: st notification wh	ich was announced
0. Attach  (a) (c)  (c)	ments (if any): (i) The total file size for all attachments a replacement of an earlied SGXNet announcement reference on SGXNet (the "Initial Announcement of the Initial	er notification, please of the first ouncement"):  ment:  ce number of the	ed 1MB.) ease provide: st notification wh	ich was announced
10. Attach (a) (b) [ (c) (c)	ments (if any): (i)  The total file size for all attachments a replacement of an earlies on SGXNet announcement reference on SGXNet (the "Initial Announcement of the Initial Announcement of the Init	er notification, please of the first ouncement"):  ment:  ce number of the	ed 1MB.) ease provide: st notification wh	ich was announced
10. Attach  (a) (c)  (c)	ments (if any): (i) The total file size for all attachments a replacement of an earlied SGXNet announcement reference on SGXNet (the "Initial Announcement of the Initial	er notification, please of the first ouncement"):  ment:  ce number of the	ed 1MB.) ease provide: st notification wh	ich was announced

				,
Sub	stantial Shareholder/Unitholder E			
1.	Name of Substantial Shareholder/	Unitholder:		_
	Khazanah Nasional Berhad			
2.	Is Substantial Shareholder/Unithousecurities of the Listed Issuer are In Yes  No		•	whose interest in the
3.	Notification in respect of:			
	Becoming a Substantial Sharehold	der/Unitholder		
	✓ Change in the percentage level of	interest while still re	maining a Substantia	l Shareholder/Unitholder
	Ceasing to be a Substantial Share	holder/Unitholder		
4.	Date of acquisition of or change in	interest:		
	07-Sep-2016			
5.	Date on which Substantial Shareh change in, interest (if different			•
	07-Sep-2016			
6.	Explanation (if the date of becoming change in, interest):	ng aware is differe	ent from the date or	acquisition of, or the
	N.A.			
7.	Quantum of total voting shares/un warrants/convertible debentures { Unitholder before and after the train	conversion price k	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures:	0	48,759,107	48,759,107
As a	a percentage of total no. of voting shares/	0	10.26	10.26
	Immediately after the transaction	Direct Interest	Deemed Interest	Total

0

0

43,759,107

9.21

43,759,107

9.21

No. of voting shares/units held and/or underlying the rights/options/warrants/

As a percentage of total no. of voting shares/

convertible debentures :

units:

	nah Nasional Berhad has a deemed interest in the voting shares of the Listed Issuer in which CIMB Holdings Berhad has a deemed interest.
	tionship between the Substantial Shareholders/Unitholders giving notice in this form:  may attach a chart in item 10 to show the relationship between the Substantial Shareholders/
Unith	nah Nasional Berhad owns 29.81% of the voting shares in CIMB Group Holdings Berhad.
Atta	chments ( <i>if any</i> ): 🕦
Ŋ	(The total file size for all attachment(s) should not exceed 1MB.)
If this	s is a replacement of an earlier notification, please provide:
(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet ( <i>the "Initial Announcement"</i> ):
<i>(</i> 1. )	
(b)	Date of the Initial Announcement:
(c)	15-digit transaction reference number of the relevant transaction in the Form 3
(0)	which was attached in the Initial Announcement:
Rem	arks (if any):
V.A.	

## Part IV - Transaction details

	Rights/Options/Warrants over voting shares/units  Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
Ш	Cultile (please speelly).
	mber of shares, units, rights, options, warrants and/or principal amount of convertible bentures acquired or disposed of by Substantial Shareholders/Unitholders:
5,00	00,000
	nount of consideration paid or received by Substantial Shareholders/Unitholders (excluding skerage and stamp duties):
1,0!	50,000
Circ	cumstance giving rise to the interest or change in interest:
	quisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
Dis	posal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
Oth	ner circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
✓	Others (please specify):
Fvo	ercise of Call Option by Mr. Seow Soon Yong to purchase 5,000,000 shares from CIMB Securities (Singapore) Pr

	Particulars of Individual submitting this notification form to the Listed Issuer:	
	(a)	Name of Individual:
		LIM MEI YING
	(b)	Designation (if applicable):
		COMPANY SECRETARY
	(c)	Name of entity (if applicable):
		CIMB SECURITIES (SINGAPORE) PTE. LTD.
9 7	9 9	n Reference Number (auto-generated): 0   5   5   4   2   5   3   2   9   3   3   3