

▼ Issuer Reporting
▼ Management Transactions / Disclosure of Shareholdings
Welcome
▼ Disclosure of Shareholdings
Welcome
Notifications concerning single shareholders
Notifications concerning a group of shareholders
Notifications concerning collective investment schemes
Summary of all notifications
► Management Transactions
My Profile
Admin
Logout

Notification concerning a single shareholder

ID TAM8N00038

ISSUER

Name of the Issuer

Lonza Group AG

Part 1: PERSON SUBJECT TO REPORTING OBLIGATION

Beneficial owner / Person who has the discretionary power to exercise the voting rights

Company name

BlackRock, Inc.

Last name / First name

Street

ZIP / Residence / City

New York, NY

Country

USA

Most recent

The notification is made on a consolidated basis within the meaning of art. 10 para. 2 sentences 2 and 3 FMIO-FINMA

SIX Structured Products

CONNEXOR

PRODUCTS

Shares

Bonds

ETFs & Fonds

Exchange Traded Products

Listed Derivatives

ISSUER REPORTING

User: Matthias Maurer

Group: Lonza Group AG

Login: 23.08.2022 - 15:39 CET

Part 2: IN CASE OF INDIRECT PARTICIPATION

No information.

Remarks

Part 3: DATE AND REASON FOR THE REPORTING OBLIGATION

Date of the act which creates the obligation to notify

19.08.2022

Date of transfer of equity securities

Date of the notification by the shareholder

22.08.2022

Trigger for obligation to notify

- Acquisition
- Sale
- Creation of a group
- Change in group composition
- Termination of a group
- Discretionary exercise of voting rights
- Securities lending and comparable transactions
- Granting (writing) of derivative holdings
- Exercise, non-exercise or expiration of derivative holdings
- Capital increase
- Capital reduction
- Ipso jure transfer or transfer due to a decision by a court or public authority
- Change in the information subject to the obligation to notify
- Other

Remarks

The obligation to notify was triggered by a disposal of collateral (Acquisition Position Obligation).
With recommendation of the Disclosure Office dated 15 July 2016 and 17 June 2019 and 8 July 2022, BlackRock, Inc. was

Voting rights total

Voting rights < 3%

(for purchase positions as well as for sale positions)

Part 4: PURCHASE POSITIONS

Total of all purchase positions

9.94 %

1.) Equity securities or equity related securities:

Shares	Number of rights	Number of voting rights	Percentage of voting rights
1.1.) Registered share	6'393'557	6'393'557	8.59 %

2.) Securities lending and comparable transactions in equity securities:

Of the equity securities mentioned in item 1 above, the following part is held due to securities lending and comparable transactions (Art. 17 FMIO-FINMA):

Shares	Number of rights	Number of voting rights	Percentage of voting rights
2.1.) Registered share	107'257	107'257	0.14 %

Nature of the legal transaction

Repo transaction

Agreed date of return transfer

or Right to choose by

Other

Remarks

Repos (on the purchaser side) and/or collateral received with title transfer (non-repo). The dates of return transfer depend of the specific terms of various agreements according

3.) Voting rights that can be exercised at one's own discretion:
The following voting rights were delegated by a third party and can be exercised at one's own discretion:

Number of voting rights	Percentage of voting rights
970'413	1.30 %

4.) Derivative holdings:
Conversion and share purchase rights (such as call-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) share sale rights and other derivative holdings:

Type of rights	Number of rights	Number of voting rights	Percentage of voting rights
4.1.) CFD	2'955	2'955	0.004 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by Barclays on Lonza Group AG (CH0013841017)		
4.2.) CFD	30'407	30'407	0.04 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)		
4.3.) CFD	111	111	0.0001 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)		
4.4.) CFD	78	78	0.0001 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)		
4.5.) CFD	3'514	3'514	0.005 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)		
4.6.) CFD	690	690	0.001 %
ISIN	or basic terms		
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Cash Settlement and/or other terms		
Remarks	Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)		

5.) Securities lending and comparable transactions in derivative holdings:
Of the derivative holdings mentioned in item 4 above, the following part is held due to securities lending and

comparable transactions (Art. 17 FMIO-FINMA):

No information.

Part 5: SALE POSITIONS

Total of all sale positions %

Derivative holdings: 1

Share sale rights (such as put-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) conversion and share purchase rights and other derivative holdings:

Type of rights 1	Number of rights	Number of voting rights	Percentage of voting rights
1.) CFD v	<input type="text" value="363"/>	<input type="text" value="363"/>	<input type="text" value="0.0005"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Cash Settlement"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by Barclays on Lonza Group AG (CH0013841017)"/>		
2.) CFD v	<input type="text" value="132"/>	<input type="text" value="132"/>	<input type="text" value="0.0002"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Actual delivery"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by Citigroup on Lonza Group AG (CH0013841017)"/>		
3.) CFD v	<input type="text" value="937"/>	<input type="text" value="937"/>	<input type="text" value="0.001"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Actual delivery"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)"/>		
4.) CFD v	<input type="text" value="734"/>	<input type="text" value="734"/>	<input type="text" value="0.001"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Actual delivery"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)"/>		
5.) CFD v	<input type="text" value="1'714"/>	<input type="text" value="1'714"/>	<input type="text" value="0.002"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Actual delivery"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)"/>		
6.) CFD v	<input type="text" value="35"/>	<input type="text" value="35"/>	<input type="text" value="0.00005"/> %
ISIN	<input type="text" value="or basic terms"/>		
Identity of issuer	<input type="text"/>		
Subscription ratio	<input type="text"/>		
Exercise price	<input type="text"/>		
Exercise period	<input type="text"/>		
Exercise type	<input type="text" value="Actual delivery"/> <i>and/or other terms</i>		
Remarks	<input type="text" value="Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017)"/>		
7.) CFD v	<input type="text" value="2'963"/>	<input type="text" value="2'963"/>	<input type="text" value="0.004"/> %
ISIN	<input type="text" value="or basic terms"/>		

Identity of issuer	
Subscription ratio	
Exercise price	
Exercise period	
Exercise type	Actual delivery and/or other terms
Remarks	Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)

8.) CFD	2'008	2'008	0.003 %
ISIN		or basic terms	
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Actual delivery and/or other terms		
Remarks	Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)		

9.) Call-Option/Warrant	6'100	6'100	0.01 %
ISIN		or basic terms	
Identity of issuer			
Subscription ratio			
Exercise price			
Exercise period			
Exercise type	Actual delivery and/or other terms		
Remarks	Call Option on LONZA GROUP AG CH0013841017 with exercise price of 607.36 expiry date of 06-October-2022		

Remarks: DETAILS REGARDING THE PUBLICATION

- Status of publication
- In preparation by issuer
 - Waiting for internal authorization
 - Filed for preliminary check by the Disclosure Office
 - Approved for publication
 - Rejected by the Disclosure Office
 - Obsolete due to amendment or erasure
 - Discarded draft

Remarks regarding the publication

Details regarding the notification submission

Date / Time	23.08.2022	15:06:35
Company name	Lonza Group AG	
Last name / First name	Maurer	/ Matthias
E-Mail	matthias.maurer@lonza.com	
Phone / Fax	0613168966	/
Data entry	<input checked="" type="radio"/> Regular publication by issuer <input type="radio"/> Extraordinary publication by Disclosure Office <input checked="" type="radio"/> After preliminary check by the Disclosure Office <input type="radio"/> Directly by the issuer	
Approval		

Specifications regarding the publication

Date / Time	23.08.2022	15:37:43
Remarks of the Disclosure Office	siehe E-Mail	
Internal memo		

[Back to Overview](#)

