# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <a href="http://www.mas.gov.sg">http://www.mas.gov.sg</a> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General 1. Name of Listed Issuer: Valuetronics Holdings Ltd 2. Type of Listed Issuer: ✓ Company/Corporation Registered/Recognised Business Trust □ Real Estate Investment Trust 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form? ☐ No (Please proceed to complete Part II) ✓ Yes (Please proceed to complete Parts III & IV) Date of notification to Listed Issuer: 4. 28-Dec-2016

## Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]



Sub	ostantial Shareholder/Unitholder A 💮
1.	Name of Substantial Shareholder/Unitholder:
	HSBC Global Investment Funds
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes
	✓ No
3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholde
	✓ Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	23-Dec-2016
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	23-Dec-2016
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):
_	
7.	Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:
	Immediately before the transaction Direct Interest Deemed Interest Total

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	19,092,800	0	19,092,800
As a percentage of total no. of voting shares/units:	5.007	0	5.007
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest	Deemed Interest 0	Total 18,680,800

9.	Relationship between the Substantial Shareholders/Unitholders giving notice in this form [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders Unitholders]
	412,000 shares were disposed from HSBC Global Investment Funds on 23 Dec 2016. HSBC Global Investi Funds is an open-ended investment company with multiple sub-funds incorporated in Luxembourg.
10.	Attachments (if any):
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks (if any):
Sub	stantial Shareholder/Unitholder B
1.	Name of Substantial Shareholder/Unitholder:
	HSBC Investment Funds (Luxembourg) SA
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest is securities of the Listed Issuer are held solely through fund manager(s)?  Yes
	✓ No

3.	Notification in respect of:  Becoming a Substantial Sharehold	der/Unitholder		
	Change in the percentage level of		emaining a Substantia	al Shareholder/Unitholder
	✓ Ceasing to be a Substantial Share		g	
	E commignation of the committee of the c			
4.	Date of acquisition of or change in	interest:		
	23-Dec-2016			
5.	Date on which Substantial Shareh change in, interest (if different			•
	23-Dec-2016			
6.	Explanation (if the date of become change in, interest):	ing aware is differ	ent from the date o	f acquisition of, or the
7.	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	conversion price k	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures:	0	19,092,800	19,092,800
As a	a percentage of total no. of voting shares/	0	5.007	5.007
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures :	0	18,680,800	18,680,800
As a	a percentage of total no. of voting shares/	0	4.899	4.899
8.	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]	•	•	er/Unitholder's deemed
	412,000 shares were disposed from HSB0 (Luxembourg) S.A. ("HIFL") is appointed a interest over the shares.			
9.	Relationship between the Substan [You may attach a chart in item 10 to Unitholders]			

	Please	refer to the details stated in no. 8 above.
0.	Attac	chments ( <i>if any</i> ): <b>①</b>
	Ø	(The total file size for all attachment(s) should not exceed 1MB.)
1.	If this	s is a <b>replacement</b> of an earlier notification, please provide:
	(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
2.	Dom	arks ( <i>if any</i> ):
Sub	stantia	
	<u> </u>	al Shareholder/Unitholder C
		e of Substantial Shareholder/Unitholder:
	Nam	
	Nam HSBC Is Secu	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)?
	Nam HSBC Is Si secu You V N	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)?
	Nam HSBC Is Si secu ☐ Yo ✓ N Notif	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)?  es
	Nam HSBC  Is Si secu	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)? es  o  ication in respect of: ecoming a Substantial Shareholder/Unitholder hange in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
· <del>-</del>	Nam HSBC  Is Si secu	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)?  es  o  ication in respect of:
 3.	Nam HSBC Is Si security N ✓ N Notifi □ B □ C ✓ C	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)? es  o  ication in respect of: ecoming a Substantial Shareholder/Unitholder hange in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
2. 3.	Nam HSBC  Is Si security N  Notif □ B □ C □ C  Date	e of Substantial Shareholder/Unitholder:  Global Asset Management (UK) Limited  ubstantial Shareholder/Unitholder a fund manager or a person whose interest in the rities of the Listed Issuer are held solely through fund manager(s)? es  o  ication in respect of: ecoming a Substantial Shareholder/Unitholder hange in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder easing to be a Substantial Shareholder/Unitholder

	Quantum of total voting shares/unwarrants/convertible debentures of Unitholder before and after the tra	(conversion price l	•	, , , ,
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	. of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:	0	19,092,800	19,092,800
As a	a percentage of total no. of voting shares/	0	5.007	5.007
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures :	0	18,680,800	18,680,800
con				
	Circumstances giving rise to deen [You may attach a chart in item 10 to			4.899 r/Unitholder's deem
As a	circumstances giving rise to deen	ned interests (if the illustrate how the So	e interest is such): ubstantial Shareholde Funds on 23 Dec 2016. Company of the funds.	r/Unitholder's deem HSBC Investment Fur HIFL is owned by HSE
As a	Circumstances giving rise to deen [You may attach a chart in item 10 to interest arises]  412,000 shares were disposed from HSB (Luxembourg) S.A. ("HIFL") is appointed	ned interests (if the illustrate how the Since C Global Investment (as the Management ("AMEU"). AMEU thus intial Shareholders/show the relationsh	e interest is such): ubstantial Shareholde Funds on 23 Dec 2016. Company of the funds. has deemed interest o	HSBC Investment Fur HIFL is owned by HSE ver the shares.

	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12	Por	parks (if any):
12.	Ken	narks ( <i>if any</i> ):
Sub	stanti	al Shareholder/Unitholder D
1.	Nam	ne of Substantial Shareholder/Unitholder:
	HSBC	Global Asset Management Limited
2.	secu	ubstantial Shareholder/Unitholder a fund manager or a person whose interest in urities of the Listed Issuer are held solely through fund manager(s)?
	✓ N	lo
3.	Noti	fication in respect of:
	B	ecoming a Substantial Shareholder/Unitholder
		change in the percentage level of interest while still remaining a Substantial Shareholder/Unith
	<b>√</b> C	Ceasing to be a Substantial Shareholder/Unitholder
4.	Date	e of acquisition of or change in interest:
	23-D	ec-2016
5.		e on which Substantial Shareholder/Unitholder became aware of the acquisition of, or nge in, interest (if different from item 4 above, please specify the date):
	23-D	ec-2016
6.	•	anation (if the date of becoming aware is different from the date of acquisition of, or age in, interest):
7.	warı	ntum of total voting shares/units (including voting shares/units underlying rights/optic rants/convertible debentures {conversion price known}) held by Substantial Sharehold holder before and after the transaction:
		diately before the transaction

und	of voting shares/units held and/or lerlying the rights/options/warrants/vertible debentures:	0	19,092,800	19,092,800
As a	a percentage of total no. of voting shares/s:	, 0	5.007	5.007
	Immediately after the transaction	Direct Inte	rest Deemed Intere	est Total
und	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures :	0	18,680,800	18,680,800
As a	a percentage of total no. of voting shares/	, 0	4.899	4.899
	Circumstances giving rise to dee [You may attach a chart in item 10 tinterest arises]		•	
	412,000 shares were disposed from HS (Luxembourg) S.A. ("HIFL") is appointed Global Asset Management (UK) Limited Limited ("AMGB"). AMGB thus has deer	d as the Manager d ("AMEU"), which	ment Company of the furn in turn is owned by HSE	nds. HIFL is owned by HSBC
	Relationship between the Substa [You may attach a chart in item 10 t Unitholders]		•	•
	Please refer to the details stated in no.	8 above.		
0.	Please refer to the details stated in no.  Attachments (if any):		overed AMP.)	
	Please refer to the details stated in no.  Attachments (if any):   (The total file size for all attachments)	ent(s) should not		
0.	Please refer to the details stated in no.  Attachments (if any):	ent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification wh	nich was announced
	Please refer to the details stated in no.  Attachments (if any): (1)  (The total file size for all attachments is a replacement of an eat (a) SGXNet announcement reson SGXNet (the "Initial Angular Initial I	ent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification wh	nich was announced
	Please refer to the details stated in no.  Attachments (if any): (1)  (The total file size for all attachments is a replacement of an eat (a) SGXNet announcement reson SGXNet (the "Initial Angular Initial I	rent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification whe	
	Attachments (if any): (1)  (The total file size for all attachments is a replacement of an ear (a) SGXNet announcement reson SGXNet (the "Initial And (b) Date of the Initial Announcement is considered by the size for all attachment is a replacement of an ear (a) SGXNet announcement reson SGXNet (the "Initial Announcement is a size of the Initial Announcement is a size of	rent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification whe	
1.	Attachments (if any): (1)  (The total file size for all attachments is a replacement of an ear (a) SGXNet announcement reson SGXNet (the "Initial And (b) Date of the Initial Announcement is considered by the size for all attachment is a replacement of an ear (a) SGXNet announcement reson SGXNet (the "Initial Announcement is a size of the Initial Announcement is a size of	rent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification whe	
1.	Please refer to the details stated in no.  Attachments (if any): (1)  (The total file size for all attachments is a replacement of an ear (a)  SGXNet announcement reson SGXNet (the "Initial Announcement is considered in the which was attached in the initial and in the initial and i	rent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification whe	
	Please refer to the details stated in no.  Attachments (if any): (1)  (The total file size for all attachments is a replacement of an ear (a)  SGXNet announcement reson SGXNet (the "Initial Announcement is considered in the which was attached in the initial and in the initial and i	rent(s) should not rlier notification eference of the nouncement"):	n, please provide: e <u>first</u> notification whe	

Subs	stantial Shareholder/Unitholder E			
1.	Name of Substantial Shareholder/			7
	HSBC Investment Bank Holdings Limited	l		
2.	Is Substantial Shareholder/Unithous securities of the Listed Issuer are I  Yes  No		•	vhose interest in the
3.	Notification in respect of:			
	Becoming a Substantial Sharehold	der/Unitholder		
	Change in the percentage level of	interest while still re	maining a Substantia	I Shareholder/Unitholder
	✓ Ceasing to be a Substantial Share	holder/Unitholder		
4.	Date of acquisition of or change in	interest:		
	23-Dec-2016			
5.	Date on which Substantial Shareh change in, interest (if different			
	23-Dec-2016			•
6.	Explanation (if the date of becoming change in, interest):	ng aware is differe	ent from the date of	acquisition of, or the
7.	Quantum of total voting shares/un			
	warrants/convertible debentures { Unitholder before and after the trail		nown) neid by Suk	ostantiai Sharenoidei/
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or erlying the rights/options/warrants/vertible debentures:	0	19,092,800	19,092,800
As a	a percentage of total no. of voting shares/	0	5.007	5.007
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
und	of voting shares/units held and/or erlying the rights/options/warrants/vertible debentures :	0	18,680,800	18,680,800

4.899

0

4.899

units:

As a percentage of total no. of voting shares/

	[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]  412,000 shares were disposed from HSBC Global Investment Funds on 23 Dec 2016. HSBC Investment Funds (Luxembourg) S.A. ("HIFL") is appointed as the Management Company of the funds. HIFL is owned by HSBC Global Asset Management (UK) Limited ("AMEU"), which in turn is owned by HSBC Global Asset Management Limited ("AMGB"), which in turn is owned by HSBC Investment Bank Holdings Limited ("HIBH"). HIBH thus hadeemed interest over the shares.
9.	Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]
	Please refer to the details stated in no. 8 above.
10.	Attachments (if any):
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	
12.	Tromano (n any).
Sub	stantial Shareholder/Unitholder F
1.	Name of Substantial Shareholder/Unitholder:
	HSBC Holdings plc
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  — Yes
	✓ No
	✓ No

3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	☐ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	✓ Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	23-Dec-2016
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	23-Dec-2016
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):
7.	Quantum of total voting shares/units (including voting shares/units underlying rights/options/

warrants/convertible debentures {conversion price known}) neid by Substantial Snarenoider/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	19,473,000	19,473,000
As a percentage of total no. of voting shares/units:	0	5.106	5.106
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest 0	Deemed Interest 19,055,400	<i>Total</i> 19,055,400

Circumstances giving rise to deemed interests (if the interest is such): 8. [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

412,000 shares were disposed from HSBC Global Investment Funds on 23 Dec 2016. HSBC Investment Funds (Luxembourg) S.A. ("HIFL") is appointed as the Management Company of the funds. HIFL is owned by HSBC Global Asset Management (UK) Limited ("AMEU"), which in turn is owned by HSBC Global Asset Management Limited ("AMGB"), which in turn is owned by HSBC Investment Bank Holdings Limited ("HIBH"), which in turned is owned by HSBC Holdings plc ("HGHQ"). As such, HGHQ has a deemed interest over the shares.

Deemed interest held by HGHQ includes other deemed interest through HSBC Global Asset Management (Hong Kong) Limited. Refer to Form 3 for HSBC Global Asset Management (Hong Kong) Limited, also submitted on 28 December 2016.

	Please refer to the details stated in no. 8 above.
10.	Attachments (if any): (1)  (The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks (if any):

### Part IV - Transaction details

	Convertible debentures over voting shares/units (conversion price known)			
	Others (please specify):			
	imber of shares, units, rights, options, warrants and/or principal amount of convertible bentures acquired or disposed of by Substantial Shareholders/Unitholders:			
41	2,000 shares were disposed from HSBC Global Investment Funds on 23 Dec 2016.			
	nount of consideration paid or received by Substantial Shareholders/Unitholders (excluding okerage and stamp duties):			
SG	D220,420			
Ciı	ircumstance giving rise to the interest or change in interest:			
Ac	quisition of:			
	Securities via market transaction			
	Securities via off-market transaction (e.g. married deals)			
	Securities via physical settlement of derivatives or other securities			
	Securities pursuant to rights issue			
	Securities via a placement			
	Securities following conversion/exercise of rights, options, warrants or other convertibles			
Dis	sposal of:			
	Securities via market transaction			
	Securities via off-market transaction (e.g. married deals)			
Ot	her circumstances:			
	Acceptance of take-over offer for the Listed Issuer			
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in ( <i>please specify</i> ):			
✓	Others (please specify):			

	Part	iculars of Individual submitting this notification form to the Listed Issuer:
	(a)	Name of Individual:
		Tay Bee Bee
	(b)	Designation (if applicable):
		SVP & Head, Regulatory Compliance
	(c)	Name of entity (if applicable):
		The Hongkong and Shanghai Banking Corporation Limited
ar	sactio	n Reference Number (auto-generated):
Т		2 3 3 2 4 7 0 3 9 5 0 3