## **Nomura Announces Correction to Financial Statements**

**Tokyo, May 30, 2014**—Nomura Holdings, Inc. today announced corrections to the document issued on January 30, 2014, titled "Financial Highlights — Nine months ended December 2013" as underlined below. The impact of the corrections on Nomura's consolidated financial results is negligible.

#### Corrections

- 1. Consolidated Operating Results for the nine months ended December 31, 2013
- 2. Quarterly Consolidated Financial Statements
  - (1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)
  - (2) Consolidated Statements of Income (UNAUDITED) (Page 8)
  - (3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9)
  - (5) Segment Information Operating Segment (UNAUDITED) (Page 10)
  - (6) Significant Changes in Equity (UNAUDITED) (Page 11)
- 3. Supplementary Information
  - (1) Consolidated Statements of Income Quarterly Comparatives (UNAUDITED) (Page 12)
  - (2) Business Segment Information Quarterly Comparatives (UNAUDITED) (Page 13)

Details of the corrections are underlined in the attachment below.

## Attachment

## 1. Consolidated Operating Results Original

(1) Operating Results	For the nine months ended December 31			
	2012		2013	
	(Millions of yen, except per share data)			
	% Change from		% Change from	
	December 31	1, 2011	December 31	, 2012
Total revenue	1,359,800	5.7%	<u>1,381,071</u>	1.6%
Net revenue	1,160,019	11.9%	<u>1,167,133</u>	0.6%
Income before income taxes	68,048	181.2%	273,047	301.3%
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	24,812	-%	<u>152,336</u>	514.0%
Comprehensive income	41,121	-%	<u>244,771</u>	495.2%

(2) Financial Position	At March 31	At December 31	
	2013	2013	
	(Millions of yen, except per share data)		
Total assets	37,942,439	43,634,422	
Total equity	2,318,983	2,528,470	
Total NHI shareholders' equity	2,294,371	2,492,498	
Total NHI shareholders' equity as a percentage of total assets	600.0%	570.0%	
Total NHI shareholders' equity per share (Yen)	618.27	670.88	

(4) Number of shares issued (common stock)		
	At March 31	At December 31
	2013	2013
Number of shares outstanding (including treasury stock)	3,822,562,601	3,822,562,601
Number of treasury stock	111,602,349	<u>107,311,575</u>
	For the nine months end	ed December 31
	2012	2013
Average number of shares outstanding (year-to-date)	3,687,924,842	3,707,762,930

(1) Operating Results	For the nine months ended December 31			
	2012		2013	
	(Millions of yen, except per share data)			
	% Change	from	% Change	from
	December 31	1, 2011	December 31	, 2012
Total revenue	1,359,800	5.7%	<u>1,381,070</u>	1.6%
Net revenue	1,160,019	11.9%	<u>1,167,132</u>	0.6%
Income before income taxes	68,048	181.2%	273,046	301.3%
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	24,812	-%	<u>152,335</u>	514.0%
Comprehensive income	41,121	-%	244,770	495.2%

(2) Financial Position	At March 31	At December 31	
	2013	2013	
	(Millions of yen, except per share data)		
Total assets	37,942,439	43,634,418	
Total equity	2,318,983	<u>2,528,466</u>	
Total NHI shareholders' equity	2,294,371	<u>2,492,494</u>	
Total NHI shareholders' equity as a percentage of total assets	600.0%	570.0%	
Total NHI shareholders' equity per share (Yen)	618.27	670.88	

At March 31

2013

3,822,562,601

111,602,349

At December 31

2013

3,822,562,601

107,316,275

(4) Number of shares issued (common stock)
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Number of shares outstanding (including treasury stock) Number of treasury stock

	For the nine months ended	1 December 31
	2012	2013
Average number of shares outstanding (year-to-date)	3,687,924,842	3,707,756,280

## 2. Quarterly Consolidated Financial Statements

## (1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)

# Original

		Millions of yen	
	March 31, 2013	December 31, 2013	Increase/(Decrease)
ASSETS			
Cash and cash deposits:			
Cash and cash equivalents	805,087	1,257,512	452,425
Time deposits	577,921	460,243	(117,678)
Deposits with stock exchanges and other segregated cash	269,744	340,420	70,676
Total cash and cash deposits	1,652,752	2,058,175	405,423
Loans and receivables:			
Loans receivable	1,575,494	1,473,175	(102,319)
Receivables from customers	63,792	46,646	(17,146)
Receivables from other than customers	992,847	1,139,791	146,944
Allowance for doubtful accounts	(2,258)	(3,287)	(1,029)
Total loans and receivables	2,629,875	2,656,325	26,450
Collateralized agreements:			
Securities purchased under agreements to resell	8,295,372	9,722,027	1,426,655
Securities borrowed	5,819,885	6,759,252	939,367
Total collateralized agreements	14,115,257	16,481,279	2,366,022
Trading assets and private equity investments:			
Trading assets*	17,037,191	19,587,304	2,550,113
Private equity investments	87,158	43,094	(44,064)
Total trading assets and private equity investments	17,124,349	<u>19,630,398</u>	<u>2,506,049</u>
Other assets:			
Office buildings, land, equipment and facilities			
(net of accumulated depreciation and amortization of			
¥355,831 million as of March 31, 2013 and			
¥399,987 million as of December 31, 2013)	428,241	428,851	610
Non-trading debt securities*	920,611	1,001,655	81,044
Investments in equity securities*	123,490	141,826	18,336
Investments in and advances to affiliated companies*	345,705	370,765	25,060
Other	602,159	865,148	262,989
Total other assets	2,420,206	2,808,245	388,039
Total assets	37,942,439	43,634,422	<u>5,691,983</u>

\*Including securities pledged as collateral

	Millions of yen		
	March 31, 2013	December 31, 2013	Increase/(Decrease)
LIABILITIES AND EQUITY			
Short-term borrowings	738,445	559,795	(178,650)
Payables and deposits:			
Payables to customers	476,705	542,741	66,036
Payables to other than customers	864,962	1,356,889	491,927
Deposits received at banks	1,072,134	1,103,782	31,648
Total payables and deposits	2,413,801	3,003,412	589,611
Collateralized financing:			
Securities sold under agreements to repurchase	12,444,317	14,495,419	2,051,102
Securities loaned	2,158,559	2,636,357	477,798
Other secured borrowings	806,507	802,690	(3,817)
Total collateralized financing	15,409,383	17,934,466	2,525,083
Trading liabilities	8,491,296	10,350,094	1,858,798
Other liabilities	978,163	1,261,330	283,167
Long-term borrowings	7,592,368	7,996,855	404,487
Total liabilities	35,623,456	41,105,952	5,482,496
Equity			
NHI shareholders' equity:			
Common stock			
Authorized - 6,000,000,000 shares			
Issued - 3,822,562,601 shares as of March 31, 2013 and			
3,822,562,601 shares as of December 31, 2013			
Outstanding - 3,710,960,252 shares as of March 31, 2013 and			
3,715,251,026 shares as of December 31, 2013	594,493	594,493	-
Additional paid-in capital	691,264	681,195	(10,069)
Retained earnings	1,136,523	1,259,207	122,684
Accumulated other comprehensive income (loss)	(57,395)	31,361	88,756
Total NHI shareholders' equity before treasury stock	2,364,885	2,566,256	<u>201,371</u>
Common stock held in treasury, at cost -			
111,602,349 shares as of March 31, 2013 and			
<u>107,311,575 shares</u> as of December 31, 2013	(70,514)	(73,758)	(3,244)
Total NHI shareholders' equity	2,294,371	2,492,498	<u>198,127</u>
Noncontrolling interests	24,612	35,972	11,360
Total equity	2,318,983	2,528,470	209,487
Total liabilities and equity	37,942,439	43,634,422	<u>5,691,983</u>

		Millions of yen	
	March 31, 2013	December 31, 2013	Increase/(Decrease)
ASSEIS			
Cash and cash deposits:			
Cash and cash equivalents	805,087	1,257,512	452,425
Time deposits	577,921	460,243	(117,678)
Deposits with stock exchanges and other segregated cash	269,744	340,420	70,676
Total cash and cash deposits	1,652,752	2,058,175	405,423
Loans and receivables:			
Loans receivable	1,575,494	1,473,175	(102,319)
Receivables from customers	63,792	46,646	(17,146)
Receivables from other than customers	992,847	1,139,791	146,944
Allowance for doubtful accounts	(2,258)	(3,287)	(1,029)
Total loans and receivables	2,629,875	2,656,325	26,450
Collateralized agreements:			
Securities purchased under agreements to resell	8,295,372	9,722,027	1,426,655
Securities borrowed	5,819,885	6,759,252	939,367
Total collateralized agreements	14,115,257	16,481,279	2,366,022
Trading assets and private equity investments:			
Trading assets*	17,037,191	19,587,300	2,550,109
Private equity investments	87,158	43,094	(44,064)
Total trading assets and private equity investments	17,124,349	<u>19,630,394</u>	2,506,045
Other assets:			
Office buildings, land, equipment and facilities			
(net of accumulated depreciation and amortization of			
¥355,831 million as of March 31, 2013 and			
¥399,987 million as of December 31, 2013)	428,241	428,851	610
Non-trading debt securities*	920,611	1,001,655	81,044
Investments in equity securities*	123,490	141,826	18,336
Investments in and advances to affiliated companies*	345,705	370,765	25,060
Other	602,159	865,148	262,989
Total other assets	2,420,206	2,808,245	388,039
Total assets	37,942,439	43,634,418	5,691,979

\*Including securities pledged as collateral

		Millions of yen	
	March 31,	December 31,	Increase/(Decrease)
	2013	2013	Increase/(Decrease)
LIABILITIES AND EQUITY			
Short-term borrowings	738,445	559,795	(178,650)
Payables and deposits:			
Payables to customers	476,705	542,741	66,036
Payables to other than customers	864,962	1,356,889	491,927
Deposits received at banks	1,072,134	1,103,782	31,648
Total payables and deposits	2,413,801	3,003,412	589,611
Collateralized financing:			
Securities sold under agreements to repurchase	12,444,317	14,495,419	2,051,102
Securities loaned	2,158,559	2,636,357	477,798
Other secured borrowings	806,507	802,690	(3,817)
Total collateralized financing	15,409,383	17,934,466	2,525,083
Trading liabilities	8,491,296	10,350,094	1,858,798
Other liabilities	978,163	1,261,330	283,167
Long-term borrowings	7,592,368	7,996,855	404,487
Total liabilities	35,623,456	41,105,952	5,482,496
Equity			
NHI shareholders' equity:			
Common stock			
Authorized - 6,000,000,000 shares			
Issued - 3,822,562,601 shares as of March 31, 2013 and			
3,822,562,601 shares as of December 31, 2013			
Outstanding - 3,710,960,252 shares as of March 31, 2013 and			
3,715,246,326 shares as of December 31, 2013	594,493	594,493	-
Additional paid-in capital	691,264	681,195	(10,069)
Retained earnings	1,136,523	<u>1,259,206</u>	122,683
Accumulated other comprehensive income (loss)	(57,395)	31,361	88,756
Total NHI shareholders' equity before treasury stock	2,364,885	2,566,255	201,370
Common stock held in treasury, at cost -			
111,602,349 shares as of March 31, 2013 and			
107,316,275 shares as of December 31, 2013	(70,514)	<u>(73,761)</u>	<u>(3,247)</u>
Total NHI shareholders' equity	2,294,371	<u>2,492,494</u>	<u>198,123</u>
Noncontrolling interests	24,612	35,972	11,360
Total equity	2,318,983	2,528,466	209,483
T ot al liabilities and equity	37,942,439	43,634,418	5,691,979

## (2) Consolidated Statements of Income (UNAUDITED) (Page 8) Original

	Millions of yen		% Change	
	For the nine months ended			
	December 31,	December 31,	(B-A)/(A)	
	2012(A)	2013(B)		
Revenue:				
Commissions	233,327	384,681	64.9	
Fees from investment banking	40,541	64,147	58.2	
Asset management and portfolio service fees	102,241	125,157	22.4	
Net gain on trading	261,516	<u>347,134</u>	32.7	
Gain on private equity investments	6,543	11,738	79.4	
Interest and dividends	296,048	316,018	6.7	
Gain on investments in equity securities	14,767	20,394	38.1	
Other	404,817	111,802	(72.4)	
Total revenue	1,359,800	1,381,071	1.6	
Interest expense	199,781	213,938	7.1	
Net revenue	1,160,019	<u>1,167,133</u>	0.6	
Non-interest expenses:				
Compensation and benefits	392,967	437,418	11.3	
Commissions and floor brokerage	66,800	83,154	24.5	
Information processing and communications	130,341	142,228	9.1	
Occupancy and related depreciation	68,429	59,613	(12.9)	
Business development expenses	34,553	28,361	(12.9)	
Other	398,881	143,312	(64.1)	
Total non-interest expenses	1,091,971	894,086	(18.1)	
To a sure la fami in a sure dansa	<b>CO 040</b>	252.045	201.2	
Income before income taxes	68,048	<u>273,047</u>	301.3	
Income tax expense	56,520	119,274	111.0	
Net income	11,528	<u>153,773</u>		
Less: Net income (loss) attributable to noncontrolling interests	(13,284)	1,437	-	
Net income attributable to NHI shareholders	24,812	<u>152,336</u>	514.0	
Per share of common stock:				
	Yen		% Change	
Basic-				
Net income attributable to NHI shareholders per share	6.73	41.09	510.5	
Diluted-		<b>a</b> 0 57	<b>700</b> -	
Net income attributable to NHI shareholders per share	6.60	39.83	503.5	

	Millions	s of yen	% Change
	For the nine r	nonths ended	
	December 31, 2012(A)	December 31, 2013(B)	(B-A)/(A)
Revenue:			
Commissions	233,327	384,681	64.9
Fees from investment banking	40,541	64,147	58.2
Asset management and portfolio service fees	102,241	125,157	22.4
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Gain on private equity investments	6,543	11,738	79.4
Interest and dividends	296,048	316,018	6.7
Gain on investments in equity securities	14,767	20,394	38.1
Other	404,817	111,802	(72.4)
Total revenue	1,359,800	1,381,070	1.6
Interest expense	199,781	213,938	7.1
Net revenue	1,160,019	<u>1,167,132</u>	0.6
Non-interest expenses:			
Compensation and benefits	392,967	437,418	11.3
Commissions and floor brokerage	66,800	83,154	24.5
Information processing and communications	130,341	142,228	9.1
Occupancy and related depreciation	68,429	59,613	(12.9)
Business development expenses	34,553	28,361	(17.9)
Other	398,881	143,312	(64.1)
Total non-interest expenses	1,091,971	894,086	(18.1)
Income before income taxes	68,048	273,046	301.3
Income tax expense	56,520	119,274	111.0
Net income	11,528	<u>153,772</u>	-
Less: Net income (loss) attributable to noncontrolling interests	(13,284)	1,437	-
Net income attributable to NHI shareholders	24,812	<u>152,335</u>	514.0
Per share of common stock:			
	Ye	en	% Change
Basic- Net income attributable to NHI shareholders per share	6.73	41.09	510.5
Diluted-	0.73	41.09	510.5
Net income attributable to NHI shareholders per share	6.60	39.83	503.5

## (3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9) Original

	Millions	of yen	% Change
	For the nine n	nonths ended	
	December 31, 2012(A)	December 31, 2013(B)	(B-A)/(A)
Net income	11,528	<u>153,773</u>	-
Other comprehensive income:			
Change in cumulative translation adjustments, net of tax	21,374	84,608	295.8
Defined benefit pension plans: Pension liability adjustment Deferred income taxes	5,612 (1,547)	2,883 (974)	(48.6)
Total Non-trading securities:	4,065	1,909	(53.0)
Net unrealized gain on non-trading securities	5,393	6,844	26.9
Deferred income taxes	(1,239)	(2,363)	-
Total	4,154	4,481	7.9
Total other comprehensive income	29,593	90,998	207.5
Comprehensive income	41,121	<u>244,771</u>	495.2
Less: Comprehensive income (loss) attributable to noncontrolling interests	(10,909)	3,679	-
Comprehensive income attributable to NHI shareholders	52,030	<u>241,092</u>	363.4

	Millions	of yen	% Change
	For the nine n	nonths ended	
	December 31, 2012(A)	December 31, 2013(B)	(B-A)/(A)
Net income	11,528	<u>153,772</u>	-
Other comprehensive income:			
Change in cumulative translation adjustments, net of tax	21,374	84,608	295.8
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Total	4,154	4,481	7.9
Total other comprehensive income	29,593	90,998	207.5
Comprehensive income	41,121	244,770	495.2
Less: Comprehensive income (loss) attributable to noncontrolling interests	(10,909)	3,679	-
Comprehensive income attributable to NHI shareholders	52,030	<u>241,091</u>	363.4

## (5) Segment Information — Operating Segment (UNAUDITED) (Page 10) Original

	Millions	of yen	% Change
	For the nine m	onths ended	
Net revenue	December 31, 2012 (A)	December 31, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	259,176	414,047	59.8
Asset Management	50,643	60,015	18.5
Wholesale	447,945	566,624	26.5
Subtotal	757,764	1,040,686	37.3
Other	387,323	108,047	(72.1)
Net revenue	1,145,087	1,148,733	0.3
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	14,932	18,400	23.2
Net revenue	1,160,019	<u>1,167,133</u>	0.6
Non-interest expenses			
Business segment information:			
Retail	215,766	245,313	13.7
Asset Management	33,395	38,226	14.5
Wholesale	411,946	488,301	18.5
Subtotal	661,107	771,840	16.7
Other	430,864	122,246	(71.6)
Non-interest expenses	1,091,971	894,086	(18.1)
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes		-	-
Non-interest expenses	1,091,971	894,086	(18.1)
Income (loss) before income taxes			
Business segment information:			
Retail	43,410	168,734	288.7
Asset Management	17,248	21,789	26.3
Wholesale	35,999	78,323	117.6
Subtotal	96,657	268,846	178.1
Other *	(43,541)	(14,199)	-
Income (loss) before income taxes	53,116	254,647	379.4
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	14,932	18,400	23.2
Income (loss) before income taxes	68,048	273,047	301.3

\*Major components

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".

The following table presents the major components of income (loss) before income taxes in "Other".

	Millions	of yen	% Change
	For the nine mo	onths ended	
	December 31, 2012 (A)	December 31, 2013 (B)	(B-A)/(A)
Net gain (loss) related to economic hedging transactions	148	10,856	-
Realized gain (loss) on investments in equity securities held for operating purposes	(165)	1,994	-
Equity in earnings of affiliates	9,168	22,398	144.3
Corporate items	(15,220)	(34,999)	-
Other	(37,472)	(14,448)	-
Total	(43,541)	(14,199)	-

	Millions		% Change
	For the nine me		
Net revenue	December 31, 2012 (A)	December 31, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	259,176	414,047	59.8
Asset Management	50,643	60,015	18.5
Wholesale	447,945	566,623	26.5
Subtotal	757,764	1,040,685	37.3
Other	387,323	108,047	(72.1
Net revenue	1,145,087	1,148,732	0.3
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	14,932	18,400	23.2
Net revenue	1,160,019	<u>1,167,132</u>	0.6
Non-interest expenses			
Business segment information:			
Retail	215,766	245,313	13.7
Asset Management	33,395	38,226	14.5
Wholesale	411,946	488,301	18.5
Subtotal	661,107	771,840	16.7
Other	430,864	122,246	(71.6
Non-interest expenses	1,091,971	894,086	(18.1
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	-	-	
Non-interest expenses	1,091,971	894,086	(18.1
Income (loss) before income taxes			
Business segment information:			
Retail	43,410	168,734	288.7
Asset Management	17,248	21,789	26.3
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Subtotal	96,657	268,845	178.1
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Unrealized gain (loss) on investments in equity			
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The following table presents the major components of income (loss) before income taxes in "Other".

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	For the nine mo	onths ended	
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Equity in earnings of affiliates	9,168	22,398	144.3
Corporate items	(15,220)	(34,999)	-
Other	(37,472)	(14,448)	-
Total	(43,541)	(14,199)	-

## (6) Significant Changes in Equity (UNAUDITED) (Page 11) Original

	Millions of yen
	For the nine months ended
	December 31, 2013
Common stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
Additional paid-in capital	(01.264
Balance at beginning of year Gain (loss) on sales of treasury stock	691,264
Issuance and exercise of common stock options	(7,433) (2,867)
Purchase / sale of subsidiary shares, net	(2,807)
Balance at end of period	681,195
Retained earnings	
Balance at beginning of year	1,136,523
Net income attributable to NHI shareholders	152,336
Cash dividends	(29,652)
Balance at end of period	<u>1,259,207</u>
Accumulated other comprehensive income	
Cumulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	83,522
Balance at end of period	44,647
Defined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,909
Balance at end of period	(26,609)
Non-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	3,325
Balance at end of period	13,323
Balance at end of period	31,361
Common stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	<u>(32,491)</u>
Sale of common stock	<u>1</u>
Common stock issued to employees	28,579
Other net change in treasury stock	667
Balance at end of period	(73,758)
Total NHI shareholders' equity	
Balance at end of period	<u>2,492,498</u>
Noncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	11,360
Balance at end of period	35,972
Total equity	
Balance at end of period	<u>2,528,470</u>

	Millions of yen
	For the nine months ended
	December 31, 2013
mmon stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
ditional paid-in capital	
Balance at beginning of year	691,264
Gain (loss) on sales of treasury stock	(7,433)
Issuance and exercise of common stock options	(2,867)
Purchase / sale of subsidiary shares, net	231
Balance at end of period	681,195
ained earnings	
Balance at beginning of year	1,136,523
Net income attributable to NHI shareholders	<u>152,335</u>
Cash dividends	(29,652)
Balance at end of period	1,259,206
cumulated other comprehensive income	
imulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	83,522
Balance at end of period	44,647
•	
efined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,909
Balance at end of period	(26,609)
on-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	3,325
Balance at end of period	13,323
alance at end of period	31,361
mmon stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	(32,502)
Sale of common stock	<u> </u>
Common stock issued to employees	28,579
Other net change in treasury stock	667
Balance at end of period	<u>(73,761)</u>
al NHI shareholders' equity	
Balance at end of period	<u>2,492,494</u>
ncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	11,360
Balance at end of period	35,972
al equity	
Balance at end of period	<u>2,528,466</u>

<ol> <li>Supplementary Information         <ol> <li>Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)</li> </ol> </li> </ol>	Comparative	s (UNAUD)	(TED)						
			~	Millions of year				% Change	Millions of year
			For the	For the three months ended	ed				For the vear ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013	September 30, 2013(A)	December 31, 2013(8)	(B-A)/(A)	March 31, 2013
Revenue:									
Commissions	77,367	72,279	83,681	125,742	157,634	105,613	121,434	15.0	359,069
Fees from investment banking	10,383	17,131	13,027	21,812	25,394	22,984	15,769	(31.4)	62,353
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	42,074	3.4	141,029
Net gain on trading	84,399	88,929	88,188	106,463	128,409	110,181	108,544	(1.5)	367,979
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	10,985	1	8,053
Interest and dividends	103,469	92,834	99,745	97,959	115,325	160'86	102,602	4.6	394,007
Gain (loss) on investments in equity securities	(1,061)	12,970	8,858	23,919	7,852	5,037	7,505	49.0	38,686
Other	142,610	143,373	118,834	303,950	28,225	45,059	38,508	(14.6)	708,767
Total revenue	439,593	461,226	458,981	720,143	505,270	428,380	447,421	4.4	2,079,943
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	68,000	(3.5)	266,312
Net revenue	369,254	401,679	389,086	653,612	431,321	356,391	379,421	6.5	1,813,631
Non-interest expenses:									
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	138,822	2.5	547,591
Commissions and flocr brokerage	21,978	21,904	22,918	24,588	29,046	26,134	27,974	7.0	91,388
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	47,755	3.3	179,904
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	18,999	(8.8)	91,545
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	11,029	16.4	49,010
Other	125,074	132,204	141,603	217,582	49,975	45,389	47,948	5.6	616,463
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	292,527	3.2	1,575,901
Tenners buffing in case tener	10 666	201.92	10 064	100 001	010 011	110 11	100 90	101	021 120
Income tax extense	13 590	30.056	12.874	75,519	46.956	015 75	37,769	6	132.039
Net income	6,076	5,361	16	94,163	66,263	38,385	49,125	28.0	105,691
Less Net income Joss) attributable to noncontrolling interests	4.185	2.552	(20.021)	11.741	369	272	796	1926	(1.543)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	38,113	48,329	26.8	107,234
Per share of common stock:				Yen				% Change	Yen
Basic- Net income attributable to NHI shareholders per share	0.51	0.76	5,44	22.23	17.78	10.29	13.02	26.5	29.04
Ditued- Net instants and another to NET dissected days are deared.		7 L O		23.15	10.11	0000	37 (1	220	1000
INCLUDED AUTOMADIC TO INFIT STAR CHORACES PAR STAR C	NC:0	1.1.N	6C.C	6017	5	64.6	677	70.0	10.07

Original

<ol> <li>Supplementary Information         <ol> <li>Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)</li> </ol> </li> </ol>	ly Comparative	s (UNAUD	(TED)						
				Millions of yen				%6 Change	Millions of yen
			For the	For the three months ended	led				For the year anded
	Juane 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013	September 30, 2013(A)	December 31, 2013(B)	(B-A)′(A)	March 31, 2013
Revenue:									
Contratissions	77,367	72,279	83,681	125,742	157,634	105,613	121,434	15.0	359,069
Fees from investment banking	10,383	121,131	13,027	21,812	25,394	22,984	15,769	(31.4)	62,353
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	42,074	3.4	141,029
Net gain on trading	84,399	88,929	88,188	106,463	128,409	110,180	108,544	(1.5)	367,979
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	10,985		8,053
Interest and dividends	103,469	92,834	99,745	626'25	115,325	98,091	102,602	4.6	394,007
Gain (loss) on investments in equity securities	(7,061)	12,970	8,858	23,919	7,852	5,037	7,505	49.0	38,686
Other	142,610	143,373	118,834	303,950	28,225	45,069	38,508	(14.6)	708,767
Total revenue	439,593	461,226	458,981	720,143	505,270	428,379	447,421	4.4	2,079,943
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	68,000	(5.5)	266,312
Net revenue	369,254	401,679	389,086	653,612	431,321	356,390	379,421	6.5	1,813,631
Non-interest expenses:									
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	138,822	2.5	547,591
Commissions and floor brokerage	21,978	21,904	22,918	24,588	29,046	26,134	27,974	7.0	91,388
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	47,755	33	179,904
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	18,999	(8.8)	91,545
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	11,029	16.4	49,010
Other	125,074	132,204	141,603	217,582	49,975	45,389	47,948	5.6	616,463
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	292,527	3.2	1,575,901
Income hafting income taxes	19 666	35.417	17 965	169.687	113 210	110 12	103,42	101	041 140
Income tax expense	13,590	30.056	12.874	75.519	46.956	34,549	37,769	93	132,039
Net income	6,076	5,361	91	94,163	66,263	38,384	49,125	28.0	105,691
Less: Net income (loss) attributable to noncontrolling interests	4,185	2,552	(20,021)	11.741	369	272	196	192.6	(1.543)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	38,112	48,329	26.8	107,234
Per share of common stock:				Yen				%6 Change	Yen
Basic- Net income attributable to NHI shareholders per share	0.51	0.76	544	22.23	17.78	10.29	13.02	26.5	29.04
Dâuted- Net income attributable to NEII shareholders per share	0.50	0.74	533	21.55	17.24	9.99	12.65	26.6	28.37

	(UNAUDITED)
	y Comparatives (
	on – Quarterl
	ent Informati
	isiness Segme
Original	(2) Bu

The following table shows quarterly business segment information and reconcilitation items to the consolidated statements of income.

Mitter         with an and and				For	For he three months ended					For the wear ended
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Net revenue	June 20, 2012	September 33, 2012		2013 2013	Juane 30, 2013	September 30, 2013(A)	December 31, 2013(8)	(P.A)(A)	March 31. 2013
	Business segment information:									
met         met <td>Retail</td> <td>82,711</td> <td>80,786</td> <td>95,679</td> <td>138,749</td> <td>165,342</td> <td>062,611</td> <td>127,975</td> <td>6.9</td> <td>397,925</td>	Retail	82,711	80,786	95,679	138,749	165,342	062,611	127,975	6.9	397,925
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Asset planagement vet-al-od-	01-01	10,454,01	15,730	12,234	4/1°07	070'81	21212	20	05,937
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	without same	221.012	233 319	303,433	353.954	381.125	321.705	337.856		1111.718
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Other	154.567	156.003	76.753	276,905	45,032	5649 642	35.366	19.3	664.128
	Net revenue	375,579	389,322	330,185	630,859	424,157	351,354	373,222	\$2	1,775,946
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Recordination items: Theory that said theory as forwards and in sample.									
HSL Intrational formational for	our enced gan (1934) on investinging a equity securities held for operating purposes	(5232)	12,357	8,900	22,758	1,164	5.03	661.9	23.1	37,685
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Net revenue	369,254	401,679	339,085	653,612	431,321	356,391	379,421	5.5	1,813,631
	Non-interest expenses									
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Business segment information: e	C # 3 100	100 07	617 J.	101 101		100	00.100		101100
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	A contract Activity Press and	11 048	62801	897 II	14511	15,453	1749	50°'02	(E 0	121,125
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	W holesale	130,434	136,901	114.611	161.255	169.372	158,063	100,800	2	5/15/199
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Subtrotal	212,005	213,604	231,498	257,157	268,092	250,291	253,457	5	918,264
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Other	137,583	148,658	144,623	226,773	50,010	33,166	39,070	17.8	657,637
$ \begin{array}{c} (0,1) \\ (0,1) $	Non-interest expenses	349,583	366,202	376,121	483,930	318,102	283,457	292,527	32	1,575,901
$ \begin{array}{c} \mbox{transfit} $	Recorditation items:									
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Unte attred gain (1935) on investments in equity securities held for secritica runnases									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	292,527	32	1,575,901
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Tucome (Jose) hefore juccure taxes									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Business segment information:									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Retail	12,188	10,962	20,260	81218	31,105	356'60	47,673	19.3	100/623
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Asset Management	5,370	6,560	7,318	3,921	6,691	6,172	8,926	4.6	21,169
the income taxes $\frac{15001}{200}$ $\frac{13,13}{13,13}$ $\frac{13,030}{2000}$ $\frac{13,13}{13,13}$ $\frac{13,030}{2000}$ $\frac{13,13}{13,13}$ $\frac{13,030}{2000}$ $\frac{13,11}{2000}$ $\frac{13,030}{2000}$ $\frac{13,13}{2000}$ $\frac{13,030}{2000}$ $\frac{13,13}{2000}$ $\frac{13,031}{2000}$	W hole sale	(8551)	193	44,357	35,658	2,237	25,286	27,800	66	11,057
$ \begin{array}{c cccc} \mbox{free income takes} & \begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Subtrotal	100%	01/101	(010-012) (01-012)	160°04	10,010	0.517	245742 1012 101	187	195,404
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Income (loss) before income taxes	25.991	23.060	4.065	146.929	106.055	67.897	80,695	18.8	200.045
(bits) or investments in equity for eperture grammers         (13)	Recordinion items:									
Occ oper training pumposes         (3.325)         (1.326)         (1.321)         (1.326)         (1.321)         (1.326)         (1.321)         (1.326)         (1.321)         (1.326)         (1.321)         (1.326)         (1.3	Unrealized gain (loss) on investments in equity									
	securities held for operating purposes	(6,325)	12,357	8,900	22,753	1,164	5,037	6,199	23.1	37,685
the operating segment reached within segment reache an commercial terms and conditions, and are eliminated in "Other" are terms the major components of income (lass) before income taxes in "Other". Terms in 70, 5 operator 70, 5 operator 70, 2013 (c. 667) 5, 100 (c. 668) 5, 100 (c. 6	Income (loss) before income taxes	19,666	35,417	12,965	169,682	113,219	72,934	86,894	1.61	237,730
Additions of year         Additions of year         Solutions of year         % Change         Millions of year           Jume 10, 2013         Soprember 2), 2013         December 31, 2013         Jume 20, 2013         Soprember 31, 2013         So Change         Jumes 1           Jume 10, 2013         Soft bit year         Jume 30, 2013         Soprember 31, 2013         December 31, 2013         Jumes 20, 2013         Soft bit year           oncic         (1,31)         964         415         841         1,373         (1,667)         5,150         Jumes           none         (1,31)         964         415         1,166         688         0         1,306         1           none         (1,31)         964         4,399         5,333         5,144         6,704         2,013         2,01         1         0         1,015         1	<ul> <li>Major composents Transariors hertwhen operating segments are recorded within e The following table greaters the major composents of income ()</li> </ul>	egment results en commerc loss) before income taxes in	ial terms and canditive "Other".	c, and are eliminated in '	Other"					
June: 10, 2012         September 31, 2013         Comber 31, 2013         December 31, 2013         <				For	Millions of year the dress months and ad				% Change	Millions of year
June 10, 2012         December 1, 2013         December 1, 2013 <td></td> <td></td> <td></td> <td></td> <td>ac at comparison and a</td> <td>:</td> <td></td> <td></td> <td>10 A 14 A</td> <td>Lor the year ended</td>					ac at comparison and a	:			10 A 14 A	Lor the year ended
ortic         (1,231)         964         415         841         7,373         (1,667)         5,150         -           scata in equity         (736)         613         (41)         1,166         688         0         1,306         -           urposes         1,273         3,346         4,549         5,233         5,343         8,171         (3,0)           6,624         (7,044)         (1,4802)         32,872         (11,344)         (8,700)         (13,554)         -           11,054         9,466         (57,992)         10,020         (303)         (4,377)         -         -		2012	September 20, X12	December 31, 2012	2013	2013	September 30, 2013(A)	Dreembre 31, 2013(B)	(v) (v-a)	2013
(1,231)         964         415         841         7,373         (1,667)         5,150         -           nexts in equity         (736)         613         (42)         1,166         688         0         1,306         -           urposes         (736)         613         (42)         1,166         688         0         1,306         -           6624         (7,042)         (14,500)         32,872         (11,344)         (8,700)         (13,654)         -           11,054         9,466         (57,992)         10,020         (305)         (4,377)         -	Net gain (less) related to economic									
Uncodes         (736)         613         (41)         1,166         688         0         1,206         -           1,273         3,346         4,549         5,233         1,343         3,834         3,171         (3,0)           6,624         (1,044)         (1,4800)         32,872         (11,344)         (8,700)         (13,554)         -           11,054         9,466         (57,992)         10,020         (3035)         (4,377)         -	bedging transactions Rockred pair flocts on incomments in control	(1,231)	196	415	841	1,373	(1,667)	5,150	•	686
1,273         3,346         4,549         5,233         1,343         3,884         3,171         (3,0)           6,624         (1,044)         (1,4800)         32,872         (11,344)         (8,704)         (13,554)         -           11,054         9,466         (57,692)         10,020         (3035)         (3,333)         (4,377)         -	securities held for operating surroses	(020)	613	(41)	1166	688	•	1,506		1001
are benus 6,624 (1,044) (14,800) 32,872 (11,344) (8,700) (15,554) - 11,054 (37,962) 10,020 (8,038) (2,033) (4,377) -	Equity in earnings of affiliates	1,273	3,346	454	5,233	5,343	8,88	8,171	(3.0)	14,401
11,054 9,466 (51,992) 10,020 (5,038) (4,377) - (4,377) -	Corporate heras	5,624	(1044)	(14,800)	32,872	(12,344)	(8,701)	(13,554)		17,652
	Orther	11,054	9,466	(51,992)	10,020	(\$,038)	(2,033)	(4.377)		(27, 452)

				Millions of yea				% Change	Millions of year
			For	For the three months ended					For the year ended
Net revenue	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013	September 30, 2015(A)	December 31, 2013(B)	(B.A)(A)	Minch 31, 2013
Business segment information:									
Retail	\$2,711	80,786	95,679	138,749	166,342	119,730	127,915	6.9	397,925
As set Management	16,418	15,439	18,786	18,294	20,174	18,626	21,215	13.9	68,937
Wholesale	121,883	137,094	188,968	196,911	194,609	183,348	188,666	2.9	644,856
Subtretal	221,012	233,319	303,433	353,954	381,125	321,704	337,856	5.0	1,111,718
Other	154,567	156,003	76,753	276,905	43,032	29,649	35,366	19.3	(64,228
Net revenue	375,579	389,322	330,136	630,859	424,157	351,353	373,222	62	1,775,946
Recenctiation items: 1'second acia (loc) on investments in amily									
securities held for operating purposes	(8325)	12.357	8.900	22.753	7,164	5.037	6(1)9	23.1	37.685
Net revenue	369,254	401,679	389,086	653,612	431,321	356,390	379,421	6.5	1,813,631
Non-interest expenses									
Business segment information:									
Retail	70,523	69,824	25,419	81,531	85,237	177,95	\$0,302	0.7	792,792
Asset Management	11,0-8	10,879	11,468	14,373	13,483	12,454	12,289	(13)	47,768
Wholesale	130,434	136,901	144,611	161,253	169,372	158,063	160,866		573,199
Subtretal	212,005	217,604	231,498	257,157	268,092	250,291	253,457	13	918,264
Other	137,583	148,658	144,623	226,773	20,010	33,166	39,030	17.8	657,637
Non-interest expenses	349,588	366,262	376,121	483,950	318,102	283,457	292,527	32	1,575,901
Reconcliation items: Uturehized gain (loss) on investments in equity securities held for operating purposes								,	
Non-interest expenses	349,588	366.262	376,121	483.950	318,102	283,457	292.527	3.2	1.575.901
Income (loss) before income taxes									
Business segment information:									
Retail	12,188	10,962	20,260	57,218	81,105	39,956	47,673	19.3	100,628
Asset Management	5,300	1,560	7,318	3,921	6,691	6,172	8,936	416	21,169
Wholesale	(\$,551)	193	44,357	35,658	25,237	25,285	27,800	9.9	71,657
Subtotal	/00/6	0,/15	966'1/	161,06	115,055	71,413	665'92	15.2	FCF'561
Other *	16,984	345,1	(67,870)	50,132	(6,978)	(112)	(3,7(4)		6,591
Income (loss) before income taxes	25,991	23,060	4,065	146,929	106,055	67,896	80,695	18.9	200,045
Recencibation frems:									

\* Major components Transactions between operating segments are recorded within segment results on communical terms and conditions, and are eiminated in "Other". The following table presents the major components of income (loss) before income taxes in "Other".

37,685

23.1

6,199 86,894

72,933 5,037

7,164

22,753

8,900 12,965

35,417

(6.325)

I

Unrealized gain (loss) on investments in equity securities held for operating purposes Income (loss) before income taxes

Recenciation items:

				Millions of year				% Change	Millions of year
			For	For the three months ended	-				For the year ended
	June 30, 2012	September 30, 2013	December 31, 2012	March 31, 2013	June 30, 2013	September 20, 2013(A.)	December 31, 2013(B)	(B-A) (A)	Minch 31, 2013
Net gain (loss) related to economic									
hedging transactions.	(124)	196	415	841	2,373	(1,667)	5,150		686
Realized gain (loss) on investments in equity									
securities held for operating purposes	(736)	613	(75)	1,166	688	0	1,306		1,001
Equity in earnings of affiliates	1,273	3,346	6+5'+	5,233	5,343	8,884	8,171	(3.0)	14,401
Corporate items	6,624	(Ho:)	(14,800)	32,872	(12,344)	(8,701)	(13,954)		17,652
Other	11,054	9,466	(57,992)	10,020	(\$,03\$)	(2,033)	(4,377)		(27,452)
Tetal	16,984	7,345	(67,870)	50,132	(6,978)	(3,517)	(3,704)		6,591

(2) Business Segment Information – Quarterly Comparatives (UNAUDITED) Corrected

ends

#### For further information please contact:

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#### Nomura

Nomura is an Asia-based financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit <u>www.nomura.com</u>.