

Disclosure of Shareholdings - Notifications concerning single shareholders

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|---|--|--|-------------------------|---------------------------|---------------------------------|------------------|
| Publication date | 28.05.2022 | | | | | |
| Issuer | Lonza Group AG | | | | | |
| Beneficial owner / Person who has the discretionary power to exercise the voting rights | BlackRock, Inc., New York, NY USA | | | | | |
| | Type of rights | Number of rights | Number of voting rights | Percent. of voting rights | ISIN / Basic terms / Remarks | |
| Purchase positions (total) | | | | 9.85 % | | |
| | Equity securities or equity related securities | | | | | |
| | Registered share | 6'349'113 | 6'349'113 | 8.53 % | | |
| | Securities lending and comparable transactions | | | | | |
| | <i>From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions (Art. 17 FMIO-FINMA):</i> | | | | | |
| | Registered share | 68'189 | 68'189 | 0.09 % | Nature of the legal transaction | Repo transaction |
| | | | | | Right to choose by | Other |
| | Remarks | Repos (on the purchaser side) and/or collateral received with title transfer (non-repo). The dates of return transfer depend of the specific terms of various agreements according to which the collaterals are granted. | | | | |
| | Voting rights that can be exercised at one's own discretion | | | | | |
| | <i>The following voting rights were delegated by a third party and can be exercised at one's own discretion:</i> | | | | | |
| | | | 945'136 | 1.27 % | | |
| | Derivative holdings | | | | | |
| | <i>Conversion and share purchase rights (such as call-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) share sale rights and other derivative holdings:</i> | | | | | |
| | CFD | 30'233 | 30'233 | 0.04 % | Exercise type | Cash Settlement |
| | Remarks | Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 924 | 924 | 0.001 % | Exercise type | Cash Settlement |
| | Remarks | Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 384 | 384 | 0.001 % | Exercise type | Cash Settlement |
| | Remarks | Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 8'259 | 8'259 | 0.01 % | Exercise type | Cash Settlement |
| | Remarks | Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 21 | 21 | 0.00003 % | Exercise type | Cash Settlement |
| | Remarks | Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017) | | | | |
| Sale positions (total) | | | | 0.02 % | | |
| | CFD | 339 | 339 | 0.0005 % | Exercise type | Cash Settlement |

| | | | | | | |
|---|-------------------------|---|-------|----------|---------------|-----------------|
| | Remarks | Contracts for difference issued by Barclays on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 143 | 143 | 0.0002 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by Citigroup on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 304 | 304 | 0.0004 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 2'326 | 2'326 | 0.003 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 4'871 | 4'871 | 0.01 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 94 | 94 | 0.0001 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 421 | 421 | 0.001 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017) | | | | |
| | CFD | 1'317 | 1'317 | 0.002 % | Exercise type | Actual delivery |
| | Remarks | Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017) | | | | |
| | Call-Option/ Warrant | 6'100 | 6'100 | 0.01 % | Exercise type | Actual delivery |
| | Remarks | Call Option on LONZA GROUP AG CH0013841017 with exercise price of 537.7037 expiry date of 29-June-2022 and exercise type of Cash Settled | | | | |
| Creation of the obligation to notify | | 23.05.2022 | | | | |
| Facts and circumstances triggering the obligation to notify | | Securities lending and comparable transactions | | | | |
| Remarks | | Other | | | | |
| | | <p>The obligation to notify was triggered by a disposal of collateral (Acquisition and Share Position Obligation). With recommendation of the Disclosure Office dated 15 July 2016 and 17 June 2019, BlackRock, Inc. was granted easing provisions from the obligation to disclose the direct shareholders according to Article 120 para. 1 FMIA in conjunction with Article 11 let. b and Article 22 para. 1 let. e and para 3 FMIO-FINMA when disclosing holdings according to Article 18 para. 1 and 4 FMIO-FINMA. However, collective investment schemes within the meaning of Article 18 para. 2 let. a FMIO-FINMA that hold individually 3% or more of the voting rights of the relevant issuer will be mentioned in the relevant disclosure notification. Any person shall, at her/his request and without prove of interest, receive information about the direct shareholders within the meaning of Article 11 let. b and Article 22 para. 1 let. e and para. 3 FMIO-FINMA. Such request has to be addressed via email to the Disclosure Office: disclosure@six-group.com. The information will be provided in electronic format with a reference date not older than one month. The easing provisions are granted until 15 July 2022.</p> | | | | |