News Release

Nomura Announces Correction to Financial Statements

Tokyo, May 30, 2014—Nomura Holdings, Inc. today announced corrections to the document issued on October 29, 2013, titled "Financial Highlights — Six months ended September 2013" as underlined below. The impact of the corrections on Nomura's consolidated financial results is negligible.

Corrections

1. Consolidated Operating Results for the six months ended September 30, 2013
2. Quarterly Consolidated Financial Statements
   (1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)
   (2) Consolidated Statements of Income (UNAUDITED) (Page 8)
   (3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9)
   (5) Segment Information — Operating Segment (UNAUDITED) (Page 10)
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3. Supplementary Information
   (1) Consolidated Statements of Income — Quarterly Comparatives (UNAUDITED) (Page 12)
   (2) Business Segment Information — Quarterly Comparatives (UNAUDITED) (Page 13)

Details of the corrections are underlined in the attachment below.

Attachment

1. Consolidated Operating Results

Original

(1) Operating Results

	For the six months ended September 30			
	2012		2013	
	(Millions of yen, except per share data)			
		% Change from September 30, 2011		% Change from September 30, 2012
Total revenue	900,819	11.9%	**933,650**	3.6%
Net revenue	770,933	22.0%	**787,712**	2.2%
Income before income taxes	55,083	-%	**186,153**	**238.0%**
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	4,700	-%	**104,007**	-%
Comprehensive income (loss)	(8,016)	-%	**134,205**	-%

(2) Financial Position

	At March 31	At September 30
	2013	2013
	(Millions of yen, except per share data)	
Total assets	37,942,439	**41,868,413**
Total equity	2,318,983	**2,411,306**
Total NHI shareholders' equity	2,294,371	**2,379,189**
Total NHI shareholders' equity as a percentage of total assets	6.0%	5.7%
Total NHI shareholders' equity per share (Yen)	618.27	641.90

(4) Number of shares issued (common stock)

	At March 31	At September 30
	2013	2013
Number of shares outstanding (including treasury stock)	3,822,562,601	3,822,562,601
Number of treasury stock	111,602,349	**116,077,880**

	For the six months ended September 30	
	2012	2013
Average number of shares outstanding (year-to-date)	3,682,504,520	**3,705,701,979**

Corrected

(1) Operating Results

	For the six months ended September 30			
	2012		2013	
	(Millions of yen, except per share data)			
		% Change from September 30, 2011		% Change from September 30, 2012
Total revenue	900,819	11.9%	**933,649**	3.6%
Net revenue	770,933	22.0%	**787,711**	2.2%
Income before income taxes	55,083	-%	**186,152**	**237.9%**
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	4,700	-%	**104,006**	-%
Comprehensive income (loss)	(8,016)	-%	**134,204**	-%

(2) Financial Position

	At March 31	At September 30
	2013	2013
	(Millions of yen, except per share data)	
Total assets	37,942,439	**41,868,409**
Total equity	2,318,983	**2,411,302**
Total NHI shareholders' equity	2,294,371	**2,379,185**
Total NHI shareholders' equity as a percentage of total assets	6.0%	5.7%
Total NHI shareholders' equity per share (Yen)	618.27	641.90

(4) Number of shares issued (common stock)

	At March 31	At September 30
	2013	2013
Number of shares outstanding (including treasury stock)	3,822,562,601	3,822,562,601
Number of treasury stock	111,602,349	**116,082,580**

	For the six months ended September 30	
	2012	2013
Average number of shares outstanding (year-to-date)	3,682,504,520	**3,705,694,494**

2. Quarterly Consolidated Financial Statements

(1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)

Original

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
ASSETS			
Cash and cash deposits:			
Cash and cash equivalents	805,087	1,298,043	492,956
Time deposits	577,921	468,495	(109,426)
Deposits with stock exchanges and other segregated cash	269,744	384,480	114,736
Total cash and cash deposits	1,652,752	2,151,018	498,266
Loans and receivables:			
Loans receivable	1,575,494	1,303,655	(271,839)
Receivables from customers	63,792	52,097	(11,695)
Receivables from other than customers	992,847	1,506,426	513,579
Allowance for doubtful accounts	(2,258)	(3,205)	(947)
Total loans and receivables	2,629,875	2,858,973	229,098
Collateralized agreements:			
Securities purchased under agreements to resell	8,295,372	9,552,516	1,257,144
Securities borrowed	5,819,885	6,376,335	556,450
Total collateralized agreements	14,115,257	15,928,851	1,813,594
Trading assets and private equity investments:			
Trading assets*	17,037,191	**18,332,966**	**1,295,775**
Private equity investments	87,158	84,169	(2,989)
Total trading assets and private equity investments	17,124,349	**18,417,135**	**1,292,786**
Other assets:			
Office buildings, land, equipment and facilities (net of accumulated depreciation and amortization of ¥355,831 million as of March 31, 2013 and ¥373,140 million as of September 30, 2013)	428,241	421,042	(7,199)
Non-trading debt securities*	920,611	1,003,495	82,884
Investments in equity securities*	123,490	135,736	12,246
Investments in and advances to affiliated companies*	345,705	360,348	14,643
Other	602,159	591,815	(10,344)
Total other assets	2,420,206	2,512,436	92,230
Total assets	37,942,439	**41,868,413**	**3,925,974**

*Including securities pledged as collateral

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
LIABILITIES AND EQUITY			
Short-term borrowings	738,445	800,966	62,521
Payables and deposits:			
Payables to customers	476,705	579,148	102,443
Payables to other than customers	864,962	1,154,251	289,289
Deposits received at banks	1,072,134	1,106,042	33,908
Total payables and deposits	2,413,801	2,839,441	425,640
Collateralized financing:			
Securities sold under agreements to repurchase	12,444,317	14,074,602	1,630,285
Securities loaned	2,158,559	2,431,554	272,995
Other secured borrowings	806,507	818,239	11,732
Total collateralized financing	15,409,383	17,324,395	1,915,012
Trading liabilities	8,491,296	9,870,444	1,379,148
Other liabilities	978,163	984,102	5,939
Long-term borrowings	7,592,368	7,637,759	45,391
Total liabilities	35,623,456	39,457,107	3,833,651
Equity			
NHI shareholders' equity:			
Common stock			
Authorized - 6,000,000,000 shares			
Issued - 3,822,562,601 shares as of March 31, 2013 and			
3,822,562,601 shares as of September 30, 2013			
Outstanding - 3,710,960,252 shares as of March 31, 2013 and			
3,706,484,721 shares as of September 30, 2013	594,493	594,493	-
Additional paid-in capital	691,264	682,048	(9,216)
Retained earnings	1,136,523	**1,210,878**	**74,355**
Accumulated other comprehensive income (loss)	(57,395)	(28,579)	28,816
Total NHI shareholders' equity before treasury stock	2,364,885	**2,458,840**	**93,955**
Common stock held in treasury, at cost -			
111,602,349 shares as of March 31, 2013 and			
116,077,880 shares as of September 30, 2013	(70,514)	**(79,651)**	**(9,137)**
Total NHI shareholders' equity	2,294,371	**2,379,189**	**84,818**
Noncontrolling interests	24,612	32,117	7,505
Total equity	2,318,983	**2,411,306**	**92,323**
Total liabilities and equity	37,942,439	**41,868,413**	**3,925,974**

Corrected

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
ASSETS			
Cash and cash deposits:			
Cash and cash equivalents	805,087	1,298,043	492,956
Time deposits	577,921	468,495	(109,426)
Deposits with stock exchanges and other segregated cash	269,744	384,480	114,736
Total cash and cash deposits	1,652,752	2,151,018	498,266
Loans and receivables:			
Loans receivable	1,575,494	1,303,655	(271,839)
Receivables from customers	63,792	52,097	(11,695)
Receivables from other than customers	992,847	1,506,426	513,579
Allowance for doubtful accounts	(2,258)	(3,205)	(947)
Total loans and receivables	2,629,875	2,858,973	229,098
Collateralized agreements:			
Securities purchased under agreements to resell	8,295,372	9,552,516	1,257,144
Securities borrowed	5,819,885	6,376,335	556,450
Total collateralized agreements	14,115,257	15,928,851	1,813,594
Trading assets and private equity investments:			
Trading assets*	17,037,191	**18,332,962**	**1,295,771**
Private equity investments	87,158	84,169	(2,989)
Total trading assets and private equity investments	17,124,349	**18,417,131**	**1,292,782**
Other assets:			
Office buildings, land, equipment and facilities (net of accumulated depreciation and amortization of ¥355,831 million as of March 31, 2013 and ¥373,140 million as of September 30, 2013)	428,241	421,042	(7,199)
Non-trading debt securities*	920,611	1,003,495	82,884
Investments in equity securities*	123,490	135,736	12,246
Investments in and advances to affiliated companies*	345,705	360,348	14,643
Other	602,159	591,815	(10,344)
Total other assets	2,420,206	2,512,436	92,230
Total assets	37,942,439	**41,868,409**	**3,925,970**

*Including securities pledged as collateral

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
LIABILITIES AND EQUITY			
Short-term borrowings	738,445	800,966	62,521
Payables and deposits:			
Payables to customers	476,705	579,148	102,443
Payables to other than customers	864,962	1,154,251	289,289
Deposits received at banks	1,072,134	1,106,042	33,908
Total payables and deposits	2,413,801	2,839,441	425,640
Collateralized financing:			
Securities sold under agreements to repurchase	12,444,317	14,074,602	1,630,285
Securities loaned	2,158,559	2,431,554	272,995
Other secured borrowings	806,507	818,239	11,732
Total collateralized financing	15,409,383	17,324,395	1,915,012
Trading liabilities	8,491,296	9,870,444	1,379,148
Other liabilities	978,163	984,102	5,939
Long-term borrowings	7,592,368	7,637,759	45,391
Total liabilities	35,623,456	39,457,107	3,833,651
Equity			
NHI shareholders' equity:			
Common stock			
Authorized - 6,000,000,000 shares			
Issued - 3,822,562,601 shares as of March 31, 2013 and			
3,822,562,601 shares as of September 30, 2013			
Outstanding - 3,710,960,252 shares as of March 31, 2013 and			
3,706,480,021 shares as of September 30, 2013	594,493	594,493	-
Additional paid-in capital	691,264	682,048	(9,216)
Retained earnings	1,136,523	**1,210,877**	**74,354**
Accumulated other comprehensive income (loss)	(57,395)	(28,579)	28,816
Total NHI shareholders' equity before treasury stock	2,364,885	**2,458,839**	**93,954**
Common stock held in treasury, at cost -			
111,602,349 shares as of March 31, 2013 and			
116,082,580 shares as of September 30, 2013	(70,514)	**(79,654)**	**(9,140)**
Total NHI shareholders' equity	2,294,371	**2,379,185**	**84,814**
Noncontrolling interests	24,612	32,117	7,505
Total equity	2,318,983	**2,411,302**	**92,319**
Total liabilities and equity	37,942,439	**41,868,409**	**3,925,970**

(2) Consolidated Statements of Income (UNAUDITED) (Page 8)

Original

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:			
Commissions	149,646	263,247	75.9
Fees from investment banking	27,514	48,378	75.8
Asset management and portfolio service fees	67,224	83,083	23.6
Net gain on trading	173,328	**238,590**	37.7
Gain (loss) on private equity investments	(5,088)	753	-
Interest and dividends	196,303	213,416	8.7
Gain on investments in equity securities	5,909	12,889	118.1
Other	285,983	73,294	(74.4)
Total revenue	900,819	**933,650**	3.6
Interest expense	129,886	145,938	12.4
Net revenue	770,933	**787,712**	2.2
Non-interest expenses:			
Compensation and benefits	258,269	298,596	15.6
Commissions and floor brokerage	43,882	55,180	25.7
Information processing and communications	87,669	94,473	7.8
Occupancy and related depreciation	46,250	40,614	(12.2)
Business development expenses	22,502	17,332	(23.0)
Other	257,278	95,364	(62.9)
Total non-interest expenses	715,850	601,559	(16.0)
Income before income taxes	55,083	**186,153**	**238.0**
Income tax expense	43,646	81,505	86.7
Net income	11,437	**104,648**	815.0
Less: Net income attributable to noncontrolling interests	6,737	641	(90.5)
Net income attributable to NHI shareholders	4,700	**104,007**	-
Per share of common stock:			
	Yen		% Change
Basic-			
Net income attributable to NHI shareholders per share	1.28	28.07	-
Diluted-			
Net income attributable to NHI shareholders per share	1.25	27.20	-

Corrected

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:			
Commissions	149,646	263,247	75.9
Fees from investment banking	27,514	48,378	75.8
Asset management and portfolio service fees	67,224	83,083	23.6
Net gain on trading	173,328	**238,589**	37.7
Gain (loss) on private equity investments	(5,088)	753	-
Interest and dividends	196,303	213,416	8.7
Gain on investments in equity securities	5,909	12,889	118.1
Other	285,983	73,294	(74.4)
Total revenue	900,819	**933,649**	3.6
Interest expense	129,886	145,938	12.4
Net revenue	770,933	**787,711**	2.2
Non-interest expenses:			
Compensation and benefits	258,269	298,596	15.6
Commissions and floor brokerage	43,882	55,180	25.7
Information processing and communications	87,669	94,473	7.8
Occupancy and related depreciation	46,250	40,614	(12.2)
Business development expenses	22,502	17,332	(23.0)
Other	257,278	95,364	(62.9)
Total non-interest expenses	715,850	601,559	(16.0)
Income before income taxes	55,083	**186,152**	**237.9**
Income tax expense	43,646	81,505	86.7
Net income	11,437	**104,647**	815.0
Less: Net income attributable to noncontrolling interests	6,737	641	(90.5)
Net income attributable to NHI shareholders	4,700	**104,006**	-
Per share of common stock:			
	Yen		% Change
Basic-			
Net income attributable to NHI shareholders per share	1.28	28.07	-
Diluted-			
Net income attributable to NHI shareholders per share	1.25	27.20	-

(3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9)

Original

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Net income	11,437	**104,648**	815.0
Other comprehensive income (loss):			
Change in cumulative translation adjustments, net of tax	(21,343)	27,431	-
Defined benefit pension plans:			
Pension liability adjustment	4,062	2,005	(50.6)
Deferred income taxes	(1,123)	(703)	-
Total	2,939	1,302	(55.7)
Non-trading securities:			
Net unrealized gain (loss) on non-trading securities	(1,032)	1,469	-
Deferred income taxes	(17)	(645)	-
Total	(1,049)	824	-
Total other comprehensive income (loss)	(19,453)	29,557	-
Comprehensive income (loss)	(8,016)	**134,205**	-
Less: Comprehensive income attributable to NHI shareholders	5,997	1,382	(77.0)
Comprehensive income (loss) attributable to NHI shareholders	(14,013)	**132,823**	-

Corrected

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Net income	11,437	**104,647**	815.0
Other comprehensive income (loss):			
Change in cumulative translation adjustments, net of tax	(21,343)	27,431	-
Defined benefit pension plans:			
Pension liability adjustment	4,062	2,005	(50.6)
Deferred income taxes	(1,123)	(703)	-
Total	2,939	1,302	(55.7)
Non-trading securities:			
Net unrealized gain (loss) on non-trading securities	(1,032)	1,469	-
Deferred income taxes	(17)	(645)	-
Total	(1,049)	824	-
Total other comprehensive income (loss)	(19,453)	29,557	-
Comprehensive income (loss)	(8,016)	**134,204**	-
Less: Comprehensive income attributable to noncontrolling interests	5,997	1,382	(77.0)
Comprehensive income (loss) attributable to NHI shareholders	(14,013)	**132,822**	-

(5) Segment Information — Operating Segment (UNAUDITED) (Page 10)

Original

	Millions of yen		% Change
	For the six months ended		
Net revenue	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	163,497	286,072	75.0
Asset Management	31,857	38,800	21.8
Wholesale	258,977	**377,958**	45.9
Subtotal	454,331	**702,830**	54.7
Other	310,570	72,681	(76.6)
Net revenue	764,901	**775,511**	1.4
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	6,032	12,201	102.3
Net revenue	770,933	**787,712**	2.2
Non-interest expenses			
Business segment information:			
Retail	140,347	165,011	17.6
Asset Management	21,927	25,937	18.3
Wholesale	267,335	327,435	22.5
Subtotal	429,609	518,383	20.7
Other	286,241	83,176	(70.9)
Non-interest expenses	715,850	601,559	(16.0)
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	-	-	-
Non-interest expenses	715,850	601,559	(16.0)
Income (loss) before income taxes			
Business segment information:			
Retail	23,150	121,061	422.9
Asset Management	9,930	12,863	29.5
Wholesale	(8,358)	**50,523**	-
Subtotal	24,722	**184,447**	646.1
Other *	24,329	(10,495)	-
Income (loss) before income taxes	49,051	**173,952**	254.6
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	6,032	12,201	102.3
Income (loss) before income taxes	55,083	**186,153**	**238.0**

***Major components**

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".

The following table presents the major components of income (loss) before income taxes in "Other".

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Net gain (loss) related to economic hedging transactions	(267)	5,706	-
Realized gain (loss) on investments in equity securities held for operating purposes	(123)	688	-
Equity in earnings of affiliates	4,619	14,227	208.0
Corporate items	(420)	(21,045)	-
Other	20,520	(10,071)	-
Total	24,329	(10,495)	-

Corrected

	Millions of yen		% Change
	For the six months ended		
Net revenue	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	163,497	286,072	75.0
Asset Management	31,857	38,800	21.8
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Subtotal	454,331	**702,829**	54.7
Other	310,570	72,681	(76.6)
Net revenue	764,901	**775,510**	1.4
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	6,032	12,201	102.3
Net revenue	770,933	**787,711**	2.2
Non-interest expenses			
Business segment information:			
Retail	140,347	165,011	17.6
Asset Management	21,927	25,937	18.3
Wholesale	267,335	327,435	22.5
Subtotal	429,609	518,383	20.7
Other	286,241	83,176	(70.9)
Non-interest expenses	715,850	601,559	(16.0)
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	-	-	-
Non-interest expenses	715,850	601,559	(16.0)
Income (loss) before income taxes			
Business segment information:			
Retail	23,150	121,061	422.9
Asset Management	9,930	12,863	29.5
Wholesale	(8,358)	**50,522**	-
Subtotal	24,722	**184,446**	646.1
Other *	24,329	(10,495)	-
Income (loss) before income taxes	49,051	**173,951**	254.6
Reconciliation items:			
Unrealized gain (loss) on investments in equity securities held for operating purposes	6,032	12,201	102.3
Income (loss) before income taxes	55,083	**186,152**	**237.9**

***Major components**

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".
The following table presents the major components of income (loss) before income taxes in "Other".

	Millions of yen		% Change
	For the six months ended		
	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Net gain (loss) related to economic hedging transactions	(267)	5,706	-
Realized gain (loss) on investments in equity securities held for operating purposes	(123)	688	-
Equity in earnings of affiliates	4,619	14,227	208.0
Corporate items	(420)	(21,045)	-
Other	20,520	(10,071)	-
Total	24,329	(10,495)	-

(6) Significant Changes in Equity (UNAUDITED) (Page 11)

Original

	Millions of yen For the six months ended September 30, 2013
Common stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
Additional paid-in capital	
Balance at beginning of year	691,264
Gain (loss) on sales of treasury stock	(5,439)
Issuance and exercise of common stock options	(3,777)
Balance at end of period	682,048
Retained earnings	
Balance at beginning of year	1,136,523
Net income attributable to NHI shareholders	**104,007**
Cash dividends	(29,652)
Balance at end of period	**1,210,878**
Accumulated other comprehensive income (loss)	
Cumulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	26,902
Balance at end of period	(11,973)
Defined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,302
Balance at end of period	(27,216)
Non-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	612
Balance at end of period	10,610
Balance at end of period	(28,579)
Common stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	**(32,482)**
Sale of common stock	**0**
Common stock issued to employees	22,662
Other net change in treasury stock	683
Balance at end of period	**(79,651)**
Total NHI shareholders' equity	
Balance at end of period	**2,379,189**
Noncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	7,505
Balance at end of period	32,117
Total equity	
Balance at end of period	**2,411,306**

Corrected

	Millions of yen For the six months ended September 30, 2013
Common stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
Additional paid-in capital	
Balance at beginning of year	691,264
Gain (loss) on sales of treasury stock	(5,439)
Issuance and exercise of common stock options	(3,777)
Balance at end of period	682,048
Retained earnings	
Balance at beginning of year	1,136,523
Net income attributable to NHI shareholders	**104,006**
Cash dividends	(29,652)
Balance at end of period	**1,210,877**
Accumulated other comprehensive income (loss)	
Cumulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	26,902
Balance at end of period	(11,973)
Defined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,302
Balance at end of period	(27,216)
Non-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	612
Balance at end of period	10,610
Balance at end of period	(28,579)
Common stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	**(32,493)**
Sale of common stock	**8**
Common stock issued to employees	22,662
Other net change in treasury stock	683
Balance at end of period	**(79,654)**
Total NHI shareholders' equity	
Balance at end of period	**2,379,185**
Noncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	7,505
Balance at end of period	32,117
Total equity	
Balance at end of period	**2,411,302**

Original

3. Supplementary Information

(1) Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)

	Millions of yen						% Change
	For the three months ended						
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:							
Commissions	77,367	72,279	83,681	125,742	157,634	105,613	(33.0)
Fees from investment banking	10,383	17,131	13,027	21,812	25,394	22,984	(9.5)
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	(4.0)
Net gain on trading	84,399	88,929	88,188	106,463	128,409	**110,181**	(14.2)
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	-
Interest and dividends	103,469	92,834	99,745	97,959	115,325	98,091	(14.9)
Gain (loss) on investments in equity securities	(7,061)	12,970	8,858	23,919	7,852	5,037	(35.9)
Other	142,610	143,373	118,834	303,950	28,225	45,069	59.7
Total revenue	439,593	461,226	458,981	720,143	505,270	**428,380**	(15.2)
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	(2.7)
Net revenue	369,254	401,679	389,086	653,612	431,321	**356,391**	(17.4)
Non-interest expenses:							
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	(17.0)
Commissions and floor brokerage	21,978	21,904	22,918	24,588	29,046	26,134	(10.0)
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	(4.1)
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	5.3
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	20.5
Other	125,074	132,204	141,603	217,582	49,975	45,389	(9.2)
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)
Income before income taxes	19,666	35,417	12,965	169,682	113,219	**72,934**	(35.6)
Income tax expense	13,590	30,056	12,874	75,519	46,956	34,549	(26.4)
Net income	6,076	5,361	91	94,163	66,263	**38,385**	(42.1)
Less: Net income (loss) attributable to noncontrolling interests	4,185	2,552	(20,021)	11,741	369	272	(26.3)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	**38,113**	(42.2)
Per share of common stock:	Yen						% Change
Basic-							
Net income attributable to NHI shareholders per share	0.51	0.76	5.44	22.23	17.78	10.29	(42.1)
Diluted-							
Net income attributable to NHI shareholders per share	0.50	0.74	5.33	21.55	17.24	9.99	(42.1)

Corrected

3. Supplementary Information

(1) Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)

	Millions of yen						% Change
	For the three months ended						
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:							
Commissions	77,367	72,279	83,681	125,742	157,634	105,613	(33.0)
Fees from investment banking	10,383	17,131	13,027	21,812	25,394	22,984	(9.5)
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	(4.0)
Net gain on trading	84,399	88,929	88,188	106,463	128,409	**110,180**	(14.2)
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	-
Interest and dividends	103,469	92,834	99,745	97,959	115,325	98,091	(14.9)
Gain (loss) on investments in equity securities	(7,061)	12,970	8,858	23,919	7,852	5,037	(35.9)
Other	142,610	143,373	118,834	303,950	28,225	45,069	59.7
Total revenue	439,593	461,226	458,981	720,143	505,270	**428,379**	(15.2)
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	(2.7)
Net revenue	369,254	401,679	389,086	653,612	431,321	**356,390**	(17.4)
Non-interest expenses:							
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	(17.0)
Commissions and floor brokerage	21,978	21,904	22,918	24,588	29,046	26,134	(10.0)
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	(4.1)
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	5.3
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	20.5
Other	125,074	132,204	141,603	217,582	49,975	45,389	(9.2)
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)
Income before income taxes	19,666	35,417	12,965	169,682	113,219	**72,933**	(35.6)
Income tax expense	13,590	30,056	12,874	75,519	46,956	34,549	(26.4)
Net income	6,076	5,361	91	94,163	66,263	**38,384**	(42.1)
Less: Net income (loss) attributable to noncontrolling interests	4,185	2,552	(20,021)	11,741	369	272	(26.3)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	**38,112**	(42.2)

Per share of common stock:	Yen						% Change
Basic-							
Net income attributable to NHI shareholders per share	0.51	0.76	5.44	22.23	17.78	10.29	(42.1)
Diluted-							
Net income attributable to NHI shareholders per share	0.50	0.74	5.33	21.55	17.24	9.99	(42.1)

Original

(2) Business Segment Information – Quarterly Comparatives (UNAUDITED)

The following table shows quarterly business segment information and reconciliation items to the consolidated statements of income.

	Millions of yen						% Change	Millions of yen
	For the three months ended							For the year ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Net revenue								
Business segment information:								
Retail	82,711	80,786	95,679	138,745	166,342	119,730	(28.0)	397,925
Asset Management	16,418	15,439	18,786	18,294	20,174	18,626	(7.7)	68,937
Wholesale	121,883	137,094	188,968	196,911	154,609	**183,349**	(5.8)	544,856
Subtotal	221,012	233,319	303,433	353,954	381,125	**321,705**	(15.6)	1,111,718
Other	154,567	156,003	76,753	276,905	43,032	29,649	(31.1)	664,228
Net revenue	375,579	389,322	380,186	630,859	424,157	**351,354**	(17.2)	1,775,946
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Net revenue	369,254	401,679	389,086	653,612	431,321	**356,391**	(17.4)	1,813,631
Non-interest expenses								
Business segment information:								
Retail	70,523	69,824	75,419	81,531	85,237	79,774	(6.4)	297,297
Asset Management	11,048	10,879	11,468	14,373	13,485	12,454	(7.6)	47,768
Wholesale	130,434	136,901	144,611	161,253	169,372	158,063	(6.7)	573,199
Subtotal	212,005	217,604	231,498	257,157	268,092	250,291	(6.6)	918,264
Other	137,583	148,658	144,623	226,773	50,010	33,166	(33.7)	657,637
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	-	-	-	-	-	-	-	-
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Income (loss) before income taxes								
Business segment information:								
Retail	12,188	10,962	20,260	57,218	81,105	39,956	(50.7)	100,628
Asset Management	5,370	4,560	7,318	3,921	6,601	6,172	(7.8)	21,169
Wholesale	(8,551)	193	44,357	35,658	25,237	**25,286**	0.2	71,657
Subtotal	9,007	15,715	71,935	96,797	113,033	**71,414**	(36.8)	193,454
Other *	16,984	7,345	(67,170)	50,132	(6,978)	(3,517)	-	6,591
Income (loss) before income taxes	25,991	23,060	4,065	146,925	106,055	**67,897**	(36.0)	200,045
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Income (loss) before income taxes	19,666	35,417	12,965	169,682	113,219	**72,934**	(35.6)	237,730

*** Major components**

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".

The following table presents the major components of income (loss) before income taxes in "Other".

	Millions of yen						% Change	Millions of yen
	For the three months ended							For the year ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Net gain (loss) related to economic hedging transactions	(1,231)	964	415	841	7,373	(1,657)	-	989
Realized gain (loss) on investments in equity securities held for operating purposes	(736)	613	(42)	1,166	688	0	-	1,001
Equity in earnings of affiliates	1,271	3,346	4,549	5,233	5,343	8,884	66.3	14,401
Corporate items	6,624	(7,044)	(14,100)	32,872	(12,344)	(8,701)	-	17,652
Other	11,054	9,466	(57,992)	10,020	(8,038)	(2,033)	-	(27,452)
Total	16,984	7,345	(67,170)	50,132	(6,978)	(3,517)	-	6,591

Corrected

(2) Business Segment Information – Quarterly Comparatives (UNAUDITED)

The following table shows quarterly business segment information and reconciliation items to the consolidated statements of income.

	Millions of yen						% Change	Millions of yen
	For the three months ended							For the year ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Net revenue								
Business segment information:								
Retail	82,711	80,786	95,679	138,749	166,342	119,750	(28.0)	397,925
Asset Management	16,418	15,439	18,786	18,294	20,174	18,626	(7.7)	68,937
Wholesale	121,883	137,094	188,968	196,911	194,606	**183,348**	(5.8)	644,856
Subtotal	221,012	233,319	303,433	353,954	381,125	**321,704**	(15.6)	1,111,718
Other	154,567	156,003	76,753	276,905	43,032	29,649	(31.1)	664,228
Net revenue	375,579	389,322	380,186	630,859	424,157	**351,353**	(17.2)	1,775,946
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Net revenue	369,254	401,679	389,086	653,612	431,321	**356,390**	(17.4)	1,813,631
Non-interest expenses								
Business segment information:								
Retail	70,523	69,824	75,419	81,531	85,237	79,774	(6.4)	297,297
Asset Management	11,048	10,879	11,468	14,373	13,483	12,454	(7.6)	47,768
Wholesale	130,434	136,901	144,611	161,253	169,372	158,063	(6.7)	573,199
Subtotal	212,005	217,604	231,498	257,157	268,092	250,291	(6.6)	918,264
Other	137,583	148,658	144,623	226,773	50,010	33,166	(33.7)	657,637
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	-	-	-	-	-	-	-	-
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Income (loss) before income taxes								
Business segment information:								
Retail	12,188	10,962	20,260	57,218	81,105	39,956	(50.7)	100,628
Asset Management	5,370	4,560	7,318	3,921	6,691	6,172	(7.8)	21,169
Wholesale	(8,551)	193	44,357	35,658	25,237	**25,285**	0.2	71,657
Subtotal	9,007	15,715	71,935	96,797	113,033	**71,413**	(36.8)	193,454
Other *	16,984	7,345	(67,870)	50,132	(6,978)	(3,517)	-	6,591
Income (loss) before income taxes	25,991	23,060	4,065	146,929	106,055	**67,896**	(36.0)	200,045
Reconciliation items:								
Unrealized gain (loss) on investments in equity securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Income (loss) before income taxes	19,666	35,417	12,965	169,682	113,219	**72,933**	(35.6)	237,730

*** Major components**

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".

The following table presents the major components of income (loss) before income taxes in "Other".

	Millions of yen						% Change	Millions of yen
	For the three months ended							For the year ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Net gain (loss) related to economic hedging transactions	(1,231)	964	415	841	7,373	(1,667)	-	989
Realized gain (loss) on investments in equity securities held for operating purposes	(736)	613	(42)	1,166	688	0	-	1,001
Equity in earnings of affiliates	1,273	3,346	4,549	5,233	5,343	8,884	66.3	14,401
Corporate items	6,624	(7,044)	(14,800)	32,872	(12,344)	(8,701)	-	17,652
Other	11,954	9,466	(57,992)	10,020	(8,038)	(2,033)	-	(27,452)
Total	16,984	7,345	(67,870)	50,132	(6,978)	(3,517)	-	6,591

ends

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Nomura

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