

## Disclosure of Shareholdings - Notifications concerning single shareholders

|                                                                                         |                                                                                                                                                                           |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------|---------------------------------|-----------------|
| Publication date                                                                        | 04.01.2023                                                                                                                                                                |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
| Issuer                                                                                  | Lonza Group AG                                                                                                                                                            |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
| Beneficial owner / Person who has the discretionary power to exercise the voting rights | BlackRock, Inc., New York, NY USA                                                                                                                                         |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | Type of rights                                                                                                                                                            | Number of rights                                                                                                                                                                                                             | Number of voting rights | Percent. of voting rights | ISIN / Basic terms / Remarks    |                 |
| Purchase positions (total)                                                              |                                                                                                                                                                           |                                                                                                                                                                                                                              |                         | 9.85 %                    |                                 |                 |
|                                                                                         | Equity securities or equity related securities                                                                                                                            |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | Registered share                                                                                                                                                          | 6'441'757                                                                                                                                                                                                                    | 6'441'757               | 8.65 %                    |                                 |                 |
|                                                                                         | Securities lending and comparable transactions                                                                                                                            |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions (Art. 17 FMIO-FINMA):                        |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | Registered share                                                                                                                                                          | 33'603                                                                                                                                                                                                                       | 33'603                  | 0.05 %                    | Nature of the legal transaction | Other           |
|                                                                                         |                                                                                                                                                                           |                                                                                                                                                                                                                              |                         |                           | Right to choose by              | Other           |
|                                                                                         | Remarks                                                                                                                                                                   | Repos (on the purchaser side) and/or collateral received with title transfer (non-repo). The dates of return transfer depend on the specific terms of the various agreements according to which the collaterals are granted. |                         |                           |                                 |                 |
|                                                                                         | Voting rights that can be exercised at one's own discretion                                                                                                               |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | The following voting rights were delegated by a third party and can be exercised at one's own discretion:                                                                 |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         |                                                                                                                                                                           |                                                                                                                                                                                                                              | 854'769                 | 1.15 %                    |                                 |                 |
|                                                                                         | Derivative holdings                                                                                                                                                       |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | Conversion and share purchase rights (such as call-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) share sale rights and other derivative holdings: |                                                                                                                                                                                                                              |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 34'069                                                                                                                                                                                                                       | 34'069                  | 0.05 %                    | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)                                                                                                                                            |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 2'024                                                                                                                                                                                                                        | 2'024                   | 0.003 %                   | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)                                                                                                                                                |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 17                                                                                                                                                                                                                           | 17                      | 0.00002 %                 | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)                                                                                                                                            |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 4                                                                                                                                                                                                                            | 4                       | 0.00001 %                 | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017)                                                                                                                                           |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 2'452                                                                                                                                                                                                                        | 2'452                   | 0.003 %                   | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)                                                                                                                                                     |                         |                           |                                 |                 |
|                                                                                         | CFD                                                                                                                                                                       | 1'100                                                                                                                                                                                                                        | 1'100                   | 0.001 %                   | Exercise type                   | Cash Settlement |
|                                                                                         | Remarks                                                                                                                                                                   | Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)                                                                                                                                              |                         |                           |                                 |                 |

## Sale positions (total)

|                         |                                                                                                                                             |        |           |               |                 |
|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------|-----------|---------------|-----------------|
|                         |                                                                                                                                             |        | 0.06 %    |               |                 |
| CFD                     | 387                                                                                                                                         | 387    | 0.001 %   | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by Barclays on Lonza Group AG (CH0013841017)                                                                |        |           |               |                 |
| CFD                     | 26                                                                                                                                          | 26     | 0.00003 % | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by Citigroup on Lonza Group AG (CH0013841017)                                                               |        |           |               |                 |
| CFD                     | 515                                                                                                                                         | 515    | 0.001 %   | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)                                                           |        |           |               |                 |
| CFD                     | 2'034                                                                                                                                       | 2'034  | 0.003 %   | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)                                                               |        |           |               |                 |
| CFD                     | 2'444                                                                                                                                       | 2'444  | 0.003 %   | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)                                                           |        |           |               |                 |
| CFD                     | 62                                                                                                                                          | 62     | 0.0001 %  | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017)                                                          |        |           |               |                 |
| CFD                     | 8'701                                                                                                                                       | 8'701  | 0.01 %    | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)                                                                    |        |           |               |                 |
| CFD                     | 4'326                                                                                                                                       | 4'326  | 0.01 %    | Exercise type | Cash Settlement |
| Remarks                 | Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)                                                             |        |           |               |                 |
| Call-Option/<br>Warrant | 13'300                                                                                                                                      | 13'300 | 0.02 %    | Exercise type | Cash Settlement |
| Remarks                 | Call Option on LONZA GROUP AG CH0013841017 with exercise price of 538.2614 expiry date of 13-January-2023 and exercise type of Cash Settled |        |           |               |                 |
| Call-Option/<br>Warrant | 13'300                                                                                                                                      | 13'300 | 0.02 %    | Exercise type | Cash Settlement |
| Remarks                 | Call Option on LONZA GROUP AG CH0013841017 with exercise price of 456.4033 expiry date of 2-February-2023 and exercise type of Cash Settled |        |           |               |                 |

Creation of the obligation to notify

30.12.2022

Facts and circumstances triggering the obligation to notify

Securities lending and comparable transactions  
Other

Remarks

The obligation to notify was triggered by a disposal of collateral (Acquisition Position Obligation). With recommendation of the Disclosure Office dated 15 July 2016 and 17 June 2019 and 8 July 2022, BlackRock, Inc. was granted easing provisions from the obligation to disclose the direct shareholders according to Article 120 para. 1 FMIA in conjunction with Article 11 lit. b and Article 22 para. 1 lit. e and para 3 FMIO-FINMA when disclosing holdings according to Article 18 para. 1 and 4 FMIO-FINMA. However, collective investment schemes within the meaning of Article 18 para. 2 let. a FMIO-FINMA that hold individually 3% or more of the voting rights of the relevant issuer will be mentioned in the relevant disclosure notification. Any person shall, at her/his request and without prove of interest, receive information about the direct shareholders within the meaning of Article 11 lit. b and Article 22 para. 1 lit. e and para. 3 FMIO-FINMA. Such request has to be addressed via email to the Disclosure Office: disclosure-office@six-group.com. The information will be provided in electronic format with a reference date not older than one month. The easing provisions are granted until 15 July 2025.