# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <a href="http://www.mas.gov.sg">http://www.mas.gov.sg</a> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General

Delfi Ltd.  2. Type of Listed Issuer:	1.	Name of Listed Issuer:
<ul> <li>✓ Company/Corporation</li> <li>☐ Registered/Recognised Business Trust</li> <li>☐ Real Estate Investment Trust</li> </ul> 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form? <ul> <li>☐ No (Please proceed to complete Part II)</li> <li>✓ Yes (Please proceed to complete Parts III &amp; IV)</li> </ul> 4. Date of notification to Listed Issuer:		Delfi Ltd.
<ul> <li>No (Please proceed to complete Part II)</li> <li>✓ Yes (Please proceed to complete Parts III &amp; IV)</li> <li>4. Date of notification to Listed Issuer:</li> </ul>	2.	<ul><li>✓ Company/Corporation</li><li>☐ Registered/Recognised Business Trust</li></ul>
<ul> <li>No (Please proceed to complete Part II)</li> <li>✓ Yes (Please proceed to complete Parts III &amp; IV)</li> <li>4. Date of notification to Listed Issuer:</li> </ul>		
Yes (Please proceed to complete Parts III & IV)  4. Date of notification to Listed Issuer:	3.	
4. Date of notification to Listed Issuer:		
		✓ Yes (Please proceed to complete Parts III & IV)
01-May-2020	4.	
		01-May-2020

### Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Sub	stantial Shareholder/Unitholder A
1.	Name of Substantial Shareholder/Unitholder:
	First Pacific Advisors, LP
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	29-Apr-2020
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	29-Apr-2020
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	38,021,500	0	38,021,500
As a percentage of total no. of voting shares/(	6.22	0	6.22
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest 44,021,500	Deemed Interest 0	Total 44,021,500

8.	Circumstances giving rise to deemed interests (if the interest is such): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's dee interest arises]
9.	Relationship between the Substantial Shareholders/Unitholders giving notice in this form [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]
10.	Attachments (if any): (if any): (The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:  (a) SGXNet announcement reference of the <u>first</u> notification which was announce on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form which was attached in the Initial Announcement:
12.	Remarks (if any):
Sub	stantial Shareholder/Unitholder B
1.	Name of Substantial Shareholder/Unitholder:
	Steven T. Romick
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	Notification in respect of:  Becoming a Substantial Shareholder/Unitholder

	Date of acquisition of or change in	interest:		
	29-Apr-2020			
	Date on which Substantial Sharehochange in, interest (if different			
	29-Apr-2020			
	Explanation (if the date of becoming change in, interest):	ng aware is differe	ent from the date o	f acquisition of, or t
	Quantum of total voting shar rights/options/warrants/convertible Shareholder/Unitholder before and	debentures (con-	ersion price knowr	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
	. of voting shares/units held and/or	0	38,021,500	38,021,500
ın	derlying the nts/options/warrants/convertible debentures:			
igl \s		0	6.22	6.22
igl As	nts/options/warrants/convertible debentures: a percentage of total no. of voting	0 Direct Interest	6.22  Deemed Interest	6.22 Total
igl As Sha	a percentage of total no. of voting ares/u			
undigli As As No und As	a percentage of total no. of voting ares/t ::  Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/	Direct Interest	Deemed Interest	Total
indigl As Sha	a percentage of total no. of voting ares/cipi:  Immediately after the transaction  of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:  a percentage of total no. of voting	Direct Interest  0  0  ed interests (if the	Deemed Interest 44,021,500 7.2 e interest is such):	<i>Total</i> 44,021,500 7.2

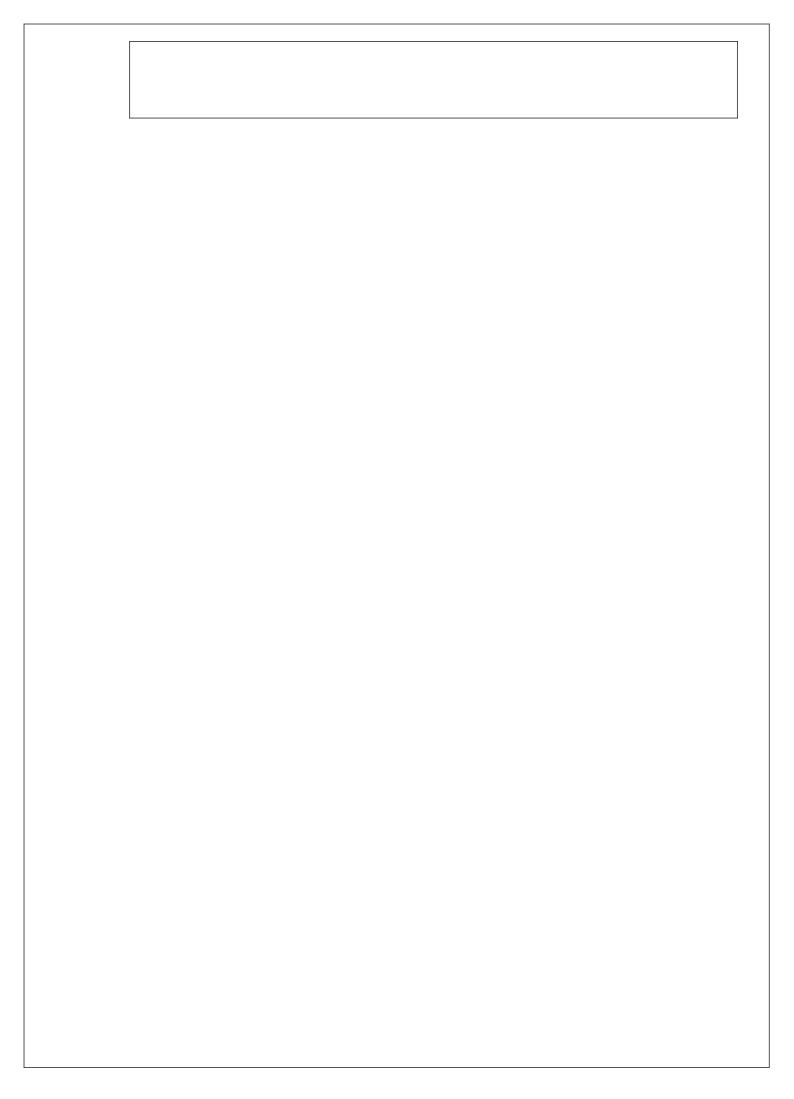
	director and officer of the general partner of First Pacific Advisors, LP ("FPA"). Mr. Romick disclaims beneficial ownership of the securities owned by FPA's clients.
10.	Attachments (if any): 🕤
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a <b>replacement</b> of an earlier notification, please provide:
	<ul><li>(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):</li></ul>
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks ( <i>if any</i> ):
12.	remarks (ii arry).
Sub	ostantial Shareholder/Unitholder C
1.	Name of Substantial Shareholder/Unitholder:  J. Richard Atwood
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	Notification in respect of:  Becoming a Substantial Shareholder/Unitholder
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	☐ Ceasing to be a Substantial Shareholder/Unitholder
4	Date of acquisition of or change in interest:
4.	
4.	29-Apr-2020
<ol> <li>4.</li> <li>5.</li> </ol>	29-Apr-2020  Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):

	Quantum of total voting sha rights/options/warrants/convertib Shareholder/Unitholder before ar	le debentures (con	version price knowr	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or lerlying the ts/options/warrants/convertible debenture	0	38,021,500	38,021,500
	a percentage of total no. of voting res/ເ 🕦:	0	6.22	6.22
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or lerlying the rights/options/warrants/ vertible debentures :	0	44,021,500	44,021,500
	a percentage of total no. of voting res/ເ	0	7.2	7.2
8.	Circumstances giving rise to deel	o illustrate how the Si	upstantiai Snarenoige	
o.	[You may attach a chart in item 10 to interest arises]  Mr. Atwood may be deemed to share vidirector and officer of the general partrownership of the securities owned by F	oting and/or investme ner of First Pacific Advi	nt power over the secu	urities of the Issuer as
9.	[You may attach a chart in item 10 to interest arises]  Mr. Atwood may be deemed to share volumeters and officer of the general partre	oting and/or investme ner of First Pacific Advi PA's clients. ntial Shareholders/	nt power over the secusors, LP ("FPA"). Mr. Atv	urities of the Issuer as wood disclaims benef
	[You may attach a chart in item 10 to interest arises]  Mr. Atwood may be deemed to share we director and officer of the general partrownership of the securities owned by Ferrica Relationship between the Substate [You may attach a chart in item 10 to interest arises]	oting and/or investmener of First Pacific Advirse PA's clients.  Intial Shareholders/or show the relationshoting and/or investmenter of First Pacific Advirage.	ent power over the secusors, LP ("FPA"). Mr. Atv (Unitholders giving range between the Substant)	urities of the Issuer as wood disclaims benef

12.	Rem	narks (if any):
<u>Sub</u>	stanti	al Shareholder/Unitholder D
1.	Nam	ne of Substantial Shareholder/Unitholder:
	FPA (	GP, Inc.
2.		ubstantial Shareholder/Unitholder a fund manager or a person whose interest in t
		urities of the Listed Issuer are held solely through fund manager(s)?
		lo .
3.	Noti	fication in respect of:
		Becoming a Substantial Shareholder/Unitholder
	<b>√</b> C	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitho
		Ceasing to be a Substantial Shareholder/Unitholder
4.	Date	e of acquisition of or change in interest:
	29-A <sub>l</sub>	pr-2020
5.	Date	e on which Substantial Shareholder/Unitholder became aware of the acquisition of, or t
	char	nge in, interest 🕦 (if different from item 4 above, please specify the date):
	29-A	pr-2020
6.	•	anation (if the date of becoming aware is different from the date of acquisition of, or t nge in, interest):
		antum of total voting characturity (including voting characturity underlyi
7		antum of total voting shares/units ( <i>including voting shares/units underlyi</i> ts/options/warrants/convertible debentures {conversion price known}) held by Substan
7.	11911	
7.	•	reholder/Unitholder before and after the transaction:

underlying	ng shares/units held and/or the ons/warrants/convertible debentures:	0	38,021,500	38,021,500
As a perce shares/เ	entage of total no. of voting ::	0	6.22	6.22
Imme	ediately after the transaction	Direct Interest	Deemed Interest	Total
underlying	ng shares/units held and/or the rights/options/warrants/ e debentures :	0	44,021,500	44,021,500
As a perce shares/(	entage of total no. of voting	0	7.2	7.2
[You	umstances giving rise to deemonay attach a chart in item 10 to ites arises]			er/Unitholder's deemed
owner	rship of the securities owned by FPA	A's clients.		
Rela [You Share	tionship between the Substant may attach a chart in item 10 to s eholders/Unitholders]	tial Shareholders/ show the relationsh	ip between the Subst	antial
Rela [You Share FPA G gener	tionship between the Substant may attach a chart in item 10 to s	tial Shareholders/ show the relationsh ing and/or investme r, First Pacific Adviso	ip between the Substant power over the secu	antial urities of the Issuer as the
Rela [You Share FPA G gener owner	tionship between the Substant may attach a chart in item 10 to s eholders/Unitholders] GP, Inc. may be deemed to share vot al partner of the investment advisor	tial Shareholders/ show the relationsh ing and/or investme r, First Pacific Adviso	ip between the Substant power over the secu	antial urities of the Issuer as the
Rela [You Share FPA G gener owner	tionship between the Substant may attach a chart in item 10 to seholders/Unitholders]  SP, Inc. may be deemed to share vot tal partner of the investment advisorship of the securities owned by FP.	tial Shareholders/show the relationshing and/or investmer, First Pacific Adviso A's clients.	ip between the Substant power over the secures, LP. The general par	antial urities of the Issuer as the
Relative Share FPA G gener owner	tionship between the Substant may attach a chart in item 10 to seholders/Unitholders]  SP, Inc. may be deemed to share vot all partner of the investment advisorship of the securities owned by FP. Chments (if any):	tial Shareholders/show the relationshing and/or investment, First Pacific Adviso A's clients.	ent power over the securs, LP. The general par	antial urities of the Issuer as the
Relative Share FPA G gener owner	tionship between the Substant may attach a chart in item 10 to seholders/Unitholders]  SP, Inc. may be deemed to share vot all partner of the investment advisorship of the securities owned by FP/Chments (if any):	tial Shareholders/show the relationsh ing and/or investme r, First Pacific Adviso A's clients.  t(s) should not excee er notification, ple erence of the firs	ent power over the security, LP. The general part of 1MB.)	antial urities of the Issuer as the tner disclaims beneficial
Relative Share FPA General owner owner owner (a)	tionship between the Substant may attach a chart in item 10 to seholders/Unitholders]  EP, Inc. may be deemed to share vot all partner of the investment advisorship of the securities owned by FP/  Chments (if any):  (The total file size for all attachments is a replacement of an earliest SGXNet announcement referon SGXNet (the "Initial Anno	tial Shareholders/show the relationsh ing and/or investme r, First Pacific Adviso A's clients.  t(s) should not excee er notification, ple erence of the firs ouncement"):	ent power over the security, LP. The general part of 1MB.)	antial urities of the Issuer as the tner disclaims beneficial
Relative from Share FPA G general owner owner owner from 1. If this	tionship between the Substant may attach a chart in item 10 to seholders/Unitholders]  EP, Inc. may be deemed to share vot all partner of the investment advisorship of the securities owned by FP/  Chments (if any):  (The total file size for all attachments is a replacement of an earlier SGXNet announcement references.)	tial Shareholders/show the relationsh ing and/or investme r, First Pacific Adviso A's clients.  t(s) should not excee er notification, ple erence of the firs ouncement"):	ent power over the security, LP. The general part of 1MB.)	antial urities of the Issuer as the tner disclaims beneficial

12. Remarks (if any):



## Part IV - Transaction details

1.	Type of securities which are the subject of the transaction (more than one option may be chosen):
	✓ Voting shares/units
	Rights/Options/Warrants over voting shares/units
	Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
2.	Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholders/Unitholders:
	6,000,000
3.	Amount of consideration paid or received by Substantial Shareholders/Unitholders (excluding brokerage and stamp duties):
	SGD3,454,200
4.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:
	✓ Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Other circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
	Others (please appoint):
	Others (please specify):

5.	Part	iculars of Individual submitting this notification form to the Listed Issuer:	
	(a)	Name of Individual:	
		Marie McAvenia	
	(b)	Designation (if applicable):	
		Compliance Manager	
	(c)	Name of entity (if applicable):	
		First Pacific Advisors, LP	
Trai	nsactio	on Reference Number (auto-generated):	
8	3 8	7 3 5 3 4 9 0 1 2 7 4 3	