# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM

3
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- 2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <a href="http://www.mas.gov.sg">http://www.mas.gov.sg</a> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General

. Name of Listed Issuer:

	Linc Energy Limited
2.	Type of Listed Issuer:  ✓ Company/Corporation
	Registered/Recognised Business Trust
	Real Estate Investment Trust
3.	Is more than one Substantial Shareholder/Unitholder giving notice in this form?
	☐ No (Please proceed to complete Part II)
	✓ Yes (Please proceed to complete Parts III & IV)
4.	Date of notification to Listed Issuer:

28-Mar-2016

## Part III - Substantial Shareholder(s)/Unitholder(s) Details

[To be used for multiple Substantial Shareholders/Unitholders to give notice]



Sub	ostantial Shareholder/Unitholder A
1.	Name of Substantial Shareholder/Unitholder:
	Credit Suisse Investments (UK) ("CSIUK")
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	Notification in respect of:
	Becoming a Substantial Shareholder/Unitholder
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	Ceasing to be a Substantial Shareholder/Unitholder
4.	Date of acquisition of or change in interest:
	23-Mar-2016
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):
	28-Mar-2016
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):
	The position tracking system used to monitor equity positions held by Credit Suisse Group AG and all its' affiliates for the purpose of substantial shareholder notifications, delivers complete position data only two business days after trade date (T+2).
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Quantum of total voting shares/units (including voting shares/units underlying rights/options/ 7. warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	13,464,347	13,464,347
As a percentage of total no. of voting shares/units:	0	8.3818	8.3818
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest 0	Deemed Interest 12,750,147	Total 12,750,147

	ii. A subsidiary's disposal of 750,000 shares.
	Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/Unitholders]
	Credit Suisse Investment Holdings (UK) is a subsidiary of Credit Suisse Investments (UK). Credit Suisse Securities (Europe) Limited is a subsidiary of Credit Suisse Investment Holdings (UK).
).	Attachments (if any): 🕤
	(The total file size for all attachment(s) should not exceed 1MB.)
١.	If this is a <b>replacement</b> of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
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2.	Remarks (if any):
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ubs	tantial Shareholder/Unitholder B
	Name of Substantial Shareholder/Unitholder:
	Credit Suisse Investment Holdings (UK) ("CSIHUK")
	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No

	✓ Change in the percentage level of	interest while still re	emaining a Substantia	al Shareholder/Unithold
	Ceasing to be a Substantial Share	holder/Unitholder		
	Date of acquisition of or change in	interest:		
	23-Mar-2016			
	Date on which Substantial Shareh change in, interest (if different			•
	28-Mar-2016			
	Explanation (if the date of becomi change in, interest):	ng aware is differe	ent from the date o	f acquisition of, or th
	The position tracking system used to mo affiliates for the purpose of substantial shousiness days after trade date (T+2).			
-	Quantum of total voting shares/ur warrants/convertible debentures { Unitholder before and after the tra	conversion price k	•	
	Immediately before the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/overtible debentures:	0	13,464,347	13,464,347
As uni	a percentage of total no. of voting shares/	0	8.3818	8.3818
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/ overtible debentures :	0	12,750,147	12,750,147
As unit	a percentage of total no. of voting shares/	0	7.9372	7.9372
	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]			er/Unitholder's deemed
	The change in interest of CSIHUK arises to i. A subsidiary's acquisition of 35,800 shalli. A subsidiary's disposal of 750,000 share	res; and		
١.	Relationship between the Substan [You may attach a chart in item 10 to Unitholders]			

3.

Notification in respect of:

☐ Becoming a Substantial Shareholder/Unitholder

	Credit Suisse Investment Holdings (UK) is a subsidiary of Credit Suisse Investments (UK).  Credit Suisse Securities (Europe) Limited is a subsidiary of Credit Suisse Investment Holdings (UK).
10.	Attachments (if any): 🕤
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is a replacement of an earlier notification, please provide:
	(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Remarks (if any):
	Tremaine (" arry).
Sub	stantial Shareholder/Unitholder C
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1.	Name of Substantial Shareholder/Unitholder:
	Credit Suisse Securities (Europe) Limited ("CSSEL")
2.	
	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	securities of the Listed Issuer are held solely through fund manager(s)?  Yes
3.	securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No
3.	securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:
3.	securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder
<ol> <li>3.</li> <li>4.</li> </ol>	securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder  Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
	securities of the Listed Issuer are held solely through fund manager(s)?  ☐ Yes ☐ No  Notification in respect of: ☐ Becoming a Substantial Shareholder/Unitholder ☐ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder ☐ Ceasing to be a Substantial Shareholder/Unitholder
	securities of the Listed Issuer are held solely through fund manager(s)?  Yes  No  Notification in respect of:  Becoming a Substantial Shareholder/Unitholder  Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder  Ceasing to be a Substantial Shareholder/Unitholder  Date of acquisition of or change in interest:

	Unitholder before and after the tra			T
	Immediately before the transaction	Direct Interest	Deemed Interest  0	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/nvertible debentures:	13,464,347	U	13,464,347
As unit	a percentage of total no. of voting shares/	8.3818	0	8.3818
	Immediately after the transaction	Direct Interest	Deemed Interest	Total
unc	of voting shares/units held and/or derlying the rights/options/warrants/ nvertible debentures :	12,750,147	0	12,750,147
	a percentage of total no. of voting shares/	7.9372	0	7.9372
As unit				er/Unitholder's deeme
unit	Circumstances giving rise to deem [You may attach a chart in item 10 to			er/Unitholder's deeme
unit	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]  Relationship between the Substan [You may attach a chart in item 10 to Unitholders]	illustrate how the S tial Shareholders show the relationsl	JUnitholders giving in between the Subsi	notice in this form: tantial Shareholders/
unit	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]  Relationship between the Substan [You may attach a chart in item 10 to	tial Shareholders show the relationsl	/Unitholders giving of the Substantial Shareholders	notice in this form: tantial Shareholders/
unit	Circumstances giving rise to deem [You may attach a chart in item 10 to interest arises]  Relationship between the Substan [You may attach a chart in item 10 to Unitholders]  Credit Suisse Investment Holdings (UK) is	tial Shareholders show the relationsl is a subsidiary of Cred is a subsidiary of Cred	/Unitholders giving and between the Substantial Shareholders giving a dit Suisse Investments (edit Suisse Investment I	notice in this form: tantial Shareholders/

Explanation (if the date of becoming aware is different from the date of acquisition of, or the

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change in, interest):

	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
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12.	Remarks (if any):
	The change in interest of CSSEL arises by way of: i. A subsidiary's acquisition of 35,800 shares; and ii. A subsidiary's disposal of 750,000 shares.

## Part IV - Transaction details

	Rights/Options/Warrants over voting shares/units  Convertible debentures over voting shares/units (conversion price known)
	Others (please specify):
	mber of shares, units, rights, options, warrants and/or principal amount of convertible bentures acquired or disposed of by Substantial Shareholders/Unitholders:
35	800 (acquired)/ 750,000 (disposed)
	nount of consideration paid or received by Substantial Shareholders/Unitholders (excluding okerage and stamp duties):
SG	D 3,822.90 (paid)/ SGD 104,775.00 (received)
Cir	cumstance giving rise to the interest or change in interest:
Ac	quisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
Dis	sposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
Otl	ner circumstances:
	Acceptance of take-over offer for the Listed Issuer
	Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
<b>✓</b>	Others (please specify):
i A	subsidiary's acquisition of 35,800 shares; and

	Part	iculars of Individual submitting this notification form to the Listed Issuer:
	(a)	Name of Individual:
		Vivian Kwok
	(b)	Designation (if applicable):
		Compliance
	(c)	Name of entity (if applicable):
		Credit Suisse AG
Trai	nsactio	on Reference Number (auto-generated):
4	3 8 0	0 3 5 2 4 4 6 1 3 3 3 3