### SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

## NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/ UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM **3** (Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing the notification form.
- This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

# Part I - General

1. Name of Listed Issuer:

CSE Global Limited

- 2. Type of Listed Issuer:
  - Company/Corporation
  - Registered/Recognised Business Trust
  - Real Estate Investment Trust

# 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?

- No (Please proceed to complete Part II)
- ✓ Yes (Please proceed to complete Parts III & IV)
- 4. Date of notification to Listed Issuer:

15-Dec-2017

|            | Part III - Substantial Sh  | areholder(s)/Un         | itholder(s) Detai    | ls                       |
|------------|--|-------------------------|----------------------|--------------------------|
| [То        | be used for multiple Substantial Sha   | areholders/Unithold     | ders to give notice] |                          |
| <u>Sub</u> | stantial Shareholder/Unitholder A  | <b>(</b> )              |                      |                          |
| 1.         | Name of Substantial Shareholder/   | Unitholder:             |                      |                          |
|            | FMR LLC  |                         |                      | ]                        |
| 2.         | Is Substantial Shareholder/Unitho<br>securities of the Listed Issuer are h<br>V Yes<br>No                      |                         | •                    | vhose interest in the    |
| 3.         | Notification in respect of:  |                         |                      |                          |
|            | Becoming a Substantial Sharehold   | ler/Unitholder          |                      |                          |
|            | Change in the percentage level of  | interest while still re | maining a Substantia | I Shareholder/Unitholder |
|            | Ceasing to be a Substantial Share  | holder/Unitholder       |                      |                          |
| 4.         | Date of acquisition of or change in  | interest:               |                      |                          |
|            | 13-Dec-2017  |                         |                      |                          |
| 5.         | Date on which Substantial Shareh<br>change in, interest () (if different                                       |                         |                      | •                        |
|            | 13-Dec-2017  |                         |                      |                          |
| 6.         | Explanation ( <i>if the date of becomin change in, interest</i> ):   | ng aware is differe     | ent from the date of | acquisition of, or the   |
| _          |  |                         |                      |                          |
| 7.         | Quantum of total voting shares/un<br>warrants/convertible debentures {<br>Unitholder before and after the tran | conversion price k      | -                    |                          |
|            | Immediately before the transaction   | Direct Interest         | Deemed Interest      | Total                    |
| unc        | of voting shares/units held and/or<br>lerlying the rights/options/warrants/<br>vertible debentures:            | 0                       | 51,799,000           | 51,799,000               |
| As<br>unit | a percentage of total no. of voting shares/  | 0                       | 10.04                | 10.04                    |

Direct Interest

0

0

Deemed Interest

51,799,000

10.04

1

units:

convertible debentures :

Immediately after the transaction

As a percentage of total no. of voting shares/

No. of voting shares/units held and/or

underlying the rights/options/warrants/

Total

51,799,000

10.04

|            | are he                     | ests. FMR LLC is deemed to have interests in the securities of CSE Global Limited because such securities<br>and by funds and/or accounts managed by one or more FMR LLC's direct and indirect subsidiaries, whic<br>and managers.  |
|------------|----------------------------|---|
| 9.         | [You                       | tionship between the Substantial Shareholders/Unitholders giving notice in this form:<br>may attach a chart in item 10 to show the relationship between the Substantial Shareholders/<br>nolders]   |
|            | Co., Ir<br>accou<br>Fideli | ty Puritan Trust is interested in the shares of CSE Global Limited in its capacity as beneficial owner. FMI<br>nc. is deemed interested in the shares in its capacity as investment advisor of various funds and<br>ints, including certain funds of Fidelity Puritan Trust. FMR Co., Inc. is a wholly-owned subsidiary of<br>ty Management & Research Company. Fidelity Management & Research Company is a wholly-owned<br>diary of FMR LLC. |
| 10.        | Atta                       | chments ( <i>if any</i> ): 🕤  |
|            | Ø                          | (The total file size for all attachment(s) should not exceed 1MB.)  |
| 11.        | lf thi<br>(a)              | s is a <b>replacement</b> of an earlier notification, please provide:<br>SGXNet announcement reference of the <b>first</b> notification which was announced   |
|            | (α)                        | on SGXNet ( <i>the "Initial Announcement"</i> ):  |
|            |                            |   |
|            | (b)                        | Date of the Initial Announcement:   |
|            |                            |   |
|            | (c)                        | 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:   |
|            |                            |   |
| 12.        | Rem                        | narks ( <i>if any</i> ):  |
|            | Globa<br>deem              | May 2014, a Notice Of Substantial Shareholder's Change in Interests report was submitted to CSE<br>al Limited disclosing FMR LLC as the substantial shareholder of CSE Global Limited to reflect its 10.99%<br>and interest in the securities of CSE Global Limited as of 22 May 2014. As of 13 December 2017, FMR<br>deemed interest in the securities of CSE Global Limited stands at 10.04%.   |
|            | Limite<br>forth            | iling submitted by FMR LLC is not triggered by the acquisition or disposition of shares of CSE Global<br>ed but instead is being submitted to provide additional information on substantial shareholders as set<br>under Part III Sections B, C and D of this filing. The relationship between these substantial shareholder<br>MR LLC is provided in Box 9 of this filing.   |
|            |                            | - Same  |
| <u>Sub</u> | ostantia                   | al Shareholder/Unitholder B   |
|            |                            |   |

| 1. | Name of Substantial Shareholder/Unitholder:   |
|----|---|
|    | Fidelity Management & Research Company  |
| 2. | Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)? <ul> <li>Yes</li> <li>No</li> </ul>   |
| 3. | <ul> <li>Notification in respect of:</li> <li>Becoming a Substantial Shareholder/Unitholder</li> <li>Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder</li> <li>Ceasing to be a Substantial Shareholder/Unitholder</li> </ul> |
| 4. | Date of acquisition of or change in interest:   |
|    | 13-Dec-2017   |
| 5. | Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest ( <i>if different from item 4 above, please specify the date</i> ):<br>13-Dec-2017  |

- 6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):
- 7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

| Immediately before the transaction   | Direct Interest | Deemed Interest               | Total                      |
|--|-----------------|-------------------------------|----------------------------|
| No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures:                                       | 0               | 51,799,000                    | 51,799,000                 |
| As a percentage of total no. of voting shares/<br>units:   | 0               | 10.04                         | 10.04                      |
|  |                 |                               |                            |
| Immediately after the transaction  | Direct Interest | Deemed Interest               | Total                      |
| Immediately after the transaction<br>No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures : | Direct Interest | Deemed Interest<br>51,799,000 | <i>Total</i><br>51,799,000 |

# 8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Fidelity Management & Research Company's interests in the securities of CSE Global Limited are currently entirely comprised as deemed interests. Fidelity Management & Research Company is deemed to have interests in the securities of CSE Global Limited because such securities are held by funds and/or accounts managed by one or more Fidelity Management & Research Company's direct and indirect subsidiaries, which are fund managers.

#### 9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

Fidelity Puritan Trust is interested in the shares of CSE Global Limited in its capacity as beneficial owner. FMR Co., Inc. is deemed interested in the shares in its capacity as investment advisor of various funds and accounts, including certain funds of Fidelity Puritan Trust. FMR Co., Inc. is a wholly-owned subsidiary of Fidelity Management & Research Company. Fidelity Management & Research Company is a wholly-owned subsidiary of FMR LLC.

10. Attachments (if any): 🕤

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
  - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):

- (b) Date of the Initial Announcement:
- (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

## 12. Remarks (*if any*):

On 26 May 2014, a Notice Of Substantial Shareholder's Change in Interests report was submitted to CSE Global Limited disclosing FMR LLC as the substantial shareholder of CSE Global Limited to reflect its 10.99% deemed interest in the securities of CSE Global Limited as of 22 May 2014. As of 13 December 2017, FMR LLC's deemed interest in the securities of CSE Global Limited stands at 10.04%.

This filing submitted by FMR LLC is not triggered by the acquisition or disposition of shares of CSE Global Limited but instead is being submitted to provide additional information on substantial shareholders as set forth under Part III Sections B, C and D of this filing. The relationship between these substantial shareholders and FMR LLC is provided in Box 9 of this filing.

Substantial Shareholder/Unitholder C

1. Name of Substantial Shareholder/Unitholder:

FMR Co., Inc.

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

9

- ✓ Yes
- No No

- 3. Notification in respect of:
  - Becoming a Substantial Shareholder/Unitholder
  - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
  - Ceasing to be a Substantial Shareholder/Unitholder
- 4. Date of acquisition of or change in interest:

13-Dec-2017

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

13-Dec-2017

- 6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):
- 7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

| Immediately before the transaction  | Direct Interest | Deemed Interest | Total      |
|---|-----------------|-----------------|------------|
| No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures:  | 0               | 51,799,000      | 51,799,000 |
| As a percentage of total no. of voting shares/<br>units:  | 0               | 10.04           | 10.04      |
| Immediately after the transaction   | Direct Interest | Deemed Interest | Total      |
| No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures : | 0               | 51,799,000      | 51,799,000 |
| As a percentage of total no. of voting shares/<br>units:  | 0               | 10.04           | 10.04      |

8. Circumstances giving rise to deemed interests (*if the interest is such*):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

FMR Co., Inc.'s interests in the securities of CSE Global Limited are currently entirely comprised as deemed interests. FMR Co., Inc. is deemed to have interests in the securities of CSE Global Limited because such securities are held by funds and/or accounts managed by FMR Co., Inc. which is the fund manager.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders] Fidelity Puritan Trust is interested in the shares of CSE Global Limited in its capacity as beneficial owner. FMR Co., Inc. is deemed interested in the shares in its capacity as investment advisor of various funds and accounts, including certain funds of Fidelity Puritan Trust. FMR Co., Inc. is a wholly-owned subsidiary of Fidelity Management & Research Company. Fidelity Management & Research Company is a wholly-owned subsidiary of FMR LLC.

10. Attachments (if any):

101

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
  - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

12. Remarks (*if any*):

On 26 May 2014, a Notice Of Substantial Shareholder's Change in Interests report was submitted to CSE Global Limited disclosing FMR LLC as the substantial shareholder of CSE Global Limited to reflect its 10.99% deemed interest in the securities of CSE Global Limited as of 22 May 2014. As of 13 December 2017, FMR LLC's deemed interest in the securities of CSE Global Limited stands at 10.04%.

This filing submitted by FMR LLC is not triggered by the acquisition or disposition of shares of CSE Global Limited but instead is being submitted to provide additional information on substantial shareholders as set forth under Part III Sections B, C and D of this filing. The relationship between these substantial shareholders and FMR LLC is provided in Box 9 of this filing.

Substantial Shareholder/Unitholder D

1. Name of Substantial Shareholder/Unitholder:

Fidelity Puritan Trust

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

9

- Yes
- ✓ No
- 3. Notification in respect of:
  - Becoming a Substantial Shareholder/Unitholder
  - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

Ceasing to be a Substantial Shareholder/Unitholder

4. Date of acquisition of or change in interest:

13-Dec-2017

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

13-Dec-2017

- 6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):
- 7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

| Immediately before the transaction  | Direct Interest | Deemed Interest | Total      |
|---|-----------------|-----------------|------------|
| No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures:  | 43,215,700      | 0               | 43,215,700 |
| As a percentage of total no. of voting shares/<br>units:  | 8.37            | 0               | 8.37       |
| Immediately after the transaction   | Direct Interest | Deemed Interest | Total      |
| No. of voting shares/units held and/or<br>underlying the rights/options/warrants/<br>convertible debentures : | 43,215,700      | 0               | 43,215,700 |
| As a percentage of total no. of voting shares/  | 8.37            | 0               | 8.37       |

8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

| ot applicable |  |  |  |
|---------------|--|--|--|
|               |  |  |  |
|               |  |  |  |

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

Fidelity Puritan Trust is interested in the shares of CSE Global Limited in its capacity as beneficial owner. FMR Co., Inc. is deemed interested in the shares in its capacity as investment advisor of various funds and accounts, including certain funds of Fidelity Puritan Trust. FMR Co., Inc. is a wholly-owned subsidiary of Fidelity Management & Research Company. Fidelity Management & Research Company is a wholly-owned subsidiary of FMR LLC.

10. Attachments (if any): 🕥

(The total file size for all attachment(s) should not exceed 1MB.)

11. If this is a **replacement** of an earlier notification, please provide:

(a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

## 12. Remarks (*if any*):

On 26 May 2014, a Notice Of Substantial Shareholder's Change in Interests report was submitted to CSE Global Limited disclosing FMR LLC as the substantial shareholder of CSE Global Limited to reflect its 10.99% deemed interest in the securities of CSE Global Limited as of 22 May 2014. As of 13 December 2017, FMR LLC's deemed interest in the securities of CSE Global Limited stands at 10.04%.

This filing submitted by FMR LLC is not triggered by the acquisition or disposition of shares of CSE Global Limited but instead is being submitted to provide additional information on substantial shareholders as set forth under Part III Sections B, C and D of this filing. The relationship between these substantial shareholders and FMR LLC is provided in Box 9 of this filing.

|        | Part IV - Transaction details   |
|--------|---|
|        | ype of securities which are the subject of the transaction (more than one option may be chosen):  |
|        | Voting shares/units   |
|        | Rights/Options/Warrants over voting shares/units  |
|        | Convertible debentures over voting shares/units (conversion price known)  |
| •      | Others (please specify):  |
| b      | his filing submitted by FMR LLC is not triggered by the acquisition or disposition of shares of CSE Global Limited<br>ut instead is being submitted to provide additional information on substantial shareholders as set forth under<br>art III Sections B, C and D of this filing. |
|        | umber of shares, units, rights, options, warrants and/or principal amount of convertible ebentures acquired or disposed of by Substantial Shareholders/Unitholders:   |
|        |   |
|        | mount of consideration paid or received by Substantial Shareholders/Unitholders ( <i>excluding rokerage and stamp duties</i> ):   |
|        |   |
| С      | ircumstance giving rise to the interest or change in interest:  |
| A      | cquisition of:  |
|        | Securities via market transaction   |
|        | Securities via off-market transaction (e.g. married deals)  |
|        | Securities via physical settlement of derivatives or other securities   |
|        | Securities pursuant to rights issue   |
|        | Securities via a placement  |
|        | Securities following conversion/exercise of rights, options, warrants or other convertibles   |
| D      | isposal of:   |
|        | Securities via market transaction   |
|        | Securities via off-market transaction (e.g. married deals)  |
|        | ther circumstances:   |
| $\cap$ |   |
| C<br>Г | Acceptance of take-over offer for the Listed Issuer   |

Item 5 is to be completed by an individual submitting this notification form on behalf of the Substantial Shareholders/Unitholders.

- 5. Particulars of Individual submitting this notification form to the Listed Issuer:
  - (a) Name of Individual:

Kelvin Lo

- (b) Designation (*if applicable*): Authorised under Power of Attorney on behalf of FMR LLC
- (c) Name of entity (*if applicable*):

Transaction Reference Number (auto-generated):