SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/ UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

FORM **3** (Electronic Format)

Explanatory Notes

- 1. Please read the explanatory notes carefully before completing the notification form.
- This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
 - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
 - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General

1. Name of Listed Issuer:

KS Energy Limited ("KS Energy")

- 2. Type of Listed Issuer:
 - ✓ Company/Corporation
 - Registered/Recognised Business Trust
 - Real Estate Investment Trust

3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?

- ✓ No (Please proceed to complete Part II)
- Yes (Please proceed to complete Parts III & IV)
- 4. Date of notification to Listed Issuer:

30-Sep-2016

Part II - Substantial Shareholder/Unitholder and Transaction(s) Details

[To be used for single Substantial Shareholder/Unitholder to give notice]

1. Name of Substantial Shareholder/Unitholder:

UBS AG

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

✓ No

Transaction A

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

30-May-2008

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

5. Type of securities which are the subject of the transaction (more than one option may be chosen):

✓ Voting shares/units

- Rights/Options/Warrants over voting shares/units
- Convertible debentures over voting shares/units (conversion price known)
- Others (*please specify*):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,797,091

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

N/A

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- \square participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	13,500	17,637,953	17,651,453
As a percentage of total no. of voting shares/units:	0.006	7.334	7.34
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 13,500	Deemed Interest 19,435,044	<i>Total</i> 19,448,544

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction B

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

02-	lun-2008	
02-3	un-2000	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

241,396

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- \square participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	13,500	19,435,044	19,448,544
As a percentage of total no. of voting shares/units:	0.006	8.081	8.087
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 13,500	Deemed Interest 19,193,648	<i>Total</i> 19,207,148

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction C

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

04-	lun-200	8
UT-J	un-200	0

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

2,410,000

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total	
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	13,500	17,637,953	17,651,453	
As a percentage of total no. of voting shares/units:	0.006	7.334	7.34	
Immediately after the transaction	Direct Interest	Deemed Interest	Total	
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 13,500	Deemed Interest 20,047,953	Total 20,061,453	

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction D

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

06	lun.	20	าย
00-	Juli	20	00

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

2,525,538

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total	
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	13,500	20,047,953	20,061,453	
As a percentage of total no. of voting shares/units:	0.006	8.336	8.342	
Immediately after the transaction	Direct Interest	Deemed Interest	Total	
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 13,500	Deemed Interest 17,522,415	Total 17,535,915	

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



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- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction E

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

04-Aug	-2008
04-Au	J-2000

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,264,233

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total	
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	18,317,187	18,317,187	
As a percentage of total no. of voting shares/units:	0	7.617	7.617	
Immediately after the transaction	Direct Interest	Deemed Interest	Total	
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 19,581,420	<i>Total</i> 19,581,420	

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction F

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

05-Aug	1-2008
0J-Aug	2000

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,346,239

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	19,581,420	19,581,420
As a percentage of total no. of voting shares/units:	0	8.142	8.142
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 18,235,181	<i>Total</i> 18,235,181

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

Transaction G

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,912,534

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	17,032,534	17,032,534
As a percentage of total no. of voting shares/units:	0	7.083	7.083
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 15,120,000	Total 15,120,000

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

Transaction H

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,383,084

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	15,678,062	15,678,062
As a percentage of total no. of voting shares/units:	0	6.519	6.519
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 17,061,146	Total 17,061,146

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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9

Transaction

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

12-Se	p-2008
12-30	p-2000

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,436,375

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	17,061,146	17,061,146
As a percentage of total no. of voting shares/units:	0	7.094	7.094
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 15,624,771	<i>Total</i> 15,624,771

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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9

Transaction J

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

1	7-Se	p-2008

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

773,043

 Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	16,423,738	16,423,738
As a percentage of total no. of voting shares/units:	0	6.829	6.829
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 17,196,781	Total 17,196,781

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction K

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

30-9	Sen	-20	80	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

5,211,523

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ri	ise to tł	ne interest	or change ir	n interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	40,000	18,011,968	18,051,968
As a percentage of total no. of voting shares/units:	0.016	7.49	7.506
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 30,000	Deemed Interest 23,233,491	Total 23,263,491

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

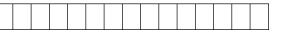
Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:



13. Remarks (if any):

The percentage of shareholding under paragraph 9 above before the change is calculated based on the issued share capital of 240,487,525 as reflected in the annual report 2007 of KS Energy and the percentage of shareholding under paragraph 9 above after the change is calculated based on the issued share capital of 336,682,535 as reflected in the annual report 2008 of KS Energy.

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9

Transaction L

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

06-Oct-2008	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

740,506

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	30,000	23,421,018	23,451,018
As a percentage of total no. of voting shares/units:	0.009	6.956	6.965
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 30,000	Deemed Interest 24,161,524	<i>Total</i> 24,191,524

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

Transaction M

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

07-	Oct	-200)8

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016
z/-sep-zuio

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (*please specify*):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,264,532

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- \square participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	30,000	24,161,524	24,191,524
As a percentage of total no. of voting shares/units:	0.009	7.176	7.185
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 30,000	Deemed Interest 22,896,992	Total 22,926,992

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



- (The total file size for all attachment(s) should not exceed 1MB.)
- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:



13. Remarks (if any):

1	79	1 2	2	6	4	2	4	1	3	4	2	5	
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Transaction N

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

08-Oct-2008	
00-001-2000	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016
27 000 2010

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,235,908

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	30,000	22,896,992	22,926,992
As a percentage of total no. of voting shares/units:	0.009	6.801	6.81
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest	Deemed Interest 24,145,900	Total 24,162,900

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

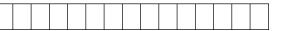
Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:



13. Remarks (if any):

Transaction 0

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

09-Oct-200	8

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,172,344

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- \square participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	17,000	24,145,900	24,162,900
As a percentage of total no. of voting shares/units:	0.005	7.172	7.177
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 17,000	Deemed Interest 22,973,556	Total 22,990,556

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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9

Transaction P

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

16-Oct-200	8(

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016
27 000 2010

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (*please specify*):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,353,927

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	13,000	22,317,013	22,330,013
As a percentage of total no. of voting shares/units:	0.004	6.628	6.632
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 22,000	Deemed Interest 23,661,940	Total 23,683,940

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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Transaction Q

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

678,122

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	22,000	23,661,940	23,683,940
As a percentage of total no. of voting shares/units:	0.007	7.028	7.035
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 22,000	Deemed Interest 22,983,818	Total 23,005,818

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

Transaction R

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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23-	UCI	-20	Uδ

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016
27-360-2010

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction *(more than one option may be chosen)*:
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,196,578

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	21,000	22,863,782	22,884,782
As a percentage of total no. of voting shares/units:	0.006	6.791	6.797
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 21,000	Deemed Interest 24,060,360	Total 24,081,360

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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9

Transaction S

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

24-Oct-2008	
24-001-2000	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction *(more than one option may be chosen)*:
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,190,508

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	21,000	24,060,360	24,081,360
As a percentage of total no. of voting shares/units:	0.006	7.147	7.153
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 21,000	Deemed Interest 22,869,852	Total 22,890,852

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

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9

Transaction T

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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21-	Feb	-20	09

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

27-Sep-2016

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (*please specify*):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,391,710

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8.	Circumstance	giving ris	e to the in	terest or	change in	interest:
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Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- participate in (please specify):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	41,000	22,565,266	22,606,266
As a percentage of total no. of voting shares/units:	0.012	6.702	6.714
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 41,000	Deemed Interest 23,956,976	Total 23,997,976

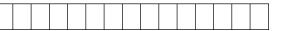
10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



- (The total file size for all attachment(s) should not exceed 1MB.)
- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:



13. Remarks (if any):

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Transaction U

- 1. Notification in respect of:
 - Becoming a Substantial Shareholder/Unitholder
 - ✓ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
 - Ceasing to be a Substantial Shareholder/Unitholder
- 2. Date of acquisition of or change in interest:

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UZ-IV	iai-2009	

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 2 above, please specify the date*):

4. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

This notification relates to disclosures initially filed by UBS Trustees (Bahamas) Limited ("UBSTBL") on 25 Sept 13. Subsequently in Aug 15, legal partners of UBSTBL advised that a disclosure should be filed on a group aggregated basis in addition to disclosure made at a legal entity's (UBSTBL) level, via UBS AG's controlling interest in UBSTBL. It took time to complete the remediation project to fix the trust feeds and obtain historic data to identify the notifiable obligations, which was concluded on 27 Sept 16.

- 5. Type of securities which are the subject of the transaction (more than one option may be chosen):
 - ✓ Voting shares/units
 - Rights/Options/Warrants over voting shares/units
 - Convertible debentures over voting shares/units (conversion price known)
 - Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

1,388,042

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)
- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (e.g. married deals)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not
- □ participate in (*please specify*):

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	41,000	23,956,976	23,997,976
As a percentage of total no. of voting shares/units:	0.012	7.116	7.128
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	Direct Interest 41,000	Deemed Interest 22,568,934	Total 22,609,934

10. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

Deemed interests by virtue of (a) UBS AG having an interest, or (b) Section 7(4) or 7(4A) of the Companies Act in shares over which subsidiaries/affiliates of UBS AG have an interest, by reason of the ability to exercise voting discretion and to acquire/dispose of shares.

11. Attachments (if any): 🕤



(The total file size for all attachment(s) should not exceed 1MB.)

- 12. If this is a **replacement** of an earlier notification, please provide:
 - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
 - (b) Date of the Initial Announcement:
 - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

	Tran	assaction Reference Number (auto-generated): 3 0 1 0 2 6 4 2 4 2 3 5 0 3
		o be completed by an individual submitting this notification form on behalf of the Substantial er/Unitholder.
14.	Part	iculars of Individual submitting this notification form to the Listed Issuer:
	i un	iculars of individual submitting this notification form to the Eister Issuer.
	(a)	Name of Individual:
		-
		Name of Individual:
	(a)	Name of Individual: Uma Tadela
	(a)	Name of Individual: Uma Tadela Designation (<i>if applicable</i>):