KYC Particulars Form

For Individual Shareholders/Relevant Sub-Account Holders/Securities Consideration Recipient

Unless otherwise defined, all capitalised terms not defined herein shall have the same meanings ascribed to them in the offer document dated 12 September 2022 issued by PrimePartners Corporate Finance Pte. Ltd. for and on behalf of Horowitz Capital Ltd.

If you wish to elect to receive the Securities Consideration, please submit by email to Silkroad-offer@sg.tricorglobal.com, an electronic scanned copy of this duly completed KYC Particulars Form, together with the supporting document(s), which are satisfactory to the Offeror, AT THE SAME TIME THAT YOU HAVE SUBMITTED THE RELEVANT ACCEPTANCE FORM ("ACCEPTANCE FORM"), failing which you will be deemed to have elected to receive the Cash Consideration. The last date and time that you can submit the duly completed Acceptance Form, together with the KYC Particulars Form and the supporting document(s), is 5.30 p.m. (Singapore Time) on the Closing Date.

Note to Depository Agents: If any of your sub-account holders wishes to elect to receive the Securities Consideration, please submit by email to Silkroad-offer@sg.tricorglobal.com, an electronic scanned copy of this duly completed KYC Particulars Form for each of your sub-account holder(s) who is electing to receive the Securities Consideration, together with the supporting document(s), which are satisfactory to the Offeror, AT THE SAME TIME THAT YOU SUBMIT THE ELECTRONIC ACCEPTANCE AND THE LIST OF SUB-ACCOUNT HOLDERS WHO WISH TO ACCEPT SECURITIES CONSIDERATION FORM ("SUB-ACCOUNT HOLDERS FORM"), failing which you will be deemed to have elected to receive the Cash Consideration on behalf of relevant sub-account holders. The last date and time that you can submit the Electronic Acceptance, together with the duly completed Sub-Account Holders Form, the compilation of KYC Particulars Forms and the supporting document(s), is 5.30 p.m. (Singapore Time) on the Closing Date.

You DO NOT need to return this KYC Particulars Form if you wish to elect to receive the Cash Consideration.

Full Name (as per NRIC/Passport)	
Gender	☐ Male ☐ Female
Nationality	
NRIC/Passport No.[1] *Please provide a scanned certified copy of the front and back of NRIC/Passport.* *If you are providing a certified copy of your passport, please also provide certified proof of your address (e.g. certified copy of utilities or telecom invoices etc.).* *If the document is not in English, an English translation of the same from a suitably qualified person must be provided.*	
Expiry date of Identification Document (if applicable)	
Date of Birth (DD/MM/YYYY)	
Occupation	
Residential Address	
Alternate Address	
Contact Number	
Email Address	
Source of Wealth	☐ Family wealth/inheritance ☐ Business income ☐ Employment ☐ Investment income ☐ Sales of assets ☐ Gift ☐ Others (please specify below) _____

(1) Suitable certifiers comprise any one of the following:

- *a financial institution;*
- *a practicing professional accountant/lawyer/notary public/chartered company secretary; or*
- *an officer of an embassy, consulate or high commission of the country of issue of the documentary verification of identity.*

The certifier must:

- *state that it is a true copy of the original; and*
- *sign and date the copy document, stating his/her name, position/capacity, address and email address*

Confirmation

I hereby confirm that:

1. *I have no criminal record;*
2. *I am not involved in any criminal convictions, fraud, extortion, drug trafficking, corruption, embezzlement, money laundering and/or terrorist financing issues, and my wealth is not derived from any criminal activities but is derived as detailed above;*
3. *no step has been taken or no legal proceedings have been started for my bankruptcy or for the appointment of a receiver or administrator over my assets;*
4. *the information provided is true and accurate and I undertake that, as long as I continue to hold the above indicated capacity in Silkroad Nickel Ltd., I will notify Absolute Corporate Solutions Pte. Ltd. within 14 calendar days of any changes to the above information/documents; and*
5. *(in the case of Depositors only) I am acting in the personal capacity and not on behalf of any third party.*

Name	
Unique Identification Number	
Signature *(NRIC number/Passport/FIN number etc.)*	*(e-signature/DocuSign is acceptable)*
Date	

KYC Particulars Form

For Corporate Shareholders/Relevant Sub-Account Holders/Securities Consideration Recipient

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If you wish to elect to receive the Securities Consideration, please submit by email to Silkroad-offer@sg.tricorglobal.com, an electronic scanned copy of this duly completed KYC Particulars Form, together with the supporting document(s), which are satisfactory to the Offeror, AT THE SAME TIME THAT YOU HAVE SUBMITTED THE RELEVANT ACCEPTANCE FORM ("ACCEPTANCE FORM"), failing which you will be deemed to have elected to receive the Cash Consideration. The last date and time that you can submit the duly completed Acceptance Form, together with the KYC Particulars Form and the supporting document(s), is 5.30 p.m. (Singapore Time) on the Closing Date.

Note to Depository Agents: If any of your sub-account holders wishes to elect to receive the Securities Consideration, please submit by email to Silkroad-offer@sg.tricorglobal.com, an electronic scanned copy of this duly completed KYC Particulars Form for each of your sub-account holder(s) who is electing to receive the Securities Consideration, together with the supporting document(s), which are satisfactory to the Offeror, AT THE SAME TIME THAT YOU SUBMIT THE ELECTRONIC ACCEPTANCE AND THE LIST OF SUB-ACCOUNT HOLDERS WHO WISH TO ACCEPT SECURITIES CONSIDERATION FORM ("SUB-ACCOUNT HOLDERS FORM"), failing which you will be deemed to have elected to receive the Cash Consideration on behalf of relevant sub-account holders. The last date and time that you can submit the Electronic Acceptance, together with the duly completed Sub-Account Holders Form, the compilation of KYC Particulars Forms and the supporting document(s), is 5.30 p.m. (Singapore Time) on the Closing Date.

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Corporate Name ("Corporate")	
Former Name (if applicable)	
Place/Country of Incorporation	
Date of Incorporation	
Incorporation/registration number (Unique Entity Number (UEN))	
Business Type	
Nature of Business Activities	
Principal Place of Business	
Other country(ies) in which activities are undertaken (if any)	
Corporate Telephone Number	
Corporate E-mail Address	
Corporate website address (if any)	
Registered Office[1] *Please provide certified proof of registered office address (e.g. certified copy of utilities or telecom invoices etc.)*	
Full Name of Beneficial Owner(s) (as per NRIC/Passport)	
Nationality of Beneficial Owner(s)	
NRIC/Passport No. of Beneficial Owner(s)[1] *Please provide a scanned certified copy of the front and back of NRIC/Passport of the Beneficial Owner(s).* *If you are providing a certified copy of the passport of the Beneficial Owner(s), please also provide certified proof of the address of the Beneficial Owner(s) (e.g. certified copy of utilities or telecom invoices etc.).* *If the document is not in English, an English translation of the same from a suitably qualified person must be provided.*	
Residential Address of Beneficial Owner(s)	
Contact Number of Beneficial Owner(s)	
Email Address of Beneficial Owner(s)	
Source of Funds for Business	☐ Share capital ☐ Third party financing ☐ Business income ☐ Others (please specify below) ☐ Related party financing _____

(1) Suitable certifiers comprise any one of the following:

- *a financial institution;*
- *a practicing professional accountant/lawyer/notary public/chartered company secretary; or*
- *an officer of an embassy, consulate or high commission of the country of issue of the documentary verification of identity.*

The certifier must:

- *state that it is a true copy of the original; and*
- *sign and date the copy document, stating his/her name, position/capacity, address and email address*

Documents *(Please provide the following documents/information (please ✓ as applicable))*

☐ Ownership structure chart (required for multi-layer shareholding structure only)
☐ Certificate of Incorporation
☐ Certificate of Change of Name (if applicable)
☐ Register of Members
☐ Register of Directors
☐ Memorandum and Articles of Association/Constitution
☐ Certificate of Incumbency

Listed/Financial Institution/Government Bodies

If any of the Corporate's parent entities shown in the ownership structure chart is publicly listed, a financial institution, or a government/public body, please provide the following information for the Corporate and/or the relevant entity (if appropriate)		
1.	Name of stock exchange on which the Corporate is listed	
	Name of stock exchange on which the parent entity is listed	
	Full name of the listed parent entity	
2.	Name of the parent entity in the event it is a financial institution	
	Type of financial institution	
3.	Name of the parent entity which is a government/public body	

Confirmation

I, on behalf of the Corporate, hereby confirm that:

1. *the above information provided by the Corporate is true and accurate and the Corporate will notify Absolute Corporate Solutions Pte. Ltd. within 14 calendar days of any changes to the above information/documents;*
2. *the Corporate is not involved in any criminal or money laundering activity and the funds for the business are not derived from any criminal activities but are derived as detailed above;*
3. *the Corporate is not currently involved in any litigation, arbitration, or mediation proceeding; and*
4. *the Corporate has the authority to provide the personal data included herein and that any personal data provided herein has been processed in accordance with applicable laws and regulations.*

Name	
Signature	*(e-signature/DocuSign is acceptable)*
Capacity	
Date	
Email address	
Telephone	