## SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

## NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

FORM

1
(Electronic Format)

## **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies **only** to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

	Part I - General
1.	Name of Listed Issuer:
	Mercurius Capital Investment Limited
2.	Type of Listed Issuer:
	✓ Company/Corporation
	Registered/Recognised Business Trust
	Real Estate Investment Trust
3.	Name of Director/CEO:
	Chang Wei Lu
4.	Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?
	✓ Yes
	□ No
5.	Is the Director/CEO notifying in respect of his interests in securities of, or made available by, the Listed Issuer at the time of his appointment?
	Yes (Please proceed to complete Part II)
	✓ No (Please proceed to complete Part III)
6.	Date of notification to Listed Issuer:
	31-Jan-2022

## Part III - For an incumbent Director/CEO giving notice of an acquisition of, or a change in his interest in, securities of or made available by the Listed Issuer

ansact	tion A 🕤	Delete This Part III Transaction
Date	e of acquisition of or change in	interest:
14-Se	ep-2021	
	e on which Director/CEO becar ifferent from item 1 above, plea	me aware of the acquisition of, or change in, interest 🕤 ase specify the date):
13-Ja	n-2022	
-	lanation (if the date of becomin	ng aware is different from the date of acquisition of, or change
in the	e correspondences and update of re	rious related restrictions in Malaysia, there were delays and discrepancie ecords and information regarding Chang Wei Lu's various personal shares in the Company) between the personal office of Chang Wei Lu an
chos	sen):	subject of the transaction (more than one option may be
	Ordinary voting shares/units of Lis	
	`	iding ordinary voting shares/units) of Listed Issuer
_	Rights/Options/Warrants over sha	ires/units of Listed Issuer
	Debentures of Listed Issuer	
_	Rights/Options over debentures of	
□ €		ed Issuer which Director/CEO is a party to, or under which he is ets under which any person has a right to call for or to make suer
F	Participatory interests made availa	able by Listed Issuer
	Others (please specify):	
		options, warrants, participatory interests and/or principal ntracts acquired or disposed of by Director/CEO:
3,736	5,200	
Amo dutie	·	received by Director/CEO (excluding brokerage and stamp
	6,071.60	

7.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:
	✓ Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	☐ Securities pursuant to rights issue
	Securities via a placement
	☐ Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Other circumstances :
	Acceptance of employee share options/share awards
	☐ Vesting of share awards
	Exercise of employee share options
	☐ Acceptance of take-over offer for Listed Issuer
	Corporate action by Listed Issuer (please specify):
	Others (please specify):

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	313,158,834	0	313,158,834
As a percentage of total no. of ordinary voting shares/units:	23.61	0	23.61
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	316,895,034	0	316,895,034

		a percentage of total no. of ordinary ng shares/units:	23.89	0	23.89
).	[You	umstances giving rise to deemed in may attach a chart(s) in item 10 to out in item 8 tables 1 to 8, arises]			emed interest, as
	IN.A.				
0.	Attac	chments ( <i>if any</i> ): <b>①</b>			
	Ø	(The total file size for all attachment(s) sh	ould not exceed 1MB.,	)	
1.	If this	s is a <b>replacement</b> of an earlier no	tification, please p	rovide:	
	(a)	SGXNet announcement reference (the "Initial Announcement"):	e of the <u>first</u> notific	cation which was a	nnounced on SGXNet
	<b>/</b> b)	Data of the Initial Appaulagement			
	(b)	Date of the Initial Announcement:			
	(c)	15-digit transaction reference nu attached in the Initial Announcem		ant transaction in t	he Form 1 which was
2.	Rem	arks ( <i>if any</i> ):			
	The si	hareholding percentage is computed bas diary holdings, if any) in Mercurius Capita	sed on 1,326,306,667 i I Investment Limited	issued shares (excludi as at the date of the t	ng treasury shares and ransaction.
Tre	ansac	tion Reference Number (auto-gene	rated):		
7	0 9	6 9 3 3 4 4 8 4 3 8 0 0			

Tra	Transaction B	Delete This Part III Transaction
1.	Date of acquisition of or change in interest:	
	14-Sep-2021	
2.	Date on which Director/CEO became aware of the acquisition of, or c (if different from item 1 above, please specify the date):	hange in, interest 🕤
	13-Jan-2022	
3.	Explanation (if the date of becoming aware is different from the date in, interest):	of acquisition of, or change
	Due to the Covid-19 situation and the various related restrictions in Malaysia, their in the correspondences and update of records and information regarding Chang investments in various assets (including shares in the Company) between the per the relevant personnel assisting them.	Wei Lu's various personal
4.	<ol> <li>Type of securities which are the subject of the transaction (more chosen):</li> </ol>	e than one option may be
	✓ Ordinary voting shares/units of Listed Issuer	
	Other types of shares/units (excluding ordinary voting shares/units) of Li	isted Issuer
	Rights/Options/Warrants over shares/units of Listed Issuer	
	Debentures of Listed Issuer	
	Rights/Options over debentures of Listed Issuer	
	Contracts over shares of the Listed Issuer which Director/CEO is a party entitled to a benefit, being contracts under which any person has a right delivery of shares in the Listed Issuer	
	Participatory interests made available by Listed Issuer	
	Others (please specify):	
5.	Number of shares, units, rights, options, warrants, participatory amount/value of debentures or contracts acquired or disposed of by [1,603,600	• •
e	Amount of consideration paid or received by Director/CEO (evalue	ding brokerede and stomp
6.	<ol> <li>Amount of consideration paid or received by Director/CEO (excluded duties):</li> </ol>	ning brokerage and stamp
	S\$129,605.50	
7.	Circumstance giving rise to the interest or change in interest: Acquisition of:	

Securities via market transaction
Securities via off-market transaction (e.g. married deals)
Securities via physical settlement of derivatives or other securities
Securities pursuant to rights issue
Securities via a placement
Securities following conversion/exercise of rights, options, warrants or other convertibles
Disposal of:
✓ Securities via market transaction
Securities via off-market transaction (e.g. married deals)
Other circumstances :
Acceptance of employee share options/share awards
☐ Vesting of share awards
Exercise of employee share options
Acceptance of take-over offer for Listed Issuer
Corporate action by Listed Issuer (please specify):
Others (please specify):

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	316,895,034	0	316,895,034
As a percentage of total no. of ordinary voting shares/units:	23.89	0	23.89
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction  No. of ordinary voting shares/units held:	Direct Interest	Deemed Interest	Total 315,291,434

	set (	
	Atta	chments ( <i>if any</i> ): 👔
	Ŋ	(The total file size for all attachment(s) should not exceed 1MB.)
	If thi	s is a <b>replacement</b> of an earlier notification, please provide:
	(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):
	(b)	Date of the Initial Announcement:
	(c)	15-digit transaction reference number of the relevant transaction in the Form 1 which was attached in the Initial Announcement:
	Rem	narks ( <i>if any</i> ):
	The	shareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and
	The	· · · · · ·
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•	The	shareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and
	The s	shareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and idiary holdings, if any) in Mercurius Capital Investment Limited as at the date of the transaction.
	The s	shareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and
	The s	chareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and idiary holdings, if any) in Mercurius Capital Investment Limited as at the date of the transaction.
Tra	The s subsi	chareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and idiary holdings, if any) in Mercurius Capital Investment Limited as at the date of the transaction.
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Tra	ansac 6 7	thareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and idiary holdings, if any) in Mercurius Capital Investment Limited as at the date of the transaction.  **Etion Reference Number (auto-generated):    4
Tra 3	ansaccom 6 7  Addi	shareholding percentage is computed based on 1,326,306,667 issued shares (excluding treasury shares and idiary holdings, if any) in Mercurius Capital Investment Limited as at the date of the transaction.  Stion Reference Number (auto-generated):  4 1 8 4 4 5 7 4 0 5 5 1  Sitional transaction (Transaction "B", "C", etc) by the same Director/CEO where the information in Part I is the same for the additional transaction  Add New Part III Transaction  Add New Part III Transaction form on behalf of the Director/CEO.
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