# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

### NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

FORM

1
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies <u>only</u> to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

### Part I - General Name of Listed Issuer: MERCURIUS CAPITAL INVESTMENT LIMITED 2. Type of Listed Issuer: ✓ Company/Corporation Registered/Recognised Business Trust Real Estate Investment Trust 3. Name of Director/CEO: CHANG WEI LU 4. Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer? ✓ Yes ☐ No Is the Director/CEO notifying in respect of his interests in securities of, or made available by, 5. the Listed Issuer at the time of his appointment? Yes (Please proceed to complete Part II) (Please proceed to complete Part III) ✓ No Date of notification to Listed Issuer: 6. 22-Dec-2015

## Part III - For an incumbent Director/CEO giving notice of an acquisition of, or a change in his interest in, securities of or made available by the Listed Issuer

ansaction A	•	Delete This Part III Transaction
Date of acqu	isition of or change in	interest:
22-Dec-2015		
	ch Director/CEO becar com item 1 above, plea	me aware of the acquisition of, or change in, interest
22-Dec-2015		
Explanation in, interest):	(if the date of becomir	ng aware is different from the date of acquisition of, or change
Type of sec chosen):	urities which are the	subject of the transaction (more than one option may be
✓ Ordinary	oting shares/units of Lis	sted Issuer
Other typ	es of shares/units (exclu	ding ordinary voting shares/units) of Listed Issuer
Rights/Op	tions/Warrants over sha	ares/units of Listed Issuer
Debentur	es of Listed Issuer	
Rights/Op	tions over debentures o	f Listed Issuer
entitled to		ed Issuer which Director/CEO is a party to, or under which he is ets under which any person has a right to call for or to make suer
Participat	ory interests made availa	able by Listed Issuer
Others (p	lease specify):	
		options, warrants, participatory interests and/or principal ntracts acquired or disposed of by Director/CEO:
255,041,534		
-		manaissa di bua Disasta d'OFO dessatudia su banda sur sur de dessa
Amount of o	onsideration paid or	received by Director/CEO (excluding brokerage and stamp

7.	Circumstance giving rise to the interest or change in interest:  Acquisition of:  Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Other circumstances :
	Acceptance of employee share options/share awards
	Exercise of employee share options
	Acceptance of take-over offer for Listed Issuer
	Corporate action by Listed Issuer ( <i>please specify</i> ):
	Others (please specify):

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	8,000,000	0	8,000,000
As a percentage of total no. of ordinary voting shares/units:	0.73	0	0.73
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	263,041,534	0	263,041,534

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	As a	a percentage of total no. of ordinary ng shares/units:	23.83	0	23.83
9.	[You	Circumstances giving rise to deemed interests (if the interest is such): [You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, as set out in item 8 tables 1 to 8, arises]			
	Not A	pplicable			
10.	Attac	chments ( <i>if any</i> ): 🕤			
	IJ	(The total file size for all attachment(s) she	ould not exceed 1MB.)		
11.	If this	s is a <b>replacement</b> of an earlier not	ification, please pr	ovide:	
	(a)	SGXNet announcement reference (the "Initial Announcement"):	of the <u>first</u> notific	ation which was a	nnounced on SGXNet
	(b)	Date of the Initial Announcement:			
	(5)	Date of the miliar / time arisement.	7		
	(c)	15-digit transaction reference nur attached in the Initial Announcement		nt transaction in t	he Form 1 which was
12.	Rem	arks ( <i>if any</i> ):			
	Capita	bove shareholding percentages are based al Investment Limited as at 22 December of are due to rounding.			
	spons	nnouncement has been prepared by the sor, R & T Corporate Services Pte. Ltd. ("Sp sor has not independently verified the co	onsor"), for complian	ce with the relevant r	
	respo	nnouncement has not been examined or nsibility for the contents of this announce ons made or reports contained in this ann	ement, including the		
		ontact person for the Sponsor is Mr. Howa ces Pte. Ltd., 9 Battery Road, #25-01 Straits			2 0685) at R & T Corporate
Tra	ansact	tion Reference Number (auto-gener	rated):		
1	6 0	3 9 5 3 4 2 4 4 3 7 5 2			

	xplanation (if the date of becoming aware is different from the date of acquisition of, or change, interest):
-	pe of securities which are the subject of the transaction (more than one option may be nosen):
	Ordinary voting shares/units of Listed Issuer
	Other types of shares/units (excluding ordinary voting shares/units) of Listed Issuer
	Rights/Options/Warrants over shares/units of Listed Issuer
	Debentures of Listed Issuer
	Rights/Options over debentures of Listed Issuer
	Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer
	Participatory interests made available by Listed Issuer
	Others (please specify):
	umber of shares, units, rights, options, warrants, participatory interests and/or principa mount/value of debentures or contracts acquired or disposed of by Director/CEO:
	mount of consideration paid or received by Director/CEO (excluding brokerage and stampaties):

7.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Other circumstances :
	Acceptance of employee share options/share awards
	── Vesting of share awards
	Exercise of employee share options
	Acceptance of take-over offer for Listed Issuer
	Corporate action by Listed Issuer ( <i>please specify</i> ):
	Others (please specify):
0	Quantum of intercets in accurities hold by Director/CEO before and ofter the transaction
8.	Quantum of interests in securities held by Director/CEO before and after the transaction.  Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to
	ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):
9.	Circumstances giving rise to deemed interests (if the interest is such):
O.	[You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, as
	set out in item 8 tables 1 to 8, arises]

10.	Attac	chments ( <i>if any</i> ): 📵	
	Ø	(The total file size for all attachment(s) should not exceed 1MB.)	
11. If this is a <b>replacement</b> of an earlier notification, please provide:			
	(a)	SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):	
		(the initial Announcement).	
	(b)	Date of the Initial Announcement:	
	(c)	15-digit transaction reference number of the relevant transaction in the Form 1 which was	
		attached in the Initial Announcement:	
12.	Rem	arks ( <i>if any</i> ):	
	spons	nnouncement has been prepared by the Company and its contents have been reviewed by the Company's sor, R & T Corporate Services Pte. Ltd. ("Sponsor"), for compliance with the relevant rules of the SGX-ST. The sor has not independently verified the contents of this announcement.	
	respo	nnouncement has not been examined or approved by the SGX-ST. The Sponsor and the SGX-ST assume no nsibility for the contents of this announcement, including the correctness of any of the statements or ons made or reports contained in this announcement.	
		ontact person for the Sponsor is Mr. Howard Cheam Heng Haw (Telephone: +65 6232 0685) at R & T Corporate test Pte. Ltd., 9 Battery Road, #25-01 Straits Trading Building, Singapore 049910.	
Tra	ansac	tion Reference Number (auto-generated):	
1	2 8	0 1 5 2 4 3 9 3 4 0 1 1	
	Addi	tional transaction (Transaction "B", "C", etc) by the same Director/CEO where the information in Part I is the same for the additional transaction	
		Add New Part III Transaction	
Ite	m 13 is	s to be completed by an individual submitting this notification form on behalf of the Director/CEO.	
13.		culars of Individual submitting this notification form to the Listed Issuer:	
	(a)	Name of Individual:	
	(b)	Designation (if applicable):	
	(c)	Name of entity (if applicable):	