

Disclosure of Shareholdings - Notifications concerning single shareholders

Publication date	04.01.2023					
Issuer	Lonza Group AG					
Beneficial owner / Person who has the discretionary power to exercise the voting rights	BlackRock, Inc., New York, NY USA					
	Type of rights	Number of rights	Number of voting rights	Percent. of voting rights	ISIN / Basic terms / Remarks	
Purchase positions (total)				10.01 %		
	Equity securities or equity related securities					
	Registered share	6'558'044	6'558'044	8.81 %		
	Securities lending and comparable transactions					
	From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions (Art. 17 FMIO-FINMA):					
	Registered share	147'863	147'863	0.2 %	Nature of the legal transaction	Other
					Right to choose by	Other
	Remarks	Repos (on the purchaser side) and/or collateral received with title transfer (non-repo). The dates of return transfer depend on the specific terms of the various agreements according to which the collaterals are granted.				
	Voting rights that can be exercised at one's own discretion					
	The following voting rights were delegated by a third party and can be exercised at one's own discretion:					
			854'442	1.15 %		
	Derivative holdings					
	Conversion and share purchase rights (such as call-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) share sale rights and other derivative holdings:					
	CFD	34'069	34'069	0.05 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)				
	CFD	2'024	2'024	0.003 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)				
	CFD	17	17	0.00002 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)				
	CFD	4	4	0.00001 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017)				
	CFD	2'512	2'512	0.003 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)				
	CFD	1'100	1'100	0.001 %	Exercise type	Cash Settlement
	Remarks	Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)				

Sale positions (total)

			0.06 %		
CFD	387	387	0.001 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by Barclays on Lonza Group AG (CH0013841017)				
CFD	337	337	0.0005 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by Citigroup on Lonza Group AG (CH0013841017)				
CFD	717	717	0.001 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by Goldman Sachs on Lonza Group AG (CH0013841017)				
CFD	2'034	2'034	0.003 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by JP Morgan on Lonza Group AG (CH0013841017)				
CFD	3'345	3'345	0.004 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by Merrill Lynch on Lonza Group AG (CH0013841017)				
CFD	62	62	0.0001 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by Morgan Stanley on Lonza Group AG (CH0013841017)				
CFD	8'701	8'701	0.01 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by HSBC on Lonza Group AG (CH0013841017)				
CFD	4'746	4'746	0.01 %	Exercise type	Cash Settlement
Remarks	Contracts for difference issued by BNP Paribas on Lonza Group AG (CH0013841017)				
Call-Option/ Warrant	13'300	13'300	0.02 %	Exercise type	Cash Settlement
Remarks	Call Option on LONZA GROUP AG CH0013841017 with exercise price of 538.2614 expiry date of 13-January-2023 and exercise type of Cash Settled				
Call-Option/ Warrant	13'300	13'300	0.02 %	Exercise type	Cash Settlement
Remarks	Call Option on LONZA GROUP AG CH0013841017 with exercise price of 456.4033 expiry date of 2-February-2023 and exercise type of Cash Settled				

Creation of the obligation to notify

29.12.2022

Facts and circumstances triggering the obligation to notify

Securities lending and comparable transactions
Other

Remarks

The obligation to notify was triggered by an acquisition of collateral (Acquisition Position Obligation). With recommendation of the Disclosure Office dated 15 July 2016 and 17 June 2019 and 8 July 2022, BlackRock, Inc. was granted easing provisions from the obligation to disclose the direct shareholders according to Article 120 para. 1 FMIA in conjunction with Article 11 lit. b and Article 22 para. 1 lit. e and para 3 FMIO-FINMA when disclosing holdings according to Article 18 para. 1 and 4 FMIO-FINMA. However, collective investment schemes within the meaning of Article 18 para. 2 let. a FMIO-FINMA that hold individually 3% or more of the voting rights of the relevant issuer will be mentioned in the relevant disclosure notification. Any person shall, at her/his request and without prove of interest, receive information about the direct shareholders within the meaning of Article 11 lit. b and Article 22 para. 1 lit. e and para. 3 FMIO-FINMA. Such request has to be addressed via email to the Disclosure Office: disclosure-office@six-group.com. The information will be provided in electronic format with a reference date not older than one month. The easing provisions are granted until 15 July 2025.